

# Hysteresis Models for Piezoelectricity Based on Preisach Operators

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joint work with

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## Overview

- ▶ motivation
- ▶ Preisach operators
- ▶ a phenomenological model using Preisach operators
- ▶ a framework for thermodynamic consistency
- ▶ hysteresis potentials
- ▶ a wellposedness result in the elliptic case

## Piezoelectric Transducers

Direct effect: apply mechanical force  $\rightarrow$  measure electric voltage

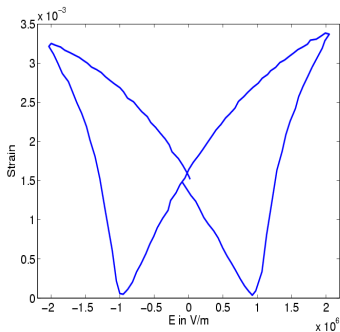
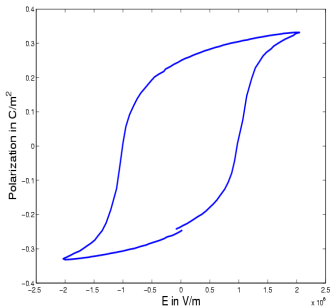
Indirect effect: impress electric voltage  $\rightarrow$  observe mechanical displacement

### Application Areas:

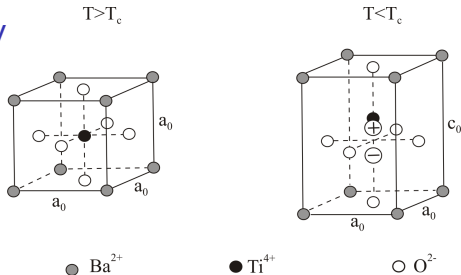
- ▶ Ultrasound (medical imaging & therapy)
- ▶ Force- and acceleration Sensors
- ▶ Actor injection valves (common-rail Diesel engines)
- ▶ SAW (surface-acoustic-wave) sensors
- ▶ ...

## Hysteresis in Piezoelectricity

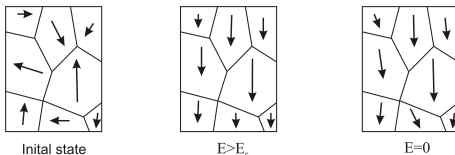
e.g. ferroelectric hysteresis:  
dielectric displacement and mechanical strain  
at high electric field intensities ( $E \sim 2MV/m$ ):



## Piezoelectricity

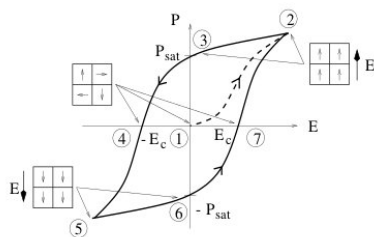


Unit cell of  $\text{BaTiO}_3$  above (left) and below (right) the Curie temperature  $T_c$

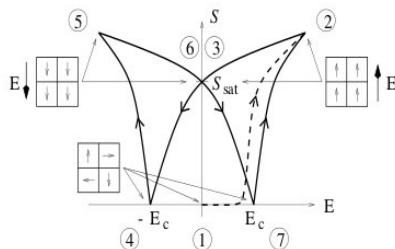


Orientation of the polarization of the grains at initial state (left), due to a strong external electric field (middle) and after switching it off (right)

# Ferroelectricity



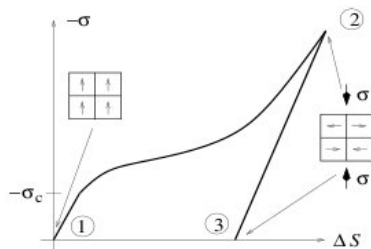
polarization hysteresis



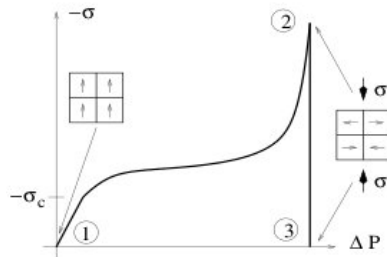
butterfly hysteresis

courtesy to M.Kamlah

## Ferroelasticity



stress-strain relation



mechanical depolarization

courtesy to M.Kamlah

## Models of Ferroelectricity/Ferroelasticity

- (1) *Thermodynamically consistent models*  
macroscopic view, 2nd law of thermodynamics  
Bassiouny&Ghaleb'89, Kamlah&Böhle'01, Landis'04,  
Schröder&Romanowski'05, Su&Landis'07,  
Linnemann&Klinkel&Wagner'09
- (2) *Micromechanical models*  
consider material on level of single grains  
Huber&Fleck'01, Fröhlich'01, Delibas&Arockiarajan&Seemann'05,  
Belov&Kreher'06, Huber'06, McMeeking&Landis&Jimenez'07
- (3) *Phase field models*  
transition between phases (domain wall motion)  
Wang&Kamlah&Zhang'10,  
Xu&Schrade&Müller&Gross&Granzow&Rödel'10,
- (4) *Phenomenological models using hysteresis operators*  
from input-output description for control purposes  
Hughes&Wen'95, Kuhnen'01, Cima&Laboure&Muralt'02,  
Smith&Seelecke&Ounaies&Smith'03, Pasco&Berry'04, Kuhnen&Krejčí'07,  
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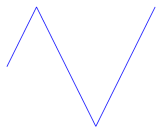


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# Hysteresis

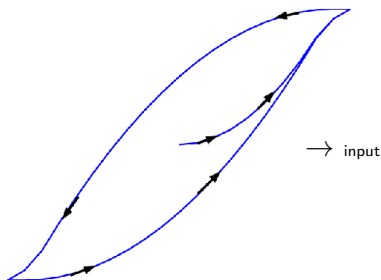
input:



output:



↑ output

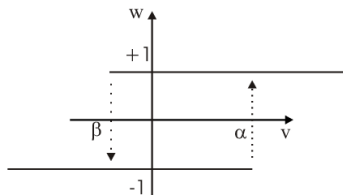


- ▶ magnetics
- ▶ piezoelectricity
- ▶ plasticity
- ▶ ...

