

Asymptotic behavior of shell eigenvalue problems

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Outline

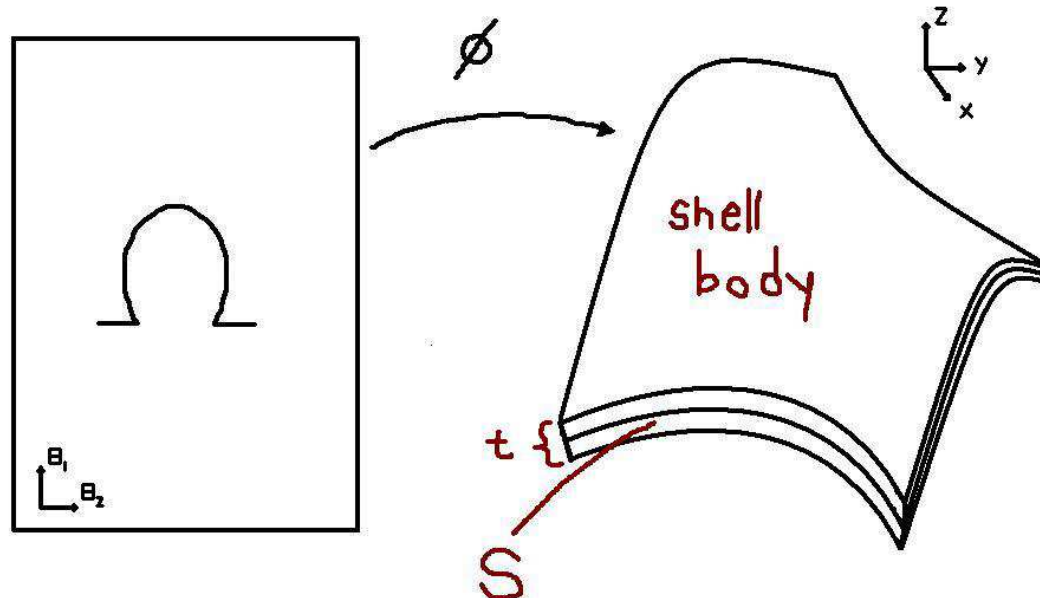
- Introduction to shell analysis [source problem]
 - Koiter model for shells
 - Asymptotic behavior of shells
- The shell eigenvalue problem
 - "Flexural shells"
 - "Non-flexural" shells
- An extended example : the clamped cylinder
 - Theoretical results
 - Numerical tests

The shell deformation problem

A **shell** is a material body in which one of the characteristic dimensions (the "thickness") is remarkably smaller than the other two.

Given an (homogeneous) thickness t , the shell body is uniquely determined by its **middle surface** $\mathcal{S} \in \mathbb{R}^3$. We assume that \mathcal{S} is described as the image of a C^2 map

$$\Phi : \Omega \in \mathbb{R}^2 \longrightarrow \mathcal{S} \in \mathbb{R}^3$$



The shell deformation problem

We assume an isotropic, homogeneous, linearly elastic material in the realm of small deformations.

We are interested in the displacement of the shell, given a load and some boundary conditions at the shell edges.

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In order to fix the ideas, we are going to consider a particular shell model, the well known Koiter model.

Koiter model - variational formulation

$$\begin{cases} \text{Find } \mathbf{u}_t \in V := [H_{\mathcal{BC}}^1(\Omega)]^2 \times H_{\mathcal{BC}}^2(\Omega) \text{ such that} \\ t^3 A_b(\mathbf{u}_t, \mathbf{v}) + t A_m(\mathbf{u}_t, \mathbf{v}) = \langle f, \mathbf{v} \rangle \quad \forall \mathbf{v} \in V \end{cases}$$

where

Ω is the domain of the shell map

$$\Phi : \Omega \in \mathbb{R}^2 \longrightarrow \mathcal{S} \in \mathbb{R}^3$$

Note that the original three dimensional domain of the problem is reduced to the bi-dimensional domain Ω .

The problem is **written on the middle surface** of the shell and the thickness $0 < t \ll |\mathcal{S}|$ becomes a parameter.

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where

V is the space of **admissible displacements** of the middle surface, written in the contravariant tangent basis of Φ completed with the normal direction.

$\mathbf{f} \in V'$ represents the applied load

Koiter model - variational formulation

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where

A_b and A_m are two symmetric and continuous bilinear forms on the space V .

A_b is the **bending form**, and takes into account the elastic energy related to the "flexion" (change in curvature) of the shell.

