

# Cochain projections in finite element exterior calculus

Ragnar Winther

CMA, University of Oslo  
Norway

based on joint work with: Douglas N. Arnold, Minnesota, Richard S. Falk, Rutgers, and Snorre H. Christiansen, Oslo

# Bounded Hilbert complexes

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Examples:

The de Rham complex ( $\Omega \subset \mathbb{R}^3$ ):

$$0 \rightarrow H^1(\Omega) \xrightarrow{\text{grad}} H(\text{curl}, \Omega) \xrightarrow{\text{curl}} H(\text{div}, \Omega) \xrightarrow{\text{div}} L^2(\Omega) \rightarrow 0$$

Spaces with vanishing trace:

$$0 \rightarrow \mathring{H}^1(\Omega) \xrightarrow{\text{grad}} \mathring{H}(\text{curl}, \Omega) \xrightarrow{\text{curl}} \mathring{H}(\text{div}, \Omega) \xrightarrow{\text{div}} L^2(\Omega) \rightarrow 0$$

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Assumptions:  $V^k \subset W^k$ , where  $(W, d)$  is a *closed* Hilbert complex, with associated domain complex  $(V, d)$ .

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Hodge decomposition:

$$V^k = \mathfrak{Z}^k \oplus \mathfrak{Z}^{k\perp} = d(V^{k-1}) \oplus \mathfrak{H}^k \oplus \mathfrak{Z}^{k\perp}$$

The Poincaré inequality

$$\|\nu\| \leq c_P \|d^k \nu\|, \quad \nu \in \mathfrak{Z}^{k\perp},$$

follows from Banach's bounded inverse theorem. Here,  
 $\|\cdot\| = \|\cdot\|_W$ .

# Abstract Hodge Laplacian

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The abstract Hodge Laplacian (corresponds to a mixed formulation of  $(dd^* + d^*d)u = f$ ): Find  $(\sigma, u, p) \in V^{k-1} \times V^k \times \mathfrak{H}^k$  satisfying

$$\begin{aligned}\langle \sigma, \tau \rangle - \langle d\tau, u \rangle &= 0, & \tau \in V^{k-1}, \\ \langle d\sigma, \nu \rangle + \langle du, d\nu \rangle + \langle \nu, p \rangle &= \langle f, \nu \rangle, & \nu \in V^k, \\ \langle u, q \rangle &= 0, & q \in \mathfrak{H}^k.\end{aligned}$$

Here  $\langle \cdot, \cdot \rangle$  denotes the  $W$  inner products. This problem is *well-posed*, thanks to the Poincaré inequality.

# Approximation of Hilbert complexes

Consider a commuting diagram of the form

$$\begin{array}{ccccccc} 0 & \rightarrow & V^0 & \xrightarrow{d} & V^1 & \xrightarrow{d} & \dots \xrightarrow{d} V^n \rightarrow 0 \\ & & \downarrow \pi_h & & \downarrow \pi_h & & \downarrow \pi_h \\ 0 & \rightarrow & V_h^0 & \xrightarrow{d} & V_h^1 & \xrightarrow{d} & \dots \xrightarrow{d} V_h^n \rightarrow 0 \end{array}$$

where the “discrete space”  $V_h^k \subset V^k$ , and the operators  $\pi_h^k$  are projections.

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where the “discrete space”  $V_h^k \subset V^k$ , and the operators  $\pi_h^k$  are projections.

Assumption: The operators  $\pi_h$  are *uniformly bounded* (wrt.  $h$ ) in  $\mathcal{L}(V)$ .

As a result *the discrete Poincaré inequality* holds, and the discrete Hodge Laplace problem is *stable*.

# Discrete Poincaré inequalities

The discrete Poincaré inequality:

$$\|v\| \leq c \|dv\| \quad v \in \mathfrak{Z}_h^\perp \subset V_h. \quad (1)$$

(1)  $\Leftrightarrow \exists$  cochain projections  $\{\pi_h\}$  uniformly bounded in  $\mathcal{L}(V)$

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*Strengthened* discrete Poincaré inequality:

$$\|\nu\| \leq c \|\hat{\nu}\| = c \|d\nu\|_{(V^*)}, \quad (2)$$

for all  $(\nu, \hat{\nu}) \in Z_h^\perp \times Z^\perp$  with  $d\hat{\nu} = d\nu$ .

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(2)  $\Leftrightarrow \exists$  coch. proj.  $\{\pi_h\}$  with  $P_h\pi_h$  uniformly bounded in  $\mathcal{L}(W)$

Here  $P_h$  is the orthogonal projection onto  $\mathfrak{Z}_h^{\perp W}$ . The importance of (2) is that it is equivalent to operator convergence (and therefore eigenvalue convergence).