- \* memory
- \* Volterra property
- \* rate independence

Krasnosel'skii-Pokrovskii (1983), Mayergoyz (1991), Visintin (1994), Krejčí (1996), Brokate-Sprekels (1996)

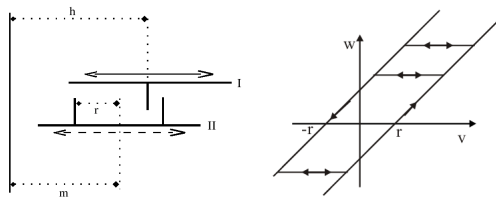
## A Simple Example I: The Relay



$$\begin{aligned} \mathcal{R}_{\beta, \alpha}[v](t) &= w(t) \\ &= \begin{cases} +1 & \text{if } v(t) > \alpha \text{ or } (w(t_i) = +1 \wedge v(t) > \beta) \\ -1 & \text{if } v(t) < \beta \text{ or } (w(t_i) = -1 \wedge v(t) < \alpha) \end{cases} \quad t \in [t_i, t_{i+1}] \end{aligned}$$

$t_0, t_1, t_2, \dots$  sequence of local extrema of  $v$ ,  
i.e.,  $v$  monotone on  $[t_i, t_{i+1}]$ .

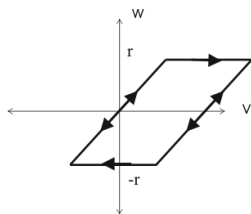
## A Simple Example II: The Mechanical Play



$$\mathcal{F}_r[v](t) = w(t) = \max\{v(t) - r, \min\{v(t) + r, w(t_i)\}\} \quad t \in [t_i, t_{i+1}]$$

$$\text{Relation to Relay operator: } \mathcal{F}_r[v](t) = \frac{1}{2} \int_{-\infty}^{\infty} \mathcal{R}_{s-r, s+r}[v](t) ds$$

## A Simple Example III: The Elastic-Plastic Element



$v \sim$  strain

$w \sim$  stress

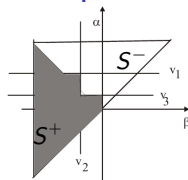
$r \sim$  yield stress

$$\mathcal{S}_r[v](t) = w(t) = \min\{r, \max\{-r, v(t)\}\} \quad t \in [t_i, t_{i+1}]$$

Relation to mechanical Play:  $\mathcal{S}_r[v](t) = v(t) - \mathcal{F}_r[v](t)$

## A General Hysteresis Model: the Preisach Operator

weighted superposition of elementary relays  
with Preisach weight function  $\omega$  defined on  
Preisach plane  $S = S^+ \cup S^-$ :



$$\begin{aligned} \mathcal{P}^\omega[v](t) &= \iint_{\alpha, \beta \in S} \omega(\beta, \alpha) \mathcal{R}_{\beta, \alpha}[v](t) d(\alpha, \beta) \\ &= \iint_{\alpha, \beta \in S^+(t)} \omega(\beta, \alpha) d(\alpha, \beta) - \iint_{\alpha, \beta \in S^-(t)} \omega(\beta, \alpha) d(\alpha, \beta) \end{aligned}$$

$\pm$  high dimensionality

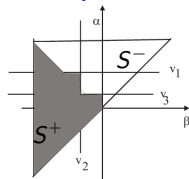
+ can model minor loops

+ can model saturation

( $\omega$  small for large values of  $\beta, \alpha$ )

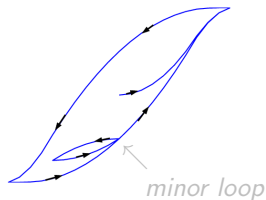
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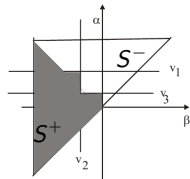
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  - + can model minor loops
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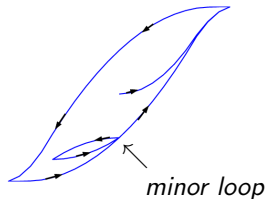
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  - + can model minor loops
  - + can model saturation
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## Memory Deletion and Everett Functions (I)

### deletion rules:

- ▶ monotone deletion: only local extrema of the input are relevant for the subsequent output
- ▶ Madelung rule: inner *minor loops* are forgotten
- ▶ wipe out: previous local extrema are removed from memory by subsequent absolutely larger extrema

$$(v(\tau))_{\tau \in [0, t]} \xrightarrow{\text{deletion}} v(t_i)_{i \in \{1, \dots, N\}}$$

## Memory Deletion and Everett Functions (II)

**Everett** (or shape-) **function**:

$$\begin{aligned}\mathcal{E}(v_*, v^*) &= 2 \iint_{v_* \leq \beta \leq \alpha \leq v^*} \omega(\beta, \alpha) d(\alpha, \beta) \text{ if } v_* < v^* \\ \mathcal{E}(-v^*, -v_*) &= \mathcal{E}(v_*, v^*)\end{aligned}$$

$$\mathcal{P}^\omega[v](t) = \iint_{\alpha, \beta \in \mathcal{S}} \omega(\beta, \alpha) \mathcal{R}_{\beta, \alpha}[v](t) d(\alpha, \beta) = \mathcal{P}^\omega[v_0] + \sum_{i=1}^N \mathcal{E}(v(t_i), v(t_{i+1}))$$

$\rightsquigarrow$  crucial for efficient computation:

- ▶ avoid integral evaluation during the actual computations
- ▶ store only few time instances in the past (update)

Hysteresis in PDEs: each point in space has its own memory!

## A Phenomenological Model for Hysteresis in Piezoelectricity

$$\begin{aligned}
 \underline{S} &= \underline{S}^r + \underline{S}^i & \underline{S}^r &= \mathbf{s}^E \underline{\sigma} + \mathbf{d}_{\vec{P}}^T \vec{E} & \vec{D}^i &= \vec{P} = \mathcal{P}^\omega[E] e_{\vec{P}} \\
 \vec{D} &= \vec{D}^r + \vec{D}^i & \vec{D}^r &= \mathbf{d}_{\vec{P}} \underline{\sigma} + \varepsilon^\sigma \vec{E} & \underline{S}^i &= f_S(\vec{P}) \left( \frac{3}{2} e_{\vec{P}} e_{\vec{P}}^T - \frac{1}{2} I \right) \\
 & & & & \mathbf{d}_{\vec{P}} &= f_d(P) = \frac{P}{P_{sat}} \mathbf{d}
 \end{aligned}$$

$\underline{S}^r$  ... reversible strain

$\underline{\sigma}$  ... mech. stress

$\underline{S}^i$  ... irreversible strain

$\vec{E}$  ... electr. field

$\vec{D}^r$  ... reversible polarization

$\vec{P}$  ... polarization

$\vec{D}^i$  ... irreversible polarization

$\mathbf{s}^E$  ... elast. coeff.

