





Weierstrass Institute for Applied Analysis and Stochastics

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# Some problems associated with the second order optimal shape of a crystallisation interface

Pierre-Étienne Druet

# Topic: Crystal growth from the melt (Czochralski method) in traveling magnetic fields

Project heads: J. Sprekels, O. Klein (Weierstrass-Institute Berlin), F. Tröltzsch (TU Berlin).

- Bus-bars
- Modeling, simulation, optimal control.
- Investigation of a convection damping method based on traveling magnetic fields: Heater Magnet Module, project KRISTMAG<sup>®</sup> of Leibniz Institute of crystal growth Berlin (2008).
- Recently: modeling and control of effects associated with the crystallization interface (free boundary).







#### Content

- **1** Crystal growth, model equations, classical formulation
- 2 The control approach
- **3** A one-phase problem. Differentiable optimization
- 4 Bilateral coupling. Non-differentiable optimization



# **1** Crystal growth, model equations, classical formulation

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#### Model

Geometry for the analysis in the system crystal-melt. Model the local (near to) equilibria in time (process is very slow).

Heat equation for the temperature in the domain  $\Omega:=G\times]-L,\,L[$ 

$$-\operatorname{div}(k_S(\theta) \nabla \theta) = f(x) \text{ in } \Omega \setminus S.$$

Transmission conditions for the heat flux

$$[-k_S \nabla \theta \cdot \nu] = \lambda(x) \text{ on } S.$$



Stefan condition (without or with surface tension) on  ${\cal S}$ 

$$\theta - \theta_{\rm eq} = 0\,, \qquad \theta - \theta_{\rm eq} = {\rm div}_S\, \sigma_q(x,\,\nu) + \sigma_x(x,\,\nu)\cdot\nu\,.$$

Minimization principle for the free energy

$$\Psi(S,\,\theta) := \int_S \sigma(x,\,\nu)\,dH_2 + \int_{\partial G\times ]-L,L[} \kappa(x)\,\chi_S\,dH_2 - \int_\Omega (\theta-\theta_{\rm eq})\,\chi_S\,dx\,.$$



**Quoting**: Giaquinta, Modica, Souček, *Cartesian currents in the calculus of variations* about the problem of minimal surfaces:

Geometric measure theory provides in some sense the right setting for that. However, the result will be a kind of collection of problems, the precise formulation of each problem depending on the definitions one adopts for "surface", "boundary" and "area"

 $\Rightarrow$  There is a part of **freedom** in how to interpret a geometric equation. **Geometric measure theory** introduces notions of a *surface* sufficiently general/weak to allow for topological changes, compactness, lower s.c. of typical free energies.

Surface := boundary of a Caccioppoli set ( $\chi \in BV(\Omega)$ ,  $|\chi| = 1$  a. e. in  $\Omega$ ). Free-energy:

$$\Psi(\chi,\,\theta) := \int_{\Omega} \sigma(x,\,\frac{D\chi}{|D\chi|})\,d|D\chi| + \int_{\partial G\times ]-L,L[} \kappa(x)\,\chi\,dH_2 - \int_{\Omega} (\theta-\theta_{\mathrm{eq}})\,\chi\,dx\,.$$

Parametric minimization problem for the free energy  $\Psi$ :

 $\mathrm{Min}\,\Psi(\chi,\,\theta),\quad \chi\in BV(\Omega),\, |\chi|=1 \text{ almost everywhere, } \theta \text{ fixed.}$ 



#### Special features of the application in crystal growth:

- Industrial crystal growth is a *controled process*. In particular, there is a control on the topology of the interface.
- There is a *fixed crystallization direction* imposed by the *applied temperature gradient*.

No topological change is expected if the system is properly controled. Moreover:

- Defect formation in crystal growth: interest for the *optimal shape* of S.
- Need to control the shape up to *second order quantities* (convexity, curvature).

All this cannot be expressed for too general a notion of surface.