# Finite element exterior calculus

$V^k = H\Lambda^k(\Omega)$  and  $W^k = L^2\Lambda^k(\Omega)$ , where  $\Omega \subset \mathbb{R}^n$ , and the operator  $d$  is the exterior derivative.

The discrete spaces  $V_h^k$  are either of the form  $V_h^k = \mathcal{P}_r\Lambda^k(\mathcal{T}_h)$  or  $V_h^k = \mathcal{P}_r^-\Lambda^k(\mathcal{T}_h)$ .

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They form a complex if they are composed as follows:

$$\left\{ \begin{array}{c} \mathcal{P}_{r+1}\Lambda^{k-1}(\mathcal{T}) \\ \text{or} \\ \mathcal{P}_{r+1}^-\Lambda^{k-1}(\mathcal{T}) \end{array} \right\} \xrightarrow{d} \left\{ \begin{array}{c} \mathcal{P}_{r+1}^-\Lambda^k(\mathcal{T}) \\ \text{or} \\ \mathcal{P}_r\Lambda^k(\mathcal{T}) \end{array} \right\}$$

## Degrees of freedom

An element  $u \in \mathcal{P}_r \Lambda^k(\mathcal{T}_h)$  is uniquely determined by

$$\int_f \operatorname{tr}_f u \wedge \nu, \quad \nu \in \mathcal{P}_{r+k-\dim f}^- \Lambda^{\dim f-k}(f), \quad f \in \Delta(\mathcal{T}_h).$$

An element  $u \in \mathcal{P}_r^- \Lambda^k(\mathcal{T}_h)$  is uniquely determined by

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To simplify the notation we let  $\mathcal{P} \Lambda^k(\mathcal{T}_h)$  be a family of spaces either of the form  $\mathcal{P}_r \Lambda^k(\mathcal{T}_h)$  or  $\mathcal{P}_r^- \Lambda^k(\mathcal{T}_h)$ .

Furthermore, the test space associated the face  $f \in \Delta(\mathcal{T}_h)$  is simply denoted  $\mathcal{P}'(f, k)$ . Hence, an element  $u \in \mathcal{P} \Lambda^k(\mathcal{T}_h)$  is uniquely determined by

$$\int_f \operatorname{tr}_f u \wedge \nu, \quad \nu \in \mathcal{P}'(f, k), \quad f \in \Delta(\mathcal{T}_h).$$

# The canonical projection

The canonical projections,  $I_h^k$  onto  $\mathcal{P}\Lambda^k(\mathcal{T}_h)$  are determined by these degrees of freedom. More precisely,

$$\int_f \operatorname{tr}_f(u - I_h^k u) \wedge \nu = 0, \quad \nu \in \mathcal{P}'(f, k), f \in \Delta(\mathcal{T}_h).$$

These projections are well defined, and they commute with  $d$  in the situations where the spaces  $\mathcal{P}\Lambda^k(\mathcal{T}_h)$  make up a complex.

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In fact, the latter property follows from two key properties on the test spaces  $\mathcal{P}'(f, k)$ :

$$\text{i) } \nu \in \mathcal{P}'(f, k+1) \implies d\nu \in \mathcal{P}'(f, k)$$

$$\text{ii) } \nu \in \mathcal{P}'(f, k+1) \text{ and } g \in \Delta_{\dim f - 1}(f) \implies \operatorname{tr}_g \nu \in \mathcal{P}'(g, k)$$

## The canonical projection

The projections  $\{I_h^k\}$  commutes as a result of Stokes theorem. For  $\nu \in \mathcal{P}'(f, k+1)$

$$\begin{aligned}\int_f I_h^{k+1} du \wedge \nu &= \int_f du \wedge \nu \\ &= (-1)^{k+1} \int_f u \wedge d\nu + \int_{\partial f} u \wedge \nu \\ &= (-1)^{k+1} \int_f I_h^k u \wedge d\nu + \int_{\partial f} I_h^k u \wedge \nu \\ &= \int_f dI_h^k u \wedge \nu\end{aligned}$$

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So the canonical projections commute with the exterior derivative, but they are *not bounded* on  $H\Lambda^k$ , since they require traces on all subcomplexes of  $\mathcal{T}_h$ .