A_m is the **membrane form**, and takes into account the elastic energy related to the "stretching" (change in metric) of the shell.

Koiter model - variational formulation

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NOTE : due to the **coercivity** on V of $A_b + A_m$, there is one and only one solution of the problem for all values of the parameter $t > 0$.

Asymptotic behavior of shells

Let the space of in-extensional displacements

$$V_0 = \{v \in V : A_m(v, v) = 0\} .$$

Moreover let W the closure of the orthogonal space

$$\{w \in V : A_b(w, v) + A_m(w, v) = 0 \forall v \in V_0\}$$

with respect to the norm weaker norm

$$\|v\|_W = A_m(w, w)^{1/2} .$$

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Then the **classical theory** singles out two families of shells in dependance of the asymptotic behavior ($t \rightarrow 0$):

$$\exists v \in V_0 : \langle f, v \rangle \neq 0 \implies \text{bending dominated}$$

$$\langle f, v \rangle = 0 \forall v \in V_0, f \in W' \implies \text{membrane dominated}$$

Bending dominated shell problems

$$\exists v \in V_0 : \langle f, v \rangle \neq 0$$

The solution u_t of the scaled problem

$$\begin{cases} \text{Find } u_t \in V \text{ such that} \\ t^3 A_b(u_t, v) + t A_m(u_t, v) = t^3 \langle f, v \rangle \quad \forall v \in V \end{cases}$$

converges in V to u_0 , solution of

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Moreover the bending part of the energy dominates the problem

$$\lim_{t \rightarrow 0^+} R(t) := \lim_{t \rightarrow 0^+} \frac{t^3 A_b(u_t, u_t)}{t^3 A_b(u_t, u_t) + t A_m(u_t, u_t)} = 1 .$$

Membrane dominated shell problems

$$\langle f, v \rangle = 0 \quad \forall v \in V_0, \quad f \in W'$$

The solution u_t of the scaled problem

$$\begin{cases} \text{Find } u_t \in V \text{ such that} \\ t^3 A_b(u_t, v) + t A_m(u_t, v) = \langle f, v \rangle \quad \forall v \in V \end{cases}$$

converges in W to u_0 , solution of

$$\begin{cases} \text{Find } u_0 \in W \text{ such that} \\ A_m(u_0, w) = \langle f, w \rangle \quad \forall w \in W \end{cases}$$

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Locking in shells ('small' t)

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which are essentially **singularly perturbed** problems.

Shell eigenvalue problems

- Can a similar **asymptotic** classification be introduced for the problem of shell vibration?
- What kind of energy do we expect to dominate the problem?
- When can locking be expected in the finite element analysis of shell vibration?

The shell eigenvalue problem :

$$\left\{ \begin{array}{l} \text{Find } \mathbf{u}_t \in V, \lambda_t \in \mathbb{R}^+ \text{ such that} \\ t^3 A_b(\mathbf{u}_t, \mathbf{v}) + t A_m(\mathbf{u}_t, \mathbf{v}) = \lambda_t(\mathbf{u}_t, \mathbf{v}) \quad \forall \mathbf{v} \in V \\ \|\mathbf{u}_t\|_{L^2(\Omega)} = 1 . \end{array} \right.$$

Shell eigenvalue problems

- Can a similar **asymptotic** classification be introduced for the problem of shell vibration?
- What kind of energy do we expect to dominate the problem?
- When can locking be expected in the finite element analysis of shell vibration?

In the sequel we will restrict our attention to the **first vibration modes** (i.e. lowest eigenvalue and related eigenfunctions).

'Flexural' shells

In the case

$$V_0 \neq \{0\}$$

the lowest modes are, as expected, the "weaker" bending modes.

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PROPOSITION

There exist constants C_1 and C_2 , independent of t , such that

$$C_1 t^3 \leq \lambda_t \leq C_2 t^3 .$$

Furthermore, it holds

$$\lim_{t \rightarrow 0^+} R(t, \mathbf{u}_t) = \lim_{t \rightarrow 0^+} \frac{t^3 A_b(\mathbf{u}_t, \mathbf{u}_t)}{t^3 A_b(\mathbf{u}_t, \mathbf{u}_t) + t A_m(\mathbf{u}_t, \mathbf{u}_t)} = 1 .$$

'Non-Flexural' shells

On the contrary, the condition

$$V_0 = \{0\}$$

is NOT sufficient to guarantee a membrane dominated behavior of the first vibration modes.