Non-parametric minimization problem for the surface free-energy  $\Psi(S, \theta)$ . Minimization in a class of graphs in a fixed coordinate system  $S = \operatorname{graph}(\psi; G)$ 

$$\Psi(\psi,\,\theta) := \int_{G} \bar{\sigma}(\bar{x},\,\psi,\,\nabla\psi)\,d\bar{x} + \int_{\partial G} \left(\int_{-L}^{L} \operatorname{sign}(t-\psi(\bar{x}))\,\kappa(\bar{x},\,\psi(\bar{x}),\,t)\,dt\right)dH_{1}$$
$$- \int_{G} \left(\int_{-L}^{L} \operatorname{sign}(t-\psi(\bar{x}))\,\theta(\bar{x},\,\psi(\bar{x}),\,t)\,dt\right)d\bar{x}\,.$$

Here  $\bar{\sigma}(x, q) = \sigma(x, -q, 1)$   $(q \in \mathbb{R}^2)$  satisfies  $\lambda_0 \sqrt{1+q^2} \le \bar{\sigma}(x, q) \le \mu_0 \sqrt{1+q^2}$ .



## Under what kind of assumption can we apply the classical approach ?

Consider data  $\sigma$  and  $\kappa$  independent on the z-variable:  $\sigma = \sigma(\bar{x}, q), \kappa = \kappa(\bar{x}), \bar{x} \in G$ . Assume that  $q \mapsto \sigma(\bar{x}, q)$  is convex.

For the temperature gradient assume the strong sign condition

$$\sup_{G\times\mathbb{R}}\partial_z\theta<0.$$

These conditions garanty that the non-parametric free energy  $\Psi$  is **convex**!

The equation associated with the Stefan condition ( $\sigma = 0$ ):

$$heta(ar{x},\,\psi(ar{x}))=0$$
 for  $ar{x}\in G$  ,

has a unique solution  $\psi \in C^2(\overline{G})$  provided that  $\theta \in C^2(\overline{G} \times \mathbb{R})$  (Implicit function theorem).

The contact angle problem for the generalized mean curvature equation

 $-\operatorname{div} \bar{\sigma}_q(\bar{x},\,\nabla\psi) = \theta(\bar{x},\,\psi) \text{ in } G, \quad -\bar{\sigma}_q(\bar{x},\,\nabla\psi)\cdot n(\bar{x}) = \kappa(\bar{x}) \text{ on } \partial G\,,$ 

has a unique solution in  $C^{2,\alpha}(\overline{G})$  provided that  $\theta \in C^{1,\alpha}(\overline{G} \times \mathbb{R})$  [results by Uraltseva, L. Simon, Spruck, Trudinger (1970s, 1980s)].



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The non-parametric approach of the geometric problem is justified for monotone temperature profiles along the z-direction.

**Problem for the mathematical method**: the sign condition  $\partial_z \theta < 0$  in  $\Omega$  is not to expect for the solution of a general heat equation and *explicit classes of data*.

Heat sources, liquid convection, anisotropic heat diffusion, transmission conditions can deviate the applied temperature gradient.

 $\implies$  Difficulties to couple the mean curvature eq. approach to the heat equation in mathematical analysis.

# The legitimacy of the classical problem formulation relies on control theoretical assumptions:

We postulate that the crystallization process can be controled in such a way:

- That  $\partial_z \theta < 0$  pointwise in  $\Omega$  (pointwise state constraint for  $\partial_z \theta$ );
- That there is 0 < L' < L such that  $-L' < \psi(\bar{x}) < L'$  for all  $\bar{x} \in G$  (pointwise state constraint on  $\psi$ ).



#### **Optimal control**

Our approach in control theory

Solve the heat equation  $-\operatorname{div}(k\nabla\theta) = f$  in  $\Omega$  with the radiation boundary condition

$$-k 
abla heta \cdot n = eta \left( heta^4 - heta^4_{\mathsf{Ext}} 
ight)$$
 on  $\partial \Omega$  .