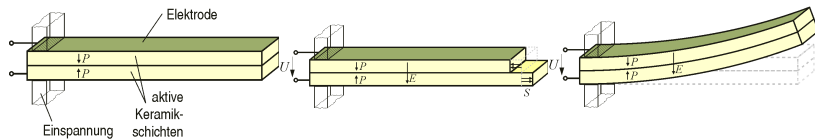
$\varepsilon^\sigma$  ... dielectr. coeff.

$\mathbf{d}$  ... coupling coeff.

hysteresis identification: [Hegewald&B.K&M.K.&Lerch, J.Int.Mat.Sys.Struct.'08]

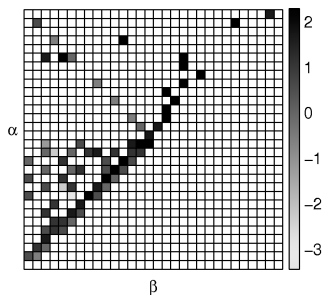
finite element formulation: [M.K&B.K.&Hegewald&Lerch J.Int.Mat.Sys.Struct.'09]

## Numerical Results: Bending Actuator



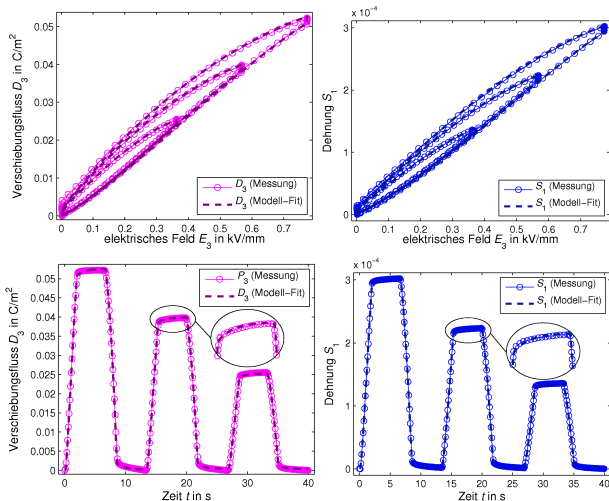
## Numerical Results: Bending Actuator

Identified Preisach weight function:



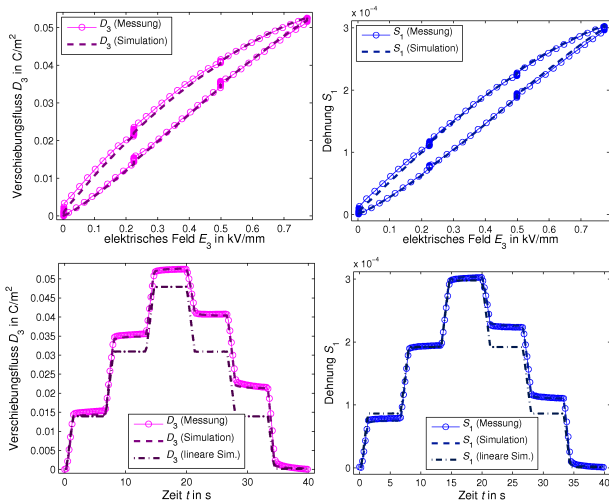
# Numerical Results: Bending Actuator

comparison measurement – simulation with fitted Preisach operators:



# Numerical Results: Bending Actuator

comparison measurement – simulation for alternative input signal:



## A Framework for Thermodynamic Consistency (I)

(by P.Krejčí 2009, originally for magnetostriction; uniaxial loading)

$$S = s^E \sigma - F_1[\sigma] U_2[E]$$

$$D = \varepsilon^\sigma E - F_2[E] U_1[\sigma]$$

with

$$\left\{ \begin{array}{l} F_1[v] \dot{v} - (U_1[v])' \geq 0 \quad (*) \\ F_2[v] \dot{v} - (U_2[v])' \geq 0 \quad (*) \\ U_1[v] \geq 0 \\ U_2[v] \geq 0. \end{array} \right. \quad \text{or} \quad \left\{ \begin{array}{l} F_1[v] \dot{v} - (U_1[v])' = 0 \\ F_2[v] \dot{v} - (U_2[v])' \geq 0 \quad (*) \\ U_1[v] \geq 0. \end{array} \right.$$

(\*)...  $U_i$  clockwise hysteresis potential for  $F_i$ ,



## A Framework for Thermodynamic Consistency (II)

Therewith for the free energy

$$W(\sigma, E) := -\frac{1}{2}(s^E \sigma^2 + \varepsilon^\sigma E^2) + S\sigma + DE + U_1[\sigma]U_2[E]$$

we get thermodynamic consistency

$$\begin{aligned} & \dot{S}\sigma + \dot{D}E - \dot{W} \\ &= (S\dot{\sigma} + D\dot{E} - \dot{W}) - S\dot{\sigma} - D\dot{E} \end{aligned}$$

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## A Framework for Thermodynamic Consistency (III)

Thermodynamic consistency remains valid for

$$S = s^E \sigma + dE - \sum_{i=1}^n F_1^{(i)}[\sigma] U_2^{(i)}[E]$$

$$D = d\sigma + \varepsilon^\sigma E - \sum_{i=1}^n F_2^{(i)}[E] U_1^{(i)}[\sigma]$$

with

$$F_j^{(i)}[v] \dot{v} - (U_j^{(i)}[v])' \geq 0 \quad \forall v \quad \text{and} \quad U_{j\pm 1}[v] \geq 0 \quad \forall v$$

or

$$F_j^{(i)}[v] \dot{v} - (U_j^{(i)}[v])' = 0 \quad \forall v$$

## A Framework for Thermodynamic Consistency (IV)

Thermodynamic consistency remains valid for

$$S = s^E \sigma + dE + F_1[\sigma](EF_2[E] - U_2[E])$$

$$D = d\sigma + \varepsilon^\sigma E + F_2[E]U_1[\sigma]$$

with 
$$\left. \begin{array}{l} F_1[v]\dot{v} - (U_1[v])' \geq 0 \\ \text{and } U_2[v] - vF_2[v] \geq 0 \end{array} \right\} \text{ or } F_1[v]\dot{v} - (U_1[v])' = 0$$

and 
$$\left\{ \begin{array}{l} (F_2[v])\dot{v} - (U_2[v])' \geq 0 \quad (*) \\ \text{and } U_1[v] \geq 0. \end{array} \right.$$