'Non-Flexural' shells

PROPOSITION (*BdV and Lovadina, submitted*)

Let

$$\alpha = \inf \left\{ 2\theta + 1 : L^2(\Omega) \subseteq (W', V')_{\theta, \infty} \right\}.$$

Then

$$\lambda_t \sim t^\alpha.$$

Furthermore, under additional reasonable assumptions, it holds

$$\lim_{t \rightarrow 0^+} R(t, \mathbf{u}_t) = \lim_{t \rightarrow 0^+} \frac{t^3 A_b(\mathbf{u}_t, \mathbf{u}_t)}{t^3 A_b(\mathbf{u}_t, \mathbf{u}_t) + t A_m(\mathbf{u}_t, \mathbf{u}_t)} = \frac{\alpha - 1}{2}.$$

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Let

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Then

$$\inf \{ \beta : t^\beta \lambda_t^{-1} \in L^\infty(0, 1) \} = \alpha \quad (\lambda_t \sim t^\alpha).$$

Furthermore, under additional reasonable assumptions, it holds

$$\lim_{t \rightarrow 0^+} R(t, \mathbf{u}_t) = \lim_{t \rightarrow 0^+} \frac{t^3 A_b(\mathbf{u}_t, \mathbf{u}_t)}{t^3 A_b(\mathbf{u}_t, \mathbf{u}_t) + t A_m(\mathbf{u}_t, \mathbf{u}_t)} = \frac{\alpha - 1}{2}.$$

'Non-Flexural' shells

COROLLARY

If

$$W \subseteq L^2(\Omega) \quad (*)$$

*then the first vibration modes are **membrane modes**, i.e.*

$$\lambda_t \sim t, \quad \lim_{t \rightarrow 0^+} R(t, \mathbf{u}_t) = 0.$$

*Otherwise the first modes are expected to behave in a **"mixed"** way.*

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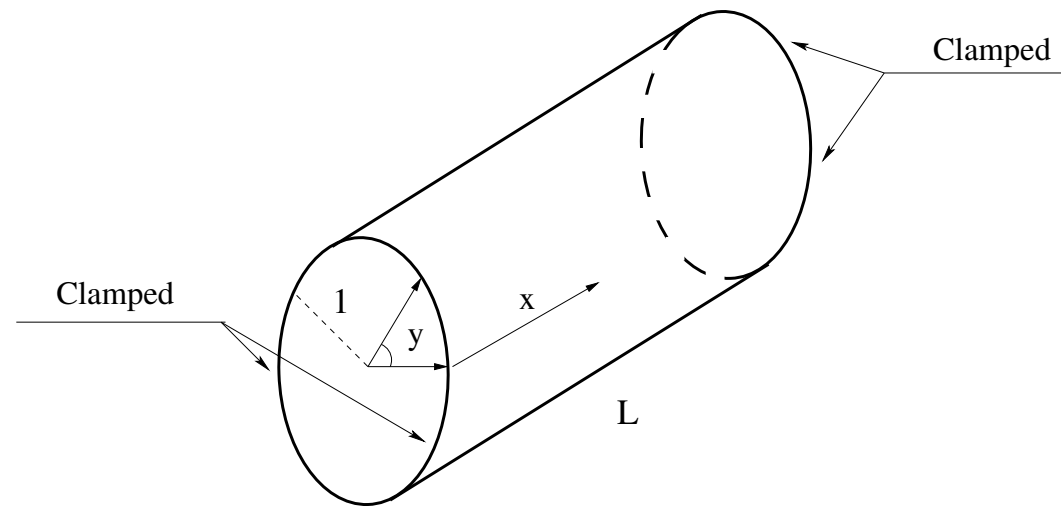
$$\lambda_t \sim t, \quad \lim_{t \rightarrow 0^+} R(t, \mathbf{u}_t) = 0.$$

Otherwise the first modes are expected to behave in a "mixed" way.

- Note that condition (*) above is in general **true only for elliptic shaped shells** with sufficiently strong boundary conditions.
- **LOCKING** may be present even if $V_0 = \{0\}$!

Clamped cylindrical shells

We consider the eigenvalue problem of a **clamped cylindrical shell**.