**Control** the external temperature in  $\theta_{\text{Ext}}$ .

Solve a regularized mean curvature equation

$$-\operatorname{div}\bar{\sigma}(\bar{x},\,\nabla\psi)=E(\theta)(\bar{x},\,\psi)\ \text{in}\ G,\quad -\bar{\sigma}(\bar{x},\,\nabla\psi)\cdot n(\bar{x})=\kappa(\bar{x})\ \text{on}\ \partial G\,,$$

with a monotonization operator, for instance

$$E(\theta)(\bar{x},z) = \theta(\bar{x},z) - \|[\partial_z \theta - \gamma]^+\|_{L^{\infty}(\Omega)} z, \quad \gamma < 0.$$

Impose pointwise state constraints

$$\partial_z heta \leq \gamma < 0$$
 in  $\Omega, \quad -L' \leq \psi \leq L'$  in  $G$  .

**Def**: Call feasible a control  $\theta_{\text{Ext}}$  if solution(s)  $(\theta, \psi)$  satisfy the pointwise state constraints. **Note**:  $E(\theta) = \theta$  for a feasible control.

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#### A smooth problem

We first study the situation that the heat equation **decouples** from the geometric equation, and can be solved independently. That means:

- One-phase problem:  $k_{\text{liquid}} = k_{\text{solid}}$ , where k = heat-conductivity;
- No release of latent heat, purely static equilibrium:  $[-k\nabla\theta\cdot\nu] = \lambda = 0$  on S.

#### Results:

Existence of a (continuously differentiable) control to state mapping

$$\theta_{\mathsf{Ext}} \in W^{1,q}(\Omega) \, (q > 3) \longmapsto (\psi, \, \theta) \in C^{2,\alpha}(\overline{G}) \times W^{2,q}(\Omega) \, .$$

Existence of an optimal control for the relevant second order objective functionals:

$$J(\psi, \theta) := \frac{1}{2} \|\psi - \psi_d\|_{W^{2,2}(G)}^2 + \frac{1}{2} \|\theta - \theta_d\|_{W^{1,2}(S)}^2.$$

Lagrange multipliers, adjoint equation, first order optimality system.



#### Lemma

Assume that  $\Omega = G \times ] - L$ , L[, with  $G \subset \mathbb{R}^2$  a bounded domain of class  $\mathcal{C}^2$ . Assume that  $f \in L^q(\Omega)$ , q > 3. Let k be uniformly elliptic and satisfy

$$k = \begin{pmatrix} \tilde{k} & 0\\ 0 & 1 \end{pmatrix}, \quad \tilde{k} \in C^1(\overline{\Omega}; \mathbb{R}^{2 \times 2}).$$

Let  $u \in W^{1,q}(\Omega)$ . Then, there is a unique  $\theta \in W^{2,q}(\Omega)$  satisfying

$$-\operatorname{div}(k\,\nabla\theta)=f \text{ in }\Omega, \quad -k\,\nabla\theta\cdot n=\beta\,(|\theta|^3\,\theta-|u|^3\,u) \text{ on }\partial\Omega\,.$$

**Proof:** 
$$\Gamma_1 := \partial G \times ] - L, L[, \Gamma_2 := G \times \{-L, L\}.$$

Look at the PDEs and boundary conditions satisfied by the derivatives of  $\theta$ , in particular by the functions  $\theta_z$ ,  $kn_{\Gamma_1} \cdot \nabla \theta$  and  $(n_{\Gamma_1} \times e_z) \cdot \nabla \theta$  (distributional sense).

Relying on the structure of k and the fact that  $\Gamma_1$  and  $\Gamma_2$  meet at right angle, the claim follows from the  $W^{1,q}$ -theory for elliptic equations with mixed boundary conditions on Lipschitz domains.