(\*)...  $U_2$  counterclockwise hysteresis potential for  $F_2$

## Special Case: Pure Electric Loading

$$\begin{aligned}
 S &= S^{rev} + S^{ir} = s^E \sigma + dE + \tilde{Q}[E] &= s^E \sigma - F_1(\sigma) U_2[E] \\
 D &= D^{rev} + D^{ir} = d\sigma + \varepsilon^\sigma E + \tilde{P}[E] &= \varepsilon^\sigma E - F_2[E] U_1(\sigma) \\
 d &= \frac{d_0}{P_0} \tilde{P}[E]
 \end{aligned}$$

$\tilde{P}$  ... polarization hysteresis

$\tilde{Q}$  ... butterfly hysteresis

$\sigma$  small  $\rightsquigarrow$  reversible (non)linearity wrt  $\sigma$ .

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$$F_1(\sigma) := \frac{d_{sat}}{P_{sat}}$$

$$U_1(\sigma) := \frac{d_{sat}}{P_{sat}} \sigma + 1 \geq 0 \text{ for } \sigma \text{ suff. small}$$

$$F_2[E] := -\tilde{P}[E] \text{ (... clockwise pcw convex!)}$$

$$U_2[E] := \text{clockwise hysteresis potential for } F_2$$

$$\tilde{Q}[E] := -\frac{d_0}{P_0} (U_2[E] + E \tilde{P}[E])$$



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## Hysteresis Potentials

$$F[v]\dot{v} - (U[v])' \geq 0 \quad \forall v : [0, T] \rightarrow \mathbb{R}$$

relation to dissipated energy  $\mathcal{D}[v]$ :

$$F[v]\dot{v} - (U[v])' = \frac{d}{dt} \mathcal{D}[v]$$

## Hysteresis Potentials for Preisach Operators

**Theorem** (e.g., [Brokate&Sprekels, 1996], [Krejci, 1996])

$$\begin{aligned}\tilde{F}[v](t) = \mathcal{P}^\omega[v](t) &= \iint_{\alpha, \beta \in S} \omega(\beta, \alpha) \mathcal{R}_{\beta, \alpha}[v](t) d(\alpha, \beta) \\ \tilde{U}[v](t) &= 2 \iint_{\alpha, \beta \in S} (\alpha + \beta) \omega(\beta, \alpha) \mathcal{R}_{\beta, \alpha}[v](t) d(\alpha, \beta)\end{aligned}$$

with  $\omega \geq 0$ .

Then  $\tilde{F}$  is a counterclockwise piecewise convex hysteresis operator and  $\tilde{U}$  is a counterclockwise hysteresis potential for  $\tilde{F}$ .

## Hysteresis Potentials for Preisach Operators

### Corollary

$$\begin{aligned}\tilde{F}[v](t) = \mathcal{P}^\omega[v](t) &= \iint_{\alpha, \beta \in \mathcal{S}} \omega(\beta, \alpha) \mathcal{R}_{\beta, \alpha}[v](t) d(\alpha, \beta) \\ \tilde{U}[v](t) &= 2 \iint_{\alpha, \beta \in \mathcal{S}} (\alpha + \beta) \omega(\beta, \alpha) \mathcal{R}_{\beta, \alpha}[v](t) d(\alpha, \beta)\end{aligned}$$

with  $\omega \geq 0$  and  $\tilde{F}$  invertible.

Then  $F = \tilde{F}^{-1}$  is a clockwise piecewise convex hysteresis operator and  $U = \tilde{U} \circ \tilde{F}^{-1}$  is a clockwise hysteresis potential for  $F$ .

Efficient evaluation of  $\tilde{F}^{-1}$ : see, e.g.  
[Davino&Giustiani&Visone,2007]

## Insert Into Thermodynamically Consistent Model

$$\begin{aligned}
 S &= s^E \sigma - F_1(\sigma) U_2[E] \\
 D &= \varepsilon^\sigma E - F_2[E] U_1(\sigma)
 \end{aligned}$$

$$\begin{aligned}
 S &= s^E \sigma - F_1(\sigma) (\tilde{U}_2 \circ \tilde{F}_2^{-1})[E] \\
 D &= \varepsilon^\sigma E - \underbrace{\tilde{F}_2^{-1}[E]}_{=-P} U_1(\sigma)
 \end{aligned}$$

## Insert Into Thermodynamically Consistent Model

$$\begin{aligned}
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 \end{aligned}$$

$$\begin{aligned}
 S &= s^E \sigma - F_1(\sigma) \tilde{U}_2[-P] \\
 D &= \varepsilon^\sigma \tilde{F}_2[-P] + P U_1(\sigma)
 \end{aligned}$$

## Insert Into Thermodynamically Consistent Model

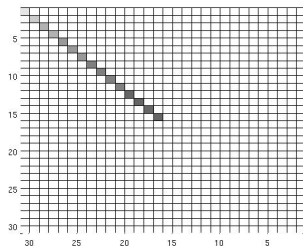
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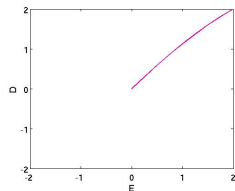
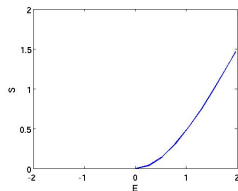
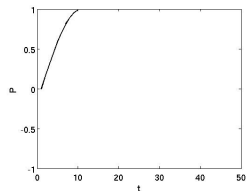
## A Simple Test: Ferroelectricity

Preisach weight function:

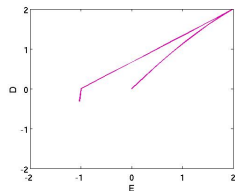
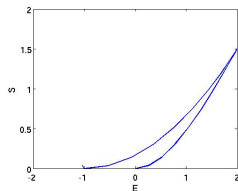
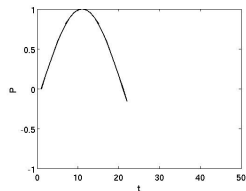




