

# A Nonlocal Model H with Nonconstant Mobility

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## Modelling motivation

- A well-known model which describes the evolution of an incompressible isothermal mixture of two immiscible fluids is the so-called **model H** (cf. [Gurtin, Polignone, Viñals, '96], [Hohenberg, Halperin, '77])
- A fluid-mechanical theory for **two-phase mixtures of fluids** faces a well known mathematical difficulty:
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  - ▶ an **order parameter**  $\varphi$  (concentration difference of the two components) is introduced to demarcate the two species and to indicate the location of the interface
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- This parameter influences the (average) fluid velocity  $\mathbf{u}$  through a capillarity force (called **Korteweg force**) proportional to  $\mu \nabla \varphi$ , where  $\mu$  is the chemical potential (cf. [Jasnow, Viñals, '96])

## The local model H

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$$\begin{aligned}\mathbf{u}_t - \nu \Delta \mathbf{u} + (\mathbf{u} \cdot \nabla) \mathbf{u} + \nabla \pi &= \mu \nabla \varphi + \mathbf{h}, & \operatorname{div}(\mathbf{u}) &= 0 \\ \varphi_t + \mathbf{u} \cdot \nabla \varphi &= \operatorname{div}(m(\varphi) \nabla \mu), & \mu &= -\sigma \Delta \varphi + \frac{1}{\sigma} F'(\varphi)\end{aligned}$$

where

- $m$  denotes the non-constant mobility
- $\mu$  the chemical potential
- $F$  the (density of) potential energy (logarithmic or double-well potential)
- $\mu \nabla \varphi$  is the so-called **Korteweg force**
- $\nu$  the viscosity and  $\pi$  the pressure
- $\sigma > 0$  is related to the (diffuse) interface thickness



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- (in the nonlocal case, cf. [Gajewski, Zacharias, '03], ..., [Colli, Frigeri, Grasselli, '12])

$$E(\varphi) = \frac{1}{4} \int_{\Omega} \int_{\Omega} J(x-y) (\varphi(x) - \varphi(y))^2 dx dy + \int_{\Omega} \eta F(\varphi(x)) dx$$

- ▶  $J : \mathbb{R}^d \rightarrow \mathbb{R}$  is a smooth even function, e.g.  $J(x) = j_3|x|^{-1}$  in 3D and  $J(x) = -j_2 \log|x|$  in 2D
- ▶ it is justified as a macroscopic limit of microscopic phase segregation models with particle conserving dynamics (cf. [Giacomin Lebowitz, '97&'98])

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Choosing  $J(x, y) = n^{d+2} J(|n(x - y)|^2)$ , with  $J$  nonnegative function supported in  $[0, 1]$ :

$$\int_{\Omega} n^{d+2} J(|n(x - y)|^2) |\varphi(x) - \varphi(y)|^2 dy = \int_{\Omega_n(x)} J(|z|^2) \left| \frac{\varphi(x + \frac{z}{n}) - \varphi(x)}{\frac{1}{n}} \right|^2 dz$$
$$\xrightarrow{n \rightarrow \infty} \int_{\mathbb{R}^d} J(|z|^2) \langle \nabla \varphi(x), z \rangle^2 dz = \frac{\sigma}{2} |\nabla \varphi(x)|^2$$

where we denote

- $\sigma = 2/d \int_{\mathbb{R}^d} J(|z|^2) |z|^2 dz$  and  $\Omega_n(x) = n(\Omega - x)$  and we have used the identity
- $\int_{\mathbb{R}^d} J(|z|^2) \langle e, z \rangle^2 dz = 1/d \int_{\mathbb{R}^d} J(|z|^2) |z|^2 dz$  for every unit vector  $e \in \mathbb{R}^d$

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### Big changes in the model and in the analysis:

- the fourth order equation becomes a second order equation  $\implies$  more chance to get separation property and uniqueness
- the analysis is more challenging due to the less regularity of  $\varphi$  and so of the Korteweg force  $\mu \nabla \varphi$

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### A philosophical question: is diffusion local or nonlocal?



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*does not seem to say much about diffusion, unless we realize that **the “Laplacian” is in fact the limit of an averaging process.***

*If we consider*

$$\Delta u = \lim_{\epsilon \rightarrow 0} \frac{c_\epsilon}{|B_\epsilon(x)|} \int_{B_\epsilon(x)} (u(y) - u(x)) dy,$$

*the density at the point  $x$  compares itself with its values in a tiny surrounding ball. The difference between the surrounding average and the value at  $x$ , properly scaled is the “Laplacian”.*

*If the set to which  $u$  compares itself is not shrunk to zero, the process is an integral diffusion. More generally, for a positive symmetric kernel, it can be*

$$Lu(x) = \int J(x, y)(u(y) - u(x)) dy.”$$

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$$\frac{\partial \mu}{\partial n} = 0, \quad \mathbf{u} = \mathbf{0} \quad \text{on } \partial \Omega \times (0, T)$$