#### Lemma

 $G \subset \mathbb{R}^2$ , a bounded domain of class  $\mathcal{C}^{2,\alpha}, \alpha \in ]0, 1]$ ;  $\sigma \in C^3(\overline{G} \times \mathbb{R}^3 \setminus \{0\})$ , convex and one-homogeneous in the q-variable;  $\kappa \in C^{1,\alpha}(\partial G)$  satisfies the assumption  $\|\kappa\|_{\infty} < \lambda_0$ ( $\lambda_0 =$  largest constant such that  $\sigma(\overline{x}, q) \geq \lambda_0 |q|$ ).  $\theta \in C^{1,\alpha}(\overline{G} \times \mathbb{R})$  satisfies the condition  $\gamma_0 := \sup_{G \times \mathbb{R}} \theta_z < 0$  in  $G \times \mathbb{R}$ . Then there is a unique  $\psi \in C^{2,\alpha}(\overline{G})$  solution to

$$-\operatorname{div} \bar{\sigma}_q(\bar{x}, \nabla \psi) = \theta(\bar{x}, \psi) \text{ in } G, \quad -\bar{\sigma}_q(\bar{x}, \nabla \psi) \cdot n(\bar{x}) = \kappa(\bar{x}) \text{ on } \partial G.$$

Proof: Uraltseva in

(1971) 
$$\sigma = \sigma(q), \kappa = 0, G$$
 convex. A priori estimates.

(1973)  $\sigma = \sigma(q), \kappa = \text{const}, G \text{ convex. Gradient estimate.}$ 

(1975)  $\sigma = |q|, \kappa = \text{const. Gradient estimate.}$ 

(1984)  $\sigma = \sigma(\bar{x}, q), \kappa = \kappa(x)$ . Gradient estimate.

[Survey and some extensions on existence, uniqueness and *a priori* estimates in Druet, Port. Mat., to appear].



The composition of both solution-operators is not well defined! The solution of the heat equation:

- Does not necessarily satisfy  $\theta_z < 0$ ;
- $\blacksquare \ \text{ Is defined only in a bounded cylinder } G\times ]-L, L[.$

#### Lemma

Let  $\gamma < 0$ , and 0 < L' < L. Then, there is a continuously differentiable operator  $E = E_{\gamma, L'}: W^{2,q}(\Omega) \to C^{1,\alpha}(\overline{G} \times \mathbb{R})$  such that

$$\sup_{G\times\mathbb{R}}\partial_z E(\theta)<0 \text{ for all } \theta\in W^{2,q}(\Omega)\,.$$

Moreover,  $E(\theta) = \theta$  in  $\Omega_{L'}$  for all  $\theta \in W^{2,q}(\Omega)$  such that  $\sup_{\Omega} \partial_z \theta \leq \gamma$ .

**Proof:** Denote  $c_0 =$  embedding constant for  $W^{1,q}(\Omega) \to C(\overline{\Omega})$ . Let  $g(t) \approx [t - \gamma]^+$ . For  $\theta \in W^{2,q}(\Omega)$ 

$$P(\theta)(\bar{x}, z) = \theta(\bar{x}, z) - c_0^{-1} \, \|g(\theta_z)\|_{W^{1,q}(\Omega)} \, z \,, \quad (\bar{x}, z) \in \Omega \,.$$

Let  $f(t)\approx \mathrm{sign}(t)\,\min\{|t|,\,L\},\,f'>0,\,f(t)=t \text{ for } |t|\leq L'.$  Define

$$E(\theta)(\bar{x},z) := P(\theta)(\bar{x}, f(z)) \quad (\bar{x},z) \in G \times \mathbb{R}.$$



Control space  $U = W^{1,q}(\Omega)$ . State space  $Y := C^{2,\alpha}(\overline{G}) \times W^{2,q}(\Omega)$ .

Control to state mapping  $\mathcal{S}:\,U\to Y,\,u\mapsto y=(\psi,\,\theta)$  unique solution to

$$\begin{split} &-\operatorname{div}(k\,\nabla\theta)=f & \text{in }\Omega, & -k\,\nabla\theta\cdot n=\beta\,(|\theta|^3\theta-|u|^3u) \text{ on }\partial\Omega\\ &-\operatorname{div}\bar{\sigma}_q(\bar{x},\,\nabla\psi)=E(\theta)(\bar{x},\,\psi) \text{ in }G, -\bar{\sigma}_q(\bar{x},\,\nabla\psi)\cdot n(\bar{x})=\kappa(\bar{x}) & \text{ on }\partialG\,. \end{split}$$