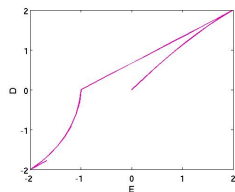
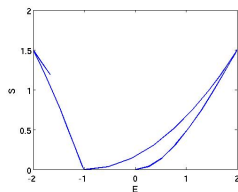
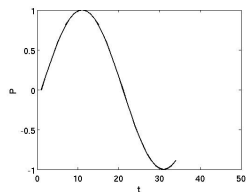
## A Simple Test: Ferroelectricity

 $P(E)$  $S(E)$  $P(t)$

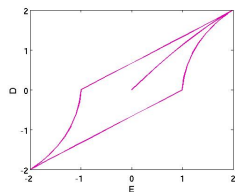
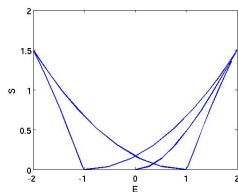
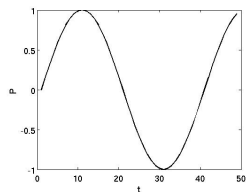
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## A Simple Test: Ferroelectricity

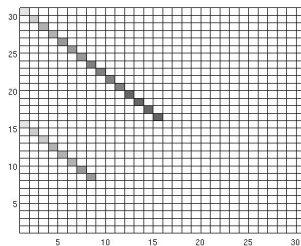
 $P(E)$  $S(E)$  $P(t)$

## A Simple Test: Ferroelectricity

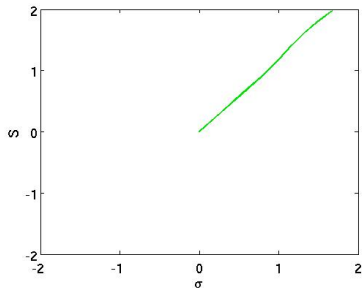
 $P(E)$  $S(E)$  $P(t)$

## A Simple Test: Ferroelasticity

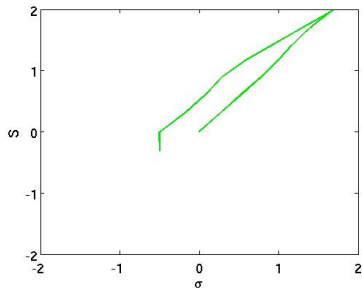
Preisach weight function:



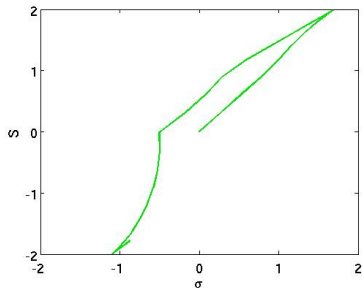
## A Simple Test: Ferroelasticity



## A Simple Test: Ferroelasticity

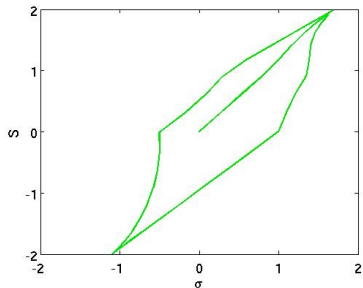


## A Simple Test: Ferroelasticity

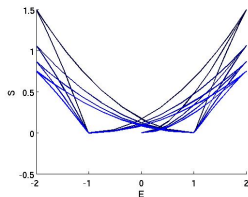
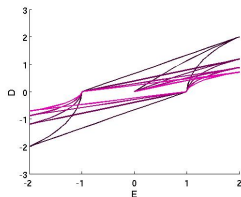




## A Simple Test: Ferroelasticity



## A Simple Test: Ferroelectricity and Ferroelasticity



## Identification from Measurements

ferroelectricity: excitation via  $E$ ;  $\sigma = \text{const.}$

$$S = s^E \sigma - F_1(\sigma) \tilde{U}_2[-P]$$

$$D = \varepsilon^\sigma \underbrace{\tilde{F}_2[-P]}_{=E} + P U_1(\sigma)$$

### Algorithm

SET  $U_1 P = D - \varepsilon^\sigma E$

NORMALIZE  $v = -\frac{U_1 P}{\max |U_1 P|}$

$k = 0$ : CHOOSE  $\omega^0$ ;

UNTIL INCREMENTS ARE SUFFICIENTLY SMALL DO

SET  $F_1^{k+1} = \text{ARGMIN}_{F_1} \|S - s^E \sigma + F_1 \tilde{U}^{\omega^k}\|^2$

SET  $\omega^{k+1} = \text{ARGMIN}_{\omega} \left\| \begin{pmatrix} E^k - \mathcal{P}^\omega[v] \\ S - s^E \sigma + F_1^{k+1} \tilde{U}^\omega[v] \end{pmatrix} \right\|$

END DO

$S^{fit} := s^E \sigma - F_1^\infty \tilde{U}^{\omega^\infty}$

$D := \varepsilon^\sigma \mathcal{P}^{\omega^\infty}[v] + U_1 P$

## Identification from Measurements

ferroelectricity: excitation via  $E$ ;  $\sigma = \text{const.}$

$$S = s^E \sigma - F_1(\sigma) \tilde{U}_2[-P]$$

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### Algorithm

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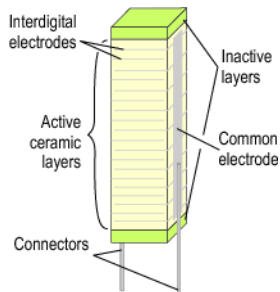
SET  $\omega^{k+1} = \text{ARGMIN}_\omega \left\| \begin{pmatrix} E^k - \mathcal{P}^\omega[v] \\ S - s^E \sigma + F_1^{k+1} \tilde{U}^\omega[v] \end{pmatrix} \right\|$

END DO

$S^{fit} := s^E \sigma - F_1^\infty \tilde{U}^{\omega^\infty}$

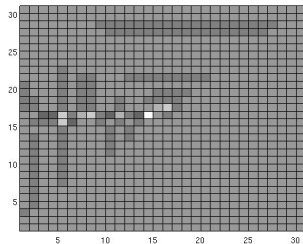
$D := \varepsilon^\sigma \mathcal{P}^{\omega^\infty}[v] + U_1 P$