# Alternative projections

- ▶ projection based interpolation (Demkowicz, D-Buffa, ...) (*require* traces, but behaves well with respect polynomial degree)

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- ▶ projection based interpolation (Demkowicz, D-Buffa, ...) (*require* traces, but behaves well with respect polynomial degree)
- ▶ smoothed projections (Schöberl, Christiansen, A-F-W,..) (do not require traces, but seem to *require* bounded polynomial degree)

# The Clément operator

For each  $f \in \Delta(\mathcal{T}_h)$  let

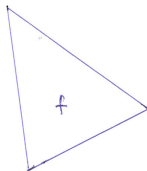
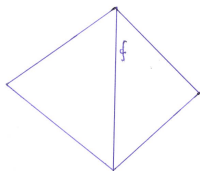
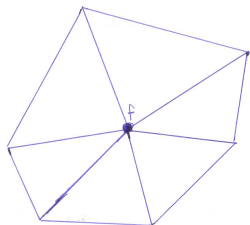
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# The Clément operator

The classical Clément operator (Clément 1975) is of the form

$$\pi_h^k : L^2 \Lambda^k(\Omega) \xrightarrow{\Phi_h^k} \bigotimes_{f \in \Delta(\mathcal{T}_h)} L^2 \Lambda^k(\Omega_f) \xrightarrow{P_h^k} \bigotimes_{f \in \Delta(\mathcal{T}_h)} \mathcal{P} \Lambda^k(\Omega_f) \xrightarrow{I_h^k} \mathcal{P} \Lambda^k(\mathcal{T}_h)$$

Here the  $f$ -component of  $\Phi_h^k u$  is just  $u|_{\Omega_f}$ , and  $P_h^k$  consist of local  $L^2$  projections onto polynomial forms.

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$$\int_f I_h^k u \wedge \nu = \int_f u_f \wedge \nu, \quad \nu \in \mathcal{P}(f, k), f \in \Delta(\mathcal{T}_h).$$

This operator is  $L^2$  bounded. However, it is *not* a projection, and it does *not* commute with the exterior derivative.

## A commuting projection of Clément type

The purpose of the rest of this talk is to construct a commuting projection of Clément type, which will be bounded in  $H\Lambda^k$ .

These operators will be of the form

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Here  $\mathcal{T}_{f,h}$  is the restriction of  $\mathcal{T}_h$  to  $\Omega_f$ .

The operator  $P_h^k$  will be defined locally and independently on each domain  $\Omega_f$ . So for  $u_f \in H\Lambda^k(\Omega_f)$  we define  $u_{f,h} \in \mathcal{P}\Lambda^k(\mathcal{T}_{f,h})$  by

$$\|d(u_{f,h} - u_f)\| = \inf_{v \in \mathcal{P}\Lambda^k(\mathcal{T}_{f,h})} \|d(v - u_f)\|$$

$$\text{subject to } \langle v, d\tau \rangle = \langle u_f, d\tau \rangle, \quad \tau \in \mathcal{P}\Lambda^{k-1}(\mathcal{T}_{f,h}).$$

The mapping  $u_f \mapsto u_{f,h} = (P_h^k u)_f$  can alternatively be characterized by the system:

$$\begin{aligned}\langle u_{f,h}, d\tau \rangle &= \langle u_f, d\tau \rangle, \quad \tau \in \mathcal{P}\Lambda^{k-1}(\mathcal{T}_{f,h}) \\ \langle du_{f,h}, dv \rangle &= \langle du_f, dv \rangle, \quad v \in \mathcal{P}\Lambda^k(\mathcal{T}_{f,h})\end{aligned}$$

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As a consequence, a proper Poincaré inequality will imply  $H\Lambda$  stability, and this can be derived by scaling (for bounded polynomial degree).

It remains to construct the operator

$I_h^k : \bigotimes_{f \in \Delta(\mathcal{T}_h)} \mathcal{P}\Lambda^k(\mathcal{T}_{f,h}) \rightarrow \mathcal{P}\Lambda^k(\mathcal{T}_h)$  so that it is  $H\Lambda$  bounded and it commutes with  $d$ .

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$$u_f = U|_{\Omega_f} \quad \forall f \in \Delta(\mathcal{T}_h), \quad \text{where } U \in \mathcal{P}\Lambda^k(\Omega),$$

then  $I_h^k u = U$ . This will ensure that the operator

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The operator  $I_h^k$  will be of the form  $I_h^k = \sum_f I_{f,h}^k$ , where

$$I_{f,h}^k u \in \mathring{\mathcal{P}}\Lambda^k(\mathcal{T}_{f,h}), \quad S_{f,h}^k u := \text{tr}_f I_{f,h}^k u \in \mathring{\mathcal{P}}\Lambda^k(f)$$

Each operator  $I_{f,h}$  will be of the form  $I_{f,h} = E_{f,h}^k \circ S_{f,h}^k$ , where

$E_{f,h}^k : \mathring{\mathcal{P}}\Lambda^k(f) \rightarrow \mathring{\mathcal{P}}\Lambda^k(\mathcal{T}_{f,h})$  is the harmonic extension.