Objective functional  $J: Y \to \mathbb{R}^+$ ; Denote also  $J: Y \times U \to \mathbb{R}^+$  the regularization

$$J(y, u) := J(y) + \frac{\rho}{q} \|u\|_U^q, \quad \rho > 0.$$

Set of admissible controls

$$U_{\mathrm{ad}} := \left\{ u \in U \, : \, \left\{ \begin{aligned} &\theta_{\min} \leq u \leq \theta_{\max} & \text{ on } \partial\Omega \\ &u \geq 0 & \text{ on } G \times \{-L\} \\ &u \leq 0 & \text{ on } G \times \{L\} \end{aligned} \right\}$$



Optimal control problem

$$(P_{\mathrm{opt}}) = \min_{u \in U_{\mathrm{ad}}} \{ f(u) := J(\mathcal{S}(u), \, u) \}$$

subject to the state constraints

$$\begin{split} -L' &\leq \psi(\bar{x}) \leq L' & \text{for } \bar{x} \in G \,, \\ \theta_{\min} &\leq \theta(\bar{x}, z) \leq \theta_{\max} \text{ for } (\bar{x}, z) \in \Omega \,, \\ \partial_z \theta(\bar{x}, z) &\leq \gamma & \text{for } (\bar{x}, z) \in \Omega \,. \end{split}$$

#### Lemma

Assume that the functional J is nonnegative and lower-semicontinuous in the topology of  $C^2(\overline{G}) \times C^1(\overline{\Omega})$ . If there is at least one feasible control in  $U_{ad}$ , then the problem  $(P_{opt})$  admits a (possibly not unique) optimal feasible solution  $u \in \overline{U_{ad}}$ .

**Proof:** By assumption, there is a least one minimal sequence of feasible controls  $\{u_n\} \subset U_{ad}$ . Since  $\{f(u_n)\}$  is bounded, also  $||u_n||_U \leq C$ , and  $\{(\psi_n, \theta_n)\} = \{S(u_n)\}$  is bounded in  $C^{2,\alpha}(\overline{G}) \times W^{2,q}(\Omega)$ .



## Differentiability of $\mathcal{S}$ / Solvability of the linearized problem.

Recall  $y = (\psi, \theta) \in Y$ . Introduce an operator  $T: Y \times U \rightarrow Z$ 

$$Z := C^{\alpha}(\overline{G}) \times C^{1,\alpha}(\partial G) \times L^{q}(\Omega) \times W^{1,q}(\Omega)$$

 $T(y,\,u)=(\mbox{Mean curvature eq},\,\mbox{Contact-angle b. c.},\,\mbox{Heat eq.},\,\mbox{Rad. b. c.})$ 

Note: all coefficients and functions involved in T are continuously differentiable.

#### Lemma

Let 
$$u^* \in U$$
, and denote  $(\psi^*, \theta^*) = y^* = \mathcal{S}(u^*)$ . Consider

Then, the equation  $\partial_y T(y^*,\,u^*)\,y=F$  has a unique solution  $y=(\psi,\,\theta)\in Y$  such that

$$-\frac{d}{dx_i}(\bar{\sigma}_{q_i,\,q_j}(\bar{x},\,\nabla\psi^*)\,\partial_{x_j}\psi) - \partial_z E(\theta^*)(\bar{x},\,\psi^*)\,\psi = E'(\theta^*)\,\theta(\bar{x},\,\psi^*) + F_1 \text{ in }G\,,$$

$$-n_i \,\bar{\sigma}_{q_i,\,q_j}(\bar{x},\,\nabla\psi^*)\,\partial_{x_j}\psi = F_2 \qquad \qquad \text{on }\partial G\,,$$

$$-\operatorname{div}(k\nabla\theta) = F_3 \qquad \qquad \text{in } \Omega,$$

$$-k
abla heta \cdot n = 4\,eta\,| heta^*|^3 heta + F_4 \qquad ext{ on }\partial\Omega\,.$$

Corollary: Formula  $\mathcal{S}'(u^*) u = -[\partial_y T(\mathcal{S}(u^*), u^*)]^{-1} \partial_u T(\mathcal{S}(u^*), u^*) u.$ 



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Let us now consider a bilateral coupling between the heat equation and the geometric equation:

- Two-phases problem:  $k_{\text{liquid}} \neq k_{\text{solid}}$ , where k = heat-conductivity;
- No release of latent heat, purely static equilibrium:  $[-k\nabla\theta\cdot\nu] = \lambda = 0$  on S.