## Numerical Results: Stack Actuator



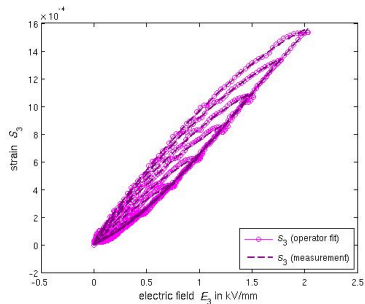
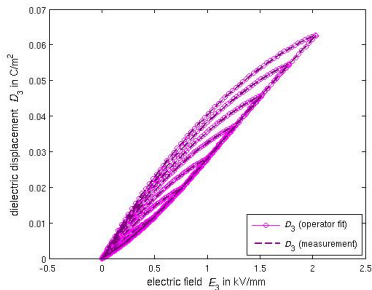
## Numerical Results: Stack Actuator

Identified Preisach weight function:



## Numerical Results: Stack Actuator

comparison measurement – simulation with fitted Preisach operators:



## Wellposedness in the Elliptic Case: Piezo Model

$$\rho u_{tt} - \operatorname{DIV} \underline{\boldsymbol{\sigma}}(t) = \vec{\mathbf{f}}^m(t) \text{ in } \Omega$$

$$-\operatorname{div} \vec{\mathbf{D}}(t) = \mathbf{f}^e(t) \text{ in } \Omega$$

$$\underline{\boldsymbol{\sigma}}(t) \cdot \mathbf{n} = 0 \text{ on } \Omega \setminus \Gamma^m, \quad \vec{\mathbf{u}}(t) = \vec{\mathbf{u}}^m(t) \text{ on } \Gamma^m$$

$$\vec{\mathbf{D}}(t) \cdot \mathbf{n} = 0 \text{ on } \Omega \setminus \Gamma^e, \quad \phi(t) = \phi^e(t) \text{ on } \Gamma^e$$

$$\underline{\mathbf{S}}(t) = \mathbf{s}^E \underline{\boldsymbol{\sigma}}(t) + \mathbf{d}^T \vec{\mathbf{E}}(t) - F_1[\underline{\boldsymbol{\sigma}}](t) U_2[\vec{\mathbf{E}}](t)$$

$$\vec{\mathbf{D}}(t) = \mathbf{d} \underline{\boldsymbol{\sigma}}(t) + \varepsilon^\sigma \vec{\mathbf{E}}(t) - F_2[\vec{\mathbf{E}}](t) U_1[\underline{\boldsymbol{\sigma}}](t)$$

$$\underline{\mathbf{S}}(t) = \operatorname{DIV}^T \vec{\mathbf{u}}(t)$$

$$\vec{\mathbf{E}}(t) = -\operatorname{grad} \phi(t)$$

$$\vec{\mathbf{u}}(0) = \mathbf{u}_0$$

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## Wellposedness in the Elliptic Case: Piezo Model

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$$\underline{S}(t) = \operatorname{DIV}^T \vec{u}(t)$$

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## Wellposedness in the Elliptic Case: Piezo Model

$$-\text{DIV}\underline{\sigma}(t) = \vec{\mathbf{f}}^m(t) \text{ in } \Omega$$

$$-\text{div}\vec{\mathbf{D}}(t) = \mathbf{f}^e(t) \text{ in } \Omega$$

$$\underline{\sigma}(t) \cdot \mathbf{n} = 0 \text{ on } \Omega \setminus \Gamma^m, \quad \vec{\mathbf{u}}(t) = \vec{\mathbf{u}}^m(t) \text{ on } \Gamma^m$$

$$\vec{\mathbf{D}}(t) \cdot \mathbf{n} = 0 \text{ on } \Omega \setminus \Gamma^e, \quad \phi(t) = \phi^e(t) \text{ on } \Gamma^e$$

$$\underline{\mathbf{S}}(t) = \mathbf{s}^E \underline{\sigma}(t) + \mathbf{d}^T \vec{\mathbf{E}}(t) - F_1[\underline{\sigma}](t) U_2[\vec{\mathbf{E}}](t)$$

$$\vec{\mathbf{D}}(t) = \mathbf{d} \underline{\sigma}(t) + \varepsilon^\sigma \vec{\mathbf{E}}(t) - F_2[\vec{\mathbf{E}}](t) U_1[\underline{\sigma}](t)$$

$$\underline{\mathbf{S}}(t) = \text{DIV}^T \vec{\mathbf{u}}(t)$$

$$\vec{\mathbf{E}}(t) = -\text{grad}\phi(t)$$

$$\vec{\mathbf{u}}(0) = u_0$$

$$\phi(0) = \phi_0$$

## Wellposedness in the Elliptic Case: Piezo Model (weak form)

$$\int_{\Omega} \underline{\sigma}(t) : \text{DIV}^T \vec{v} \, dx = \int_{\Omega} \vec{f}^m(t) \vec{v} \, dx \quad \forall \vec{v} \in H_0^1(\Omega, \Gamma_m)$$

$$\int_{\Omega} \vec{D}(t) \cdot \text{grad} v \, dx = \int_{\Omega} \mathbf{f}^e(t) v \, dx \quad \forall v \in H_0^1(\Omega, \Gamma_e)$$

$$\vec{u}(t) \in H_{\vec{u}^m(t)}^1(\Omega, \Gamma_m) = \{ \vec{v} \in H^1(\Omega)^3 : \vec{v}_{\Gamma_m} = \vec{u}^m(t) \}$$

$$\phi(t) \in H_{\phi^e(t)}^1(\Omega, \Gamma_e) = \{ v \in H^1(\Omega) : v_{\Gamma_e} = \phi^e(t) \}$$

$$\text{DIV}^T \vec{u}(t) = \mathbf{s}^E \underline{\sigma}(t) + \mathbf{d}^T (-\text{grad} \phi(t)) - F_1[\underline{\sigma}](t) U_2[-\text{grad} \phi(t)](t)$$

$$\vec{D}(t) = \mathbf{d} \underline{\sigma}(t) + \varepsilon^\sigma (-\text{grad} \phi(t)) - F_2[-\text{grad} \phi(t)](t) U_1[\underline{\sigma}](t)$$

$$\vec{u}(0) = u_0$$

$$\phi(0) = \phi_0$$

## Wellposedness in the Elliptic Case: Piezo Model (weak form)

$$\int_{\Omega} \underline{\sigma}(t) : \text{DIV}^T \vec{v} \, dx = \int_{\Omega} \vec{\mathbf{f}}^m(t) \vec{v} \, dx \quad \forall \vec{v} \in H_0^1(\Omega, \Gamma_m)$$

$$\int_{\Omega} \vec{\mathbf{D}}(t) \cdot \text{grad} v \, dx = \int_{\Omega} \mathbf{f}^e(t) v \, dx \quad \forall v \in H_0^1(\Omega, \Gamma_e)$$