It holds

$$V_0 = \{0\} .$$

$$\|\mathbf{v}\|_W^2 := A_m(\mathbf{v}, \mathbf{v}) \simeq \|v_{1,x}\|_{L^2(\Omega)}^2 + \|v_{1,y} + v_{2,x}\|_{L^2(\Omega)}^2 + \|v_{2,y} + v_3\|_{L^2(\Omega)}^2$$

Clamped cylindrical shells

Applying the [previous result](#) and interpolation space theory, it can be proved that for the smaller eigenvalue and related eigenfunctions

$$\lambda_t \sim t^2, \quad \lim_{t \rightarrow 0^+} R(t, \mathbf{u}_t) = \frac{1}{2}$$

Therefore the first vibration modes present an [even mix of bending and membrane behavior](#).

We will now analyze this classical engineering problem more in deep using different techniques.

Clamped cylindrical shells

The Euler equations of the problem are

$$\begin{cases} -\beta_{11,x} - 2\beta_{12,y} = t^{-1} \lambda u_1 \\ -2\beta_{12,x} - \beta_{22,y} = t^{-1} \lambda u_2 \\ t^2(u_{3,xxxx} + u_{3,yyyy}) + \beta_{22} = t^{-1} \lambda u_3 , \end{cases}$$

where the membrane operators

$$\beta_{11} = u_{1,x} , \quad \beta_{12} = \frac{1}{2}(u_{1,y} + u_{2,x}) , \quad \beta_{22} = u_{2,y} + \frac{u_3}{R} .$$

Given the particular form of the problem, it is not restrictive to assume a Fourier expansion in the angular variable:

$$\mathbf{u}(x, y) = \sum_{K \in \mathbb{N}} \begin{pmatrix} u_1^K(x) \cos(Ky) \\ \pm u_2^K(x) \sin(Ky) \\ u_3^K(x) \cos(Ky) \end{pmatrix} , \quad K \in \mathbb{N} .$$

Clamped cylindrical shells

Due to orthogonality properties of the above functions, the problem decouples into a sequence of **one dimensional problems**, each associated to a single wave number K :

$$\begin{cases} -\beta'_{11} - 2K\beta_{12} = t^{-1}\lambda_K u_1^K \\ -2\beta'_{12} + K\beta_{22} = t^{-1}\lambda_K u_2^K \\ t^2(u_3^{K''''} + K^4 u_3^K) + \beta_{22} = t^{-1}\lambda_K u_3^K \end{cases}$$

where now

$$\beta_{11} = u_1^{K'} , \quad \beta_{12} = \frac{1}{2}(-K u_1^K + u_2^{K'}) , \quad \beta_{22} = K u_2^K + u_3^K .$$

Clamped cylindrical shells

Essentially with the use of **scaling arguments**, we can prove the following asymptotic ($t \rightarrow 0$) result:

PROPOSITION (*BdV, Hakula and Pitkäranta*)

Assuming that $\lambda \sim t^\gamma$ for some $\gamma \in \mathbf{R}$, we get the following amplitude scalings

$$\begin{aligned} \lambda &\sim t^2, & K &\sim t^{-1/4}, & Lng &\sim 1, \\ u_1 &\sim t^{1/2}, & u_2 &\sim t^{1/4}, & u_3 &\sim 1, \\ \beta_{11} &\sim t^{1/2}, & \beta_{12} &\sim t^{3/4}, & \beta_{22} &\sim t. \end{aligned}$$

*Note: the simplified eigenvalue behaves as $\lambda \sim t^3 K^4 + t K^{-4}$ which suggests $K \sim t^{-1/4}$ and an **even balance** between the two energies.*

Presence of locking

Introducing the aforementioned scalings (in t) into the definition of the elastic energy, we get

$$1 \sim \bar{E} := t E \sim \|\bar{u}_{3,yy}\|_{L^2(\omega)}^2 + t\|\bar{u}_{3,xx}\|_{L^2(\omega)}^2 + \|\bar{u}_{1,x}\|_{L^2(\omega)}^2 \\ + t^{-1/2}\|\bar{u}_{1,y} + \bar{u}_{2,x}\|_{L^2(\omega)}^2 + t^{-1}\|\bar{u}_{2,y} + \bar{u}_3\|_{L^2(\omega)}^2 ,$$

where all the barred quantities above

$$\bar{E} , \bar{u}_{3,yy} , \bar{u}_{3,xx} , \bar{u}_{1,x} , \dots , \bar{u}_3 \sim \mathbf{1} .$$