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where

- $m$  denotes the non-constant **mobility**
- $\mu$  the chemical potential
- $(J * \varphi)(x) := \int_{\Omega} J(x-y)\varphi(y) dy$ ,  $a(x) := \int_{\Omega} J(x-y) dy$ ,  $x \in \Omega$  (**nonlocal operator**)
- $F$  the (density of) **potential energy (logarithmic or double-well potential)**
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## The non degenerate mobility: assumptions

(H1)  $m \in C_{loc}^{0,1}(\mathbb{R})$  and there exist  $m_1, m_2 > 0$  such that  $m_1 \leq m(s) \leq m_2$  for all  $s \in \mathbb{R}$ ;

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(H2)  $J(\cdot - x) \in W^{1,1}(\Omega)$  for a.a.  $x \in \Omega$ ,  $J(x) = J(-x)$ ,  $a(x) := \int_{\Omega} J(x - y) dy \geq 0$  and

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(H3) (quadratic perturbation of a strictly convex function)  $F \in C_{loc}^{2,1}(\mathbb{R})$  and there exists  $c_0 > 0$  such that

$$F''(s) + a(x) \geq c_0, \quad \forall s \in \mathbb{R}, \quad \text{a.e. } x \in \Omega;$$

(H4) There exist  $c_1 > (a^* - a_*)/2$  ( $a_* := \inf_{x \in \Omega} \int_{\Omega} J(x - y) dy$ ) and  $c_2 \in \mathbb{R}$  such that

$$F(s) \geq c_1 s^2 - c_2, \quad \forall s \in \mathbb{R};$$

(H5) (fulfilled by arbitrary polynomially growing potentials) There exist  $c_3 > 0$ ,  $c_4 \geq 0$  and  $r \in (1, 2]$  such that

$$|F'(s)|^r \leq c_3 |F(s)| + c_4, \quad \forall s \in \mathbb{R}$$

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Then, a couple  $[\mathbf{u}, \varphi]$  is a *weak solution* to the PDE system on  $[0, T]$  if

$$\mathbf{u} \in L^\infty(0, T; L^2(\Omega)_{div}) \cap L^2(0, T; H^1(\Omega)_{div}), \quad \varphi \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^1(\Omega))$$

$$\mathbf{u}_t \in L^{4/3}(0, T; H^1(\Omega)_{div}^*), \quad \varphi_t \in L^{4/3}(0, T; H^1(\Omega)^*), \quad \text{if } d = 3,$$

$$\mathbf{u}_t \in L^{2-\gamma}(0, T; H^1(\Omega)_{div}^*), \quad \varphi_t \in L^{2-\delta}(0, T; H^1(\Omega)^*) \quad (\gamma, \delta \in (0, 1)), \quad \text{if } d = 2$$

$$\mu := a\varphi - J * \varphi + F'(\varphi) \in L^2(0, T; H^1(\Omega))$$

and the following variational formulation is satisfied for a.a.  $t \in (0, T)$

$$\langle \varphi_t, \psi \rangle + (m(\varphi) \nabla \mu, \nabla \psi) = (\mathbf{u} \varphi, \nabla \psi), \quad \forall \psi \in H^1(\Omega)$$

$$\langle \mathbf{u}_t, \mathbf{v} \rangle + \nu(\nabla \mathbf{u}, \nabla \mathbf{v}) + b(\mathbf{u}, \mathbf{u}, \mathbf{v}) = -(\varphi \nabla \mu, \mathbf{v}) + \langle \mathbf{h}, \mathbf{v} \rangle, \quad \forall \mathbf{v} \in H^1(\Omega)_{div}$$

together with the initial conditions  $\mathbf{u}(0) = \mathbf{u}_0$ ,  $\varphi(0) = \varphi_0$  in  $\Omega$  and where

$$b(\mathbf{u}, \mathbf{v}, \mathbf{w}) := \int_{\Omega} (\mathbf{u} \cdot \nabla) \mathbf{v} \cdot \mathbf{w}, \quad \forall \mathbf{u}, \mathbf{v}, \mathbf{w} \in H^1(\Omega)_{div}$$

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$$\mathcal{E}(\mathbf{u}(t), \varphi(t)) + \int_0^t \left( \nu \|\nabla \mathbf{u}\|^2 + \|\sqrt{m(\varphi)} \nabla \mu\|^2 \right) d\tau \leq \mathcal{E}(\mathbf{u}_0, \varphi_0) + \int_0^t \langle \mathbf{h}(\tau), \mathbf{u} \rangle d\tau$$

for every  $t > 0$ , where we have set

$$\mathcal{E}(\mathbf{u}(t), \varphi(t)) = \frac{1}{2} \|\mathbf{u}(t)\|^2 + \frac{1}{4} \int_{\Omega} \int_{\Omega} J(x-y) (\varphi(x, t) - \varphi(y, t))^2 dx dy + \int_{\Omega} F(\varphi(t)) dx$$