$$\vec{\mathbf{u}}(t) \in H_{\vec{\mathbf{u}}^m(t)}^1(\Omega, \Gamma_m) = \{ \vec{v} \in H^1(\Omega)^3 : \vec{v}_{\Gamma_m} = \vec{\mathbf{u}}^m(t) \}$$

$$\phi(t) \in H_{\phi^e(t)}^1(\Omega, \Gamma_e) = \{ v \in H^1(\Omega) : v_{\Gamma_e} = \phi^e(t) \}$$

$$\text{DIV}^T \vec{\mathbf{u}}(t) = \mathbf{s}^E \underline{\sigma}(t) + \mathbf{d}^T (-\text{grad} \phi(t)) - F_1[\underline{\sigma}](t) U_2[-\text{grad} \phi(t)](t)$$

$$\vec{\mathbf{D}}(t) = \mathbf{d} \underline{\sigma}(t) + \varepsilon^\sigma (-\text{grad} \phi(t)) - F_2[-\text{grad} \phi(t)](t) U_1[\underline{\sigma}](t)$$

$$\vec{\mathbf{u}}(0) = u_0$$

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## Wellposedness in the Elliptic Case: Piezo Model (weak form)

$$\int_{\Omega} \underline{\sigma}(t) : \text{DIV}^T \vec{v} \, dx = \int_{\Omega} \vec{f}^m(t) \vec{v} \, dx \quad \forall \vec{v} \in H_0^1(\Omega, \Gamma_m)$$

$$\int_{\Omega} \vec{\mathbf{D}}(t) \cdot \text{grad} v \, dx = \int_{\Omega} \mathbf{f}^e(t) v \, dx \quad \forall v \in H_0^1(\Omega, \Gamma_e)$$

$$\vec{\mathbf{u}}(t) \in H_{\vec{\mathbf{u}}^m(t)}^1(\Omega, \Gamma_m) = \{ \vec{v} \in H^1(\Omega)^3 : \vec{v}_{\Gamma_m} = \vec{\mathbf{u}}^m(t) \}$$

$$\phi(t) \in H_{\phi^e(t)}^1(\Omega, \Gamma_e) = \{ v \in H^1(\Omega) : v_{\Gamma_e} = \phi^e(t) \}$$

$$\underline{\sigma}(t) = \left[ \mathbf{s}^E \text{id} - F_1[\cdot](t) U_2[-\text{grad} \phi(t)] \right]^{-1} \left( \text{DIV}^T \vec{\mathbf{u}}(t) - \mathbf{d}^T(-\text{grad} \phi(t)) \right)$$

$$\vec{\mathbf{D}}(t) = \mathbf{d} \underline{\sigma}(t) + \varepsilon^\sigma(-\text{grad} \phi(t)) - F_2[-\text{grad} \phi(t)](t) U_1[\underline{\sigma}](t)$$

$$\vec{\mathbf{u}}(0) = u_0$$

$$\phi(0) = \phi_0$$

## Wellposedness in the Elliptic Case: Piezo Model (weak form)

$$\int_{\Omega} \underline{\sigma}(t) : \text{DIV}^T \vec{v} \, dx = \int_{\Omega} \vec{f}^m(t) \vec{v} \, dx \quad \forall \vec{v} \in H_0^1(\Omega, \Gamma_m)$$

$$\int_{\Omega} \vec{\mathbf{D}}(t) \cdot \text{grad} v \, dx = \int_{\Omega} \mathbf{f}^e(t) v \, dx \quad \forall v \in H_0^1(\Omega, \Gamma_e)$$

$$\vec{\mathbf{u}}(t) \in H_{\vec{\mathbf{u}}^m(t)}^1(\Omega, \Gamma_m) = \{ \vec{v} \in H^1(\Omega)^3 : \vec{v}_{\Gamma_m} = \vec{\mathbf{u}}^m(t) \}$$

$$\phi(t) \in H_{\phi^e(t)}^1(\Omega, \Gamma_e) = \{ v \in H^1(\Omega) : v_{\Gamma_e} = \phi^e(t) \}$$

$$\underline{\sigma}(t) = \mathcal{P}^m[\text{DIV}^T \vec{\mathbf{u}}, \text{grad} \phi](t)$$

$$\vec{\mathbf{D}}(t) = \mathcal{P}^e[\text{DIV}^T \vec{\mathbf{u}}, \text{grad} \phi](t)$$

$$\vec{\mathbf{u}}(0) = u_0$$

$$\phi(0) = \phi_0$$

## Wellposedness in the Elliptic Case: Result

$F_i$  hysteresis operators (rate independent),

$U_i \geq 0$  clockwise hysteresis potential for  $F_i$ ,

**Assumption 0:** some invertibility condition on  $F_1$

**Assumption 1:** some monotonicity condition on  $F_1, F_2^1$

**Assumption 2:** some continuity condition on  $F_1, F_2$

## Theorem

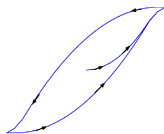
For  $\vec{f}^m \in H^1(0, T; H^{-1}(\Omega, \Gamma_m))$ ,  $\mathbf{f}^e \in H^1(0, T; H^{-1}(\Omega, \Gamma_e))$ ,

$\vec{u}^m(t) \in H^1(0, T; H^{1/2}(\Gamma_m))$ ,  $\vec{u}^e(t) \in H^1(0, T; H^{1/2}(\Gamma_e))$ ,

there exists a solution

$(\vec{u}, \phi) \in H^1(0, T; H^1_{\vec{u}^m(t)}(\Omega, \Gamma_m)) \times H^1(0, T; H^1_{\phi^e(t)}(\Omega, \Gamma_e))$

<sup>1</sup> $F_1, F_2$  are not monotone operators!