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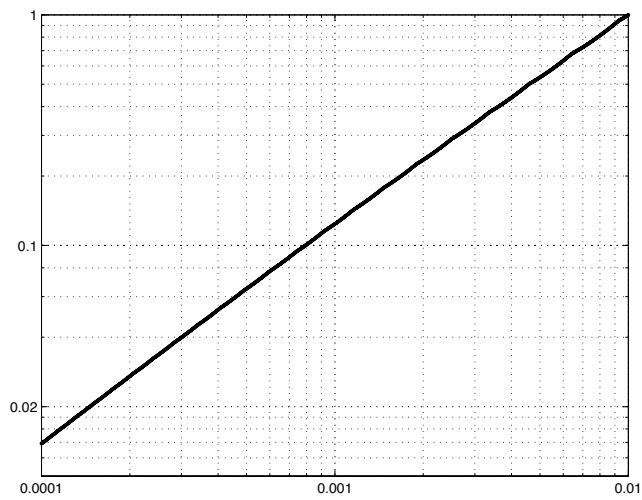
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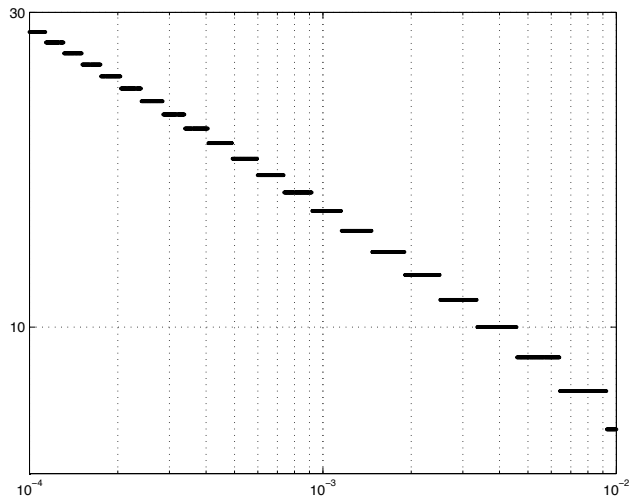
Then, the **negative powers of t** above represent a constraint (at the limit) and therefore a **source of locking**.

Note: in classical bending dominated shells the "locking factor" is typically t^{-2} .

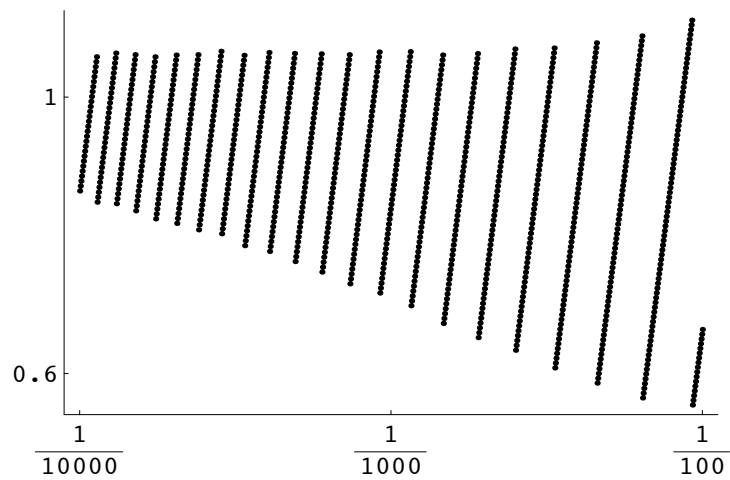
ONE DIMENSIONAL TESTS



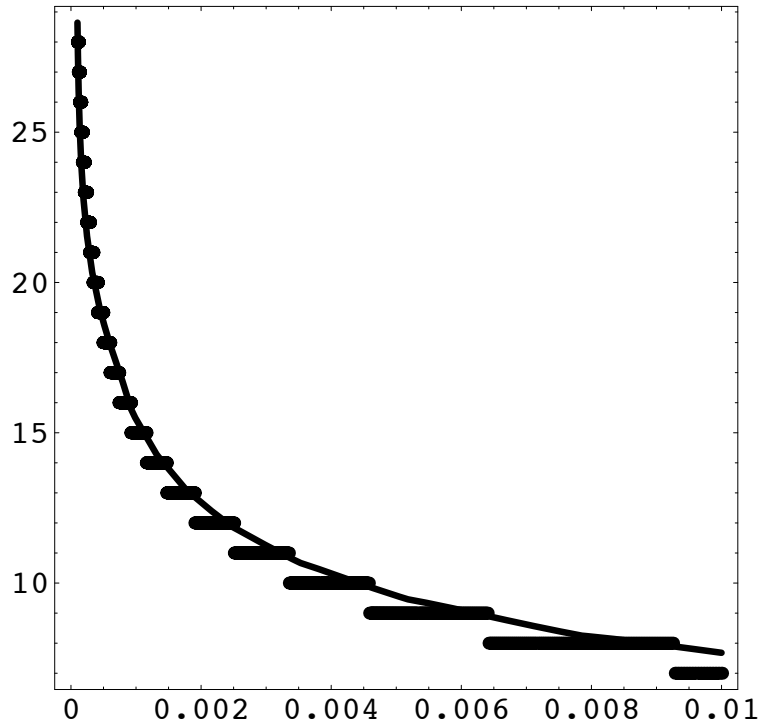
Minimum λ (divided by t) as function of t . Logarithmic scale.