## Harmonic extension

For  $\phi \in \mathring{\mathcal{P}}\Lambda^k(f)$  define  $E_{f,h}^k \phi = u_{f,h} \in \mathring{\mathcal{P}}\Lambda^k(\mathcal{T}_{f,h})$ , where  $u_{f,h}$  solves

$$\begin{aligned}\langle u_{f,h}, d\tau \rangle &= 0, \quad \tau \in \bar{\mathcal{P}}\Lambda^{k-1}(\mathcal{T}_{f,h}), \\ \langle du_{f,h}, d\nu \rangle &= 0, \quad \nu \in \bar{\mathcal{P}}\Lambda^k(\mathcal{T}_{f,h}) \\ \text{tr}_f u_{f,h} &= \phi.\end{aligned}$$

Here,

$$\bar{\mathcal{P}}\Lambda^k(\mathcal{T}_{f,h}) = \{\nu \in \mathring{\mathcal{P}}\Lambda^k(\mathcal{T}_{f,h}) \mid \text{tr}_f \nu = 0\},$$

and all the  $L^2$ -inner products are with respect to the domain  $\Omega_f$ . This extension operator commutes with  $d$ .

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Hence, it remains to define the operator

$$S_{f,h}^k = \text{tr}_f I_{f,h}^k : \bigotimes_{f \in \Delta(\mathcal{T}_h)} \mathcal{P}\Lambda^k(\mathcal{T}_{f,h}) \rightarrow \mathring{\mathcal{P}}\Lambda^k(f)$$

The operators  $I_{f,h}^0$  and  $S_{f,h}^0 = \text{tr}_f I_{f,h}^0$

We inductively require

$$\int_f S_{f,h}^0 u \wedge v = \int_f \text{tr}_f (u_f - \sum_{g \not\subseteq f} I_{g,h}^0 u) \wedge v, \quad v \in \mathcal{P}'(f, 0).$$

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which implies that

$$\int_f \text{tr}_f (I_h^0 u - u_f) \wedge \nu = 0, \quad \nu \in \mathcal{P}'(f, 0).$$

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$$\int_f S_{f,h}^0 u \wedge \nu = \int_f \text{tr}_f (u_f - \sum_{g \not\subseteq f} I_{g,h}^0 u) \wedge \nu, \quad \nu \in \mathcal{P}'(f, 0).$$

which implies that

$$\int_f \text{tr}_f (I_h^0 u - u_f) \wedge \nu = 0, \quad \nu \in \mathcal{P}'(f, 0).$$

This ensures that  $\pi_h^0$  is a projection.

## The operators $S_{f,h}^k = \text{tr}_f I_{f,h}^k$

The operators  $S_{f,h}^k$  are defined inductively by

$$\int_f S_{f,h}^k u \wedge \eta = \int_f \text{tr}_f (dI_h^{k-1} R_f^k u + R_f^{k+1} du_f - \sum_{g \ni f} I_{g,h}^k u) \wedge \nu,$$

for  $\nu \in \mathcal{P}'(f, k)$ .

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We have the identity

$$\nu = dR_f^k \nu + R_f^{k+1} d\nu,$$

for all  $\nu \in H\Lambda^k(\Omega_g)$ ,  $k \geq 1$  with  $g \subset f$ .

$\pi_h^k$  is a projection

From the definition above we obtain that

$$\int_f \operatorname{tr}_f I_h^k u \wedge \nu = \int_f \operatorname{tr}_f (dI_h^{k-1} R_f^k u + R_f^{k+1} du_f) \wedge \nu, \quad \nu \in \mathcal{P}'(f, k).$$

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By an inductive argument this will imply that  $\pi_h^k$  is a projection.

## $\pi_h^k$ is a cochain projection

To show that  $I_h^k$  commutes with  $d$  we will use the fact that

$$\int_f dI_h^{k-1} du \wedge \nu = 0, \quad \nu \in \mathcal{P}'(f, k). \quad (3)$$

Here  $u \in \otimes_g \mathcal{P}\Lambda^{k-2}(\mathcal{T}_{g,h})$ .

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$$\begin{aligned} \int_f I_h^k du \wedge \nu &= \int_f dI_h^{k-1} R_f^k du \wedge \nu \\ &= \int_f dI_h^{k-1} (u - dR_f^{k-1} u) \wedge \nu = \int_f dI_h^{k-1} u, \quad \nu \in \mathcal{P}'(f, k) \end{aligned}$$

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Finally, the identity (3) can be established by induction on  $k$ .