## Idea of Proof I

a simpler model problem “electrostatics with remanent polarization”

$$-\operatorname{div} \vec{\mathbf{D}}(t) = \mathbf{f}^e(t) \text{ in } \Omega \quad \phi(t) = 0 \text{ on } \partial\Omega$$

$$\vec{\mathbf{D}}(t) = \mathcal{P}[\operatorname{grad}\phi](t)$$

for all  $t \in [0, T]$

$$\phi(0) = \phi_0$$

**time discretization**  $t_1, \dots, t_N$ ,  $t_i = i\tau$ ,  $\tau = \frac{T}{N}$ ,  $\phi_i \approx \phi(t_i)$ ,  $\vec{D}_i \approx \vec{\mathbf{D}}(t_i)$ ...

$$\int_{\Omega} \vec{D}_i \cdot \operatorname{grad} v \, dx = \int_{\Omega} f_i^e v \, dx \quad \forall v \in H_0^1(\Omega), \text{ and } \phi_i \in H_0^1(\Omega)$$

$$\vec{D}_i = \mathcal{P}_f[\operatorname{grad}\phi_0, \dots, \operatorname{grad}\phi_i]$$

$\mathcal{P}_f$ ... Nemitskii operator induced by final value mapping

for all  $i \in \{1, \dots, N\}$



## Idea of Proof II

$$\int_{\Omega} \vec{D}_i \cdot \text{grad} v \, dx = \int_{\Omega} f_i^e v \, dx \quad \forall v \in H_0^1(\Omega), \text{ and } \phi_i \in H_0^1(\Omega)$$

$$\vec{D}_i = \mathcal{P}_f[\text{grad} \phi_0, \dots, \text{grad} \phi_i]$$

for all  $i \in \{1, \dots, N\}$

**Assumption 1:**

$\forall n \in \mathbb{N} \forall i \in \{1, \dots, N\} \forall (\vec{\xi}_0, \dots, \vec{\xi}_{i-1}) \in (\mathbb{R}^3)^i :$

$$I_i^N : \mathbb{R}^3 \rightarrow \mathbb{R}^3 \in C^1(\mathbb{R}^3) \text{ and strictly monotone}$$

$$\vec{\lambda} \mapsto \mathcal{P}_f(\vec{\xi}_0, \dots, \vec{\xi}_{i-1}, \vec{\lambda})$$

$$0 < \underline{\mu} \leq \frac{(I_i^N(\vec{\lambda}_1) - I_i^N(\vec{\lambda}_2)) \cdot (\vec{\lambda}_1 - \vec{\lambda}_2)}{|\vec{\lambda}_1 - \vec{\lambda}_2|^2} \quad \text{unif. wrt. } i, N, (\vec{\xi}_0, \dots, \vec{\xi}_{i-1})$$

Browder-Minty  $\Rightarrow$  **existence and uniqueness**  
**of time discrete solution**  $\phi_i \in H_0^1(\Omega)$ .

## Idea of Proof III

“differentiate” wrt time  $\Rightarrow$

$$\int_{\Omega} \frac{\vec{D}_i - \vec{D}_{i-1}}{\tau} \cdot \text{grad} v \, dx = \int_{\Omega} \frac{f_i^e - f_{i-1}^e}{\tau} v \, dx \quad \forall v \in H_0^1(\Omega),$$

$$\vec{D}_i = \mathcal{P}_f[\text{grad}\phi_0, \dots, \text{grad}\phi_i]$$

for all  $i \in \{1, \dots, N\}$

multiplier  $v = \frac{\phi_i - \phi_{i-1}}{\tau}$ , monotonicity estimate

$$\frac{\vec{D}_i - \vec{D}_{i-1}}{\tau} \cdot \frac{\text{grad}\phi_i - \text{grad}\phi_{i-1}}{\tau} \geq \underline{\mu} \left| \frac{\text{grad}\phi_i - \text{grad}\phi_{i-1}}{\tau} \right|^2$$

$\Rightarrow$  **uniform estimate** for piecewise linear interpolates  $\phi^N$  in  $H^1(0, T; H_0^1(\Omega))$  and  $\vec{D}^N$  in  $H^1(0, T; L^2(\Omega))$

## Idea of Proof IV

$$\int_{\Omega} \vec{D}_i \cdot \text{grad} v \, dx = \int_{\Omega} f_i^e v \, dx \quad \forall v \in H_0^1(\Omega), \quad \phi_i \in H_0^1(\Omega)$$

$$\vec{D}_i = \mathcal{P}_f[\text{grad} \phi_0, \dots, \text{grad} \phi_i]$$

$((\vec{D}^N, \phi^N)$  uniformly bounded in  $H^1(0, T; L^2(\Omega)) \times H^1(0, T; H_0^1(\Omega))$   
 $\Rightarrow \exists$  weakly convergent subsequence whose weak limit  $(\vec{D}, \phi)$  satisfies

$$\int_{\Omega} \vec{D}(t) \cdot \text{grad} v \, dx = \int_{\Omega} \mathbf{f}^e(t) v \, dx \quad \forall v \in H_0^1(\Omega), \quad \text{for all } t \in [0, T]$$

$\left. \begin{array}{l} \phi^N \text{ uniformly bounded in } H^1(0, T; H_0^1(\Omega)) \hookrightarrow L^2(\Omega; C[0, T]) \\ \text{Assumption 2: } \mathcal{P} : C[0, T] \rightarrow C[0, T] \text{ continuous} \end{array} \right\} \Rightarrow$

$\Rightarrow (\vec{D}, \phi)$  satisfies  $\vec{D}(t) = \mathcal{P}[\text{grad} \phi](t) \quad \text{for all } t \in [0, T]$

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(similar to wellposedness proof for heat equation with hysteresis, see, e.g., [Brokate&Sprekels 1996], [Visintin 1994])

## Idea of Proof IV

$$\int_{\Omega} \vec{D}_i \cdot \text{grad} v \, dx = \int_{\Omega} f_i^e v \, dx \quad \forall v \in H_0^1(\Omega), \quad \phi_i \in H_0^1(\Omega)$$

$$\vec{D}_i = \mathcal{P}_f[\text{grad} \phi_0, \dots, \text{grad} \phi_i]$$

$((\vec{D}^N, \phi^N)$  uniformly bounded in  $H^1(0, T; L^2(\Omega)) \times H^1(0, T; H_0^1(\Omega))$   
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 (similar to wellposedness proof for heat equation with hysteresis, see,  
 e.g., [Brokate&Sprekels 1996], [Visintin 1994])

## Conclusions and Outlook

- thermodynamic consistent modelling via Preisach operators
- includes ferroelectricity, ferroelasticity as well as their coupling
- wellposedness in elliptic case by monotonicity
  - FEM computations
  - hysteresis identification
- creep
- vector hysteresis (cf. talk by Olaf Klein, Monday)
- wellposedness in hyperbolic case by convexity?
  - ↪ wave equation with hysteresis [Krejčí'96])

Thank you for your attention!

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