Thus, we consider the system of equations

$$\begin{split} -\operatorname{div}(k_S \,\nabla\theta) &= f \text{ in } \Omega \setminus S, \quad -[k_S \,\nabla\theta \cdot \nu] = 0 \text{ on } S \\ -\operatorname{div} \bar{\sigma}_q(\bar{x}, \,\nabla\psi) &= \theta(\bar{x}, \,\psi) \text{ in } G \,. \end{split}$$

#### New problems in analysis:

- Regularity of the temperature:  $C^{1,\alpha}$  regularity is excluded by the transmission conditions.
- Gradient estimate in the mean curvature equation is not clear.
- Existence and uniqueness (operator E requires Lispchitz continuous temperature).

# New problem in optimal control:

Temperature gradient discontinuous at interfaces implies that the nonlinear differential operator

$$-\operatorname{div}\bar{\sigma}_q(\bar{x},\,\nabla\psi)-\theta(\bar{x},\,\psi)\,,$$

has no continuous  $\psi$  derivative.



#### Results

**Results:** *a priori* estimates. For  $(\psi, \theta)$  a sufficiently smooth solution to the problem:

- The principal curvatures on the surface S = graph(ψ; G) are bounded a priori [Local results by L. Simon, Trudinger; Our contribution are estimates up to the boundary of S].
- Bounds for the temperature in  $W^{2,r}(\Omega_i)$  (r < 2), in  $W^{2,2}(\Omega_i)$  and  $W^{1,\infty}(\Omega)$  spaces under compatibility conditions for the junction of the surfaces S and  $\partial\Omega$ , the boundary data, and the coefficient matrices  $k_{\text{liquid}}$  and  $k_{\text{solid}}$ .
- Existence with a regularization operator *E*.

# Results: Control theory

- Existence of an optimal feasible control.
- Weaker first order necessary conditions (directional derivatives).



#### **Curvature estimate**

Assume that  $S = \operatorname{graph}(\psi; G)$  is a  $\mathcal{C}^2$  graph-solution to the problem

 $\operatorname{div}_S \sigma_q(x,\,\nu) + \sigma_x(x,\,\nu) \cdot \nu = \theta(x) \text{ on } S, \quad \sigma_q(x,\,\nu) \cdot n(x) = \kappa(x) \text{ on } \partial S \,.$ 

For  $x \in \partial S$ , assume that the function

$$p \mapsto \sigma_q(x, \sqrt{1-p^2} n(x) + p_1 \tau(x) + p_2 e_z) \cdot n(x)$$

is concave on  $B_1(0; \mathbb{R}^2)$ .

Then for  $\alpha \in ]0,1]$  arbitrary

$$|\delta\nu| \le C_{\alpha} \left( \|\theta\|_{C^{\alpha}(\overline{\Omega})} + \|\kappa\|_{C^{1,\alpha}(\partial\Omega)} \right).$$

Note: Hoelder bounds for the solution  $\theta$  to the heat equation depend on the eigenvalues of the matrices  $k_{\text{liquid}}$  and  $k_{\text{solid}}$ , but not on the structure of S!



#### Setting for the regularity statement on the temperature:

 $\Omega = G \times ] - L, L[$ , with  $G \subset \mathbb{R}^2$  a bounded domain of class  $\mathcal{C}^2$ .

Let S be a given surface of class  $C^2$  of the relevant topology:  $S \subset G \times ] - L', L'[$ , with L' < L, and the intersection  $S \cap \partial G \times ] - L, L[$  is a single closed curve.