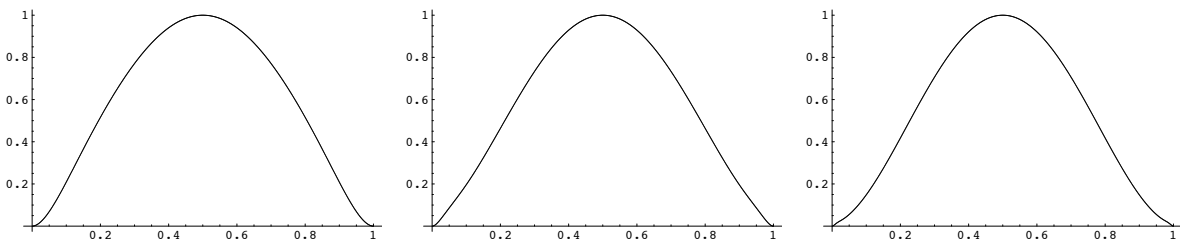
K related to the minimum λ as function of t .
Logarithmic scale.



Energy ratios A_b/A_m at minimum λ as function of t . Logarithmic scale.



Energy balance curve ($A_b/A_m = 1$) and K related to the minimum λ as functions of t .



Minimum modes, transverse deflection, scaled to 1; thicknesses $t = 10^{-2}, 10^{-3}, 10^{-4}$.

TWO DIMENSIONAL TESTS

Mesh	D.o.f	K	λ
$10^* \times 70$	3905	4	4.50
$20^* \times 70$	7455	4	3.83
$30^* \times 70$	11005	4	3.72
$10^* \times 140$	7755	5	3.60
$20^* \times 140$	14805	5	2.91
$30^* \times 140$	21855	5	2.79
$10^* \times 280$	15455	6	3.06
$20^* \times 280$	29505	6	2.36
$30^* \times 280$	43555	6	2.22
Target		7	0.99

Rectangular elements, $p=1$. Minimum computed λ and related K . Thickness $t = 10^{-2}$.

Mesh	D.o.f	K	λ
$10^* \times 150$	8305	5	2.78
$20^* \times 150$	15855	5	1.97
$30^* \times 150$	23405	5	1.47
$10^* \times 300$	16555	7	2.16
$20^* \times 300$	31605	7	1.30
$30^* \times 300$	46655	7	1.70
$10^* \times 450$	24805	8	1.86
$20^* \times 450$	47355	8	1.04
$30^* \times 450$	69905	8	0.92
Target		15	0.12

Rectangular elements, $p=1$. Minimum computed λ and related K . Thickness $t = 10^{-3}$.

Mesh	D.o.f	K	λ
$10^* \times 24$	3945	6	1.33
$20^* \times 24$	7645	6	1.31
$30^* \times 24$	11345	6	1.31
$10^* \times 50$	8105	7	1.04
$20^* \times 50$	15705	7	1.04
$30^* \times 50$	23305	7	1.04
$10^* \times 70$	11305	7	1.02
$20^* \times 70$	21905	7	1.02
$30^* \times 70$	32505	7	1.02
Target		7	0.99

Rectangular elements, $p=2$. Minimum computed λ and related K . Thickness $t = 10^{-2}$.

Mesh	D.o.f	K	λ
$10^* \times 38$	6185	9	0.44
$20^* \times 38$	11985	10	0.42
$30^* \times 38$	17785	10	0.41
$10^* \times 75$	12105	13	0.18
$20^* \times 75$	23455	14	0.17
$30^* \times 75$	34805	14	0.17
$10^* \times 150$	24105	15	0.13
$20^* \times 150$	46705	15	0.13
$30^* \times 150$	69305	15	0.13
Target		15	0.12

Rectangular elements, $p=2$. Minimum computed λ and related K . Thickness $t = 10^{-3}$.