Contact-angle  $\alpha$  between S and  $\Gamma_1 := \partial G \times ] - L, L[$  defined via  $\cos \alpha = \nu \cdot n$ .

For the simplicity of the statement, assume that  $k_{\text{liquid}} \neq k_{\text{solid}}$  are positive constants.

Compatibility function at triple point:  $f_d = f_d(\alpha) := \cos \alpha$ .



Consider the Neumann-problem:

$$\begin{split} -\operatorname{div}(k_S\,\nabla\theta) &= f \text{ in }\Omega, \quad [-k_S\,\nabla\theta\cdot\nu] = 0 \text{ on }S \\ -k\,\nabla\theta\cdot n &= Q \text{ on }\partial\Omega\,. \end{split}$$

#### Lemma

Assume that  $f \in L^{q}(\Omega)$ , q > 3. Let  $Q \in W^{1,q}(\Omega)$ . Assume that:

- 1. The compatibility function satisfies  $f_d = \cos \alpha \ge 0$  on  $\partial S$ ;
- 2. The function Q has a representation  $Q = f_d Q_1 + Q_2$  with  $Q_1 \in W^{1/q',q}(\Gamma_1)$  and  $Q_2 \in W^{1/q',q}_S(\Gamma_1)$ .

Then, every solution to the Neumann-problem belongs to  $W^{1,\infty}(\Omega)$ , and to  $W^{2,2}(\Omega_{\text{liquid}})$  and  $W^{2,2}(\Omega_{\text{solid}})$ .

If only the condition 2. holds, then  $\theta \in W^{2,r}(\Omega_{\text{liquid}}), \theta \in W^{2,r}(\Omega_{\text{solid}})$  for a r > 6/5. In these statements the relevant norm of  $||\theta||$  is continuously controled in terms of the data f, Q and  $|\delta \nu|$ .



Consider the Dirichlet-problem

$$\begin{split} -\operatorname{div}(k_S \,\nabla \theta) &= f \text{ in } \Omega, \quad [-k_S \,\nabla \theta \cdot \nu] = 0 \text{ on } S \\ \theta &= \theta_{\mathsf{Ext}} \text{ on } \partial \Omega \,. \end{split}$$

#### Lemma

Assume that  $f \in L^q(\Omega)$ , q > 3. Let  $\theta_{\text{Ext}} \in W^{2,q}(\Omega)$ . Assume that:

- **1.** The compatibility function satisfies  $f_d \leq 0$  on  $\partial S$  (opposite sign of the inequality!);
- 2. The representation  $n' \cdot \nabla \theta_{\mathsf{Ext}} = f_d U_1 + U_2$  with  $U_1 \in W^{1/q',q}(\Gamma_1)$  and  $U_2 \in W_S^{1/q',q}(\Gamma_1)$ .

Then, the unique solution to the Dirichlet-problem belongs to  $W^{1,\infty}(\Omega)$  and to  $W^{2,2}(\Omega_{\text{liquid}})$ and  $W^{2,2}(\Omega_{\text{solid}})$ . If only the condition 2. holds, then  $\theta \in W^{2,r}(\Omega_{\text{liquid}}), \theta \in W^{2,r}(\Omega_{\text{solid}})$  for a r > 6/5.

In these statements the relevant norm of  $\|\theta\|$  is continuously controled in terms of the data f, Q and  $|\delta \nu|$ .

**Proofs:** Druet, Math. Bohem. to appear. General case  $f_d = f_d(k, S)$ .



#### Application to solvability

Application: Consider the isotropic surface problem

 $\operatorname{div}_S \nu = \theta \text{ on } S, \quad \nu \cdot n = \kappa \text{ on } \partial S.$ 

The contact angle  $\cos \alpha$  is given!

If  $|\kappa| > 0$  on  $\partial\Omega$  or  $\kappa \equiv 0$ , either the Dirichlet problem or the Neumann problem is solvable with  $\theta$  in a bounded set of  $W^{1,\infty}(\Omega)$ .

The regularized mean curvature equation is uniquely solvable.

Fixed-point procedure for existence of solution.