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Furthermore, assume that [(H4):  $F(s) \geq c_1 s^2 - c_2$ ] is replaced by

(H7) (fulfilled by the classical double well)  $F \in C_{loc}^{2,1}(\mathbb{R})$  and there exist  $c_5 > 0$ ,  $c_6 > 0$  and  $p > 2$  such that

$$F''(s) + a(x) \geq c_5 |s|^{p-2} - c_6, \quad \forall s \in \mathbb{R}, \quad \text{a.e. } x \in \Omega, \quad a(x) := \int_{\Omega} J(x-y) dy$$

## Theorem 1: the non degenerate mobility – existence of solutions in 3D

Let  $u_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$ ,  $\mathbf{h} \in L^2(0, T; H^1(\Omega)_{div}^*)$ , and suppose that (H1)-(H5) are satisfied. Then, for every given  $T > 0$ , there exists a weak solution  $[u, \varphi]$  satisfying the **energy inequality**

$$\mathcal{E}(\mathbf{u}(t), \varphi(t)) + \int_0^t \left( \nu \|\nabla \mathbf{u}\|^2 + \|\sqrt{m(\varphi)} \nabla \mu\|^2 \right) d\tau \leq \mathcal{E}(\mathbf{u}_0, \varphi_0) + \int_0^t \langle \mathbf{h}(\tau), \mathbf{u} \rangle d\tau$$

for every  $t > 0$ , where we have set

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$$\varphi \in L^\infty(0, T; L^p(\Omega)),$$

$$\varphi_t \in L^2(0, T; H^1(\Omega)^*), \quad \text{if } d = 2 \quad \text{or} \quad (d = 3 \text{ and } p \geq 3),$$

$$\mathbf{u}_t \in L^2(0, T; H^1(\Omega)_{div}^*), \quad \text{if } d = 2$$

The proof follows the line of [Colli, Frigeri, Grasselli, '12]

# Theorem 1: The non degenerate mobility – existence of solutions in 2D

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Then,

- any weak solution satisfies the **energy identity**

$$\frac{d}{dt} \mathcal{E}(\mathbf{u}, \varphi) + \nu \|\nabla \mathbf{u}\|^2 + \|\sqrt{m(\varphi)} \nabla \mu\|^2 = \langle \mathbf{h}(t), \mathbf{u} \rangle, \quad t > 0$$

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In particular we have

$$\mathbf{u} \in C([0, \infty); L^2(\Omega)_{div}), \quad \varphi \in C([0, \infty); L^2(\Omega)), \quad \int_{\Omega} F(\varphi) \in C([0, \infty))$$

- If in addition  $\mathbf{h} \in L_{tb}^2(0, \infty; H^1(\Omega)_{div}^*)$ , then any weak solution satisfies also the **dissipative estimate**

$$\mathcal{E}(\mathbf{u}(t), \varphi(t)) \leq \mathcal{E}(\mathbf{u}_0, \varphi_0) e^{-kt} + F(m_0) |\Omega| + K, \quad \forall t \geq 0,$$

where  $m_0 = (\varphi_0, 1)$  and  $k, K$  are two positive constants which are independent of the initial data, with  $K$  depending on  $\Omega, \nu, J, F$  and  $\|\mathbf{h}\|_{L_{tb}^2(0, \infty; H^1(\Omega)_{div}^*)}$

The proof follows the line of [Colli, Frigeri, Grasselli, '12]

# The degenerate mobility: assumptions

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More precisely, we assume that (cf. [Elliott, Garcke, '96], [Gajewski, Zacharias, '03], [Giacomin, Lebowitz, '97&'98]) :

**(D1)**  $m \in C^1([-1, 1])$ ,  $m \geq 0$  and that  $m(s) = 0$  if and only if  $s = -1$  or  $s = 1$ ,  
 $F \in C^2(-1, 1)$  and

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- (D2)**  $F = F_1 + F_2$ ,  $F_2 \in C^2([-1, 1])$  and there exists  $a_2 > 4(a^* - a_* - b_2)$ , where  
 $b_2 = \min F_2''$  and  $\varepsilon_0 > 0$  such that

$$F_1''(s) \geq a_2, \quad \forall s \in (-1, -1 + \varepsilon_0] \cup [1 - \varepsilon_0, 1)$$

- (D3)** There exists  $\varepsilon_0 > 0$  such that  $F_1''$  is non-decreasing in  $[1 - \varepsilon_0, 1)$  and non-increasing in  $(-1, -1 + \varepsilon_0]$

- (D4)** There exists  $c_0 > 0$  such that

$$F''(s) + a(x) \geq c_0, \quad \forall s \in (-1, 1), \quad \text{a.e. } x \in \Omega$$

## Examples of $m$ and $F$

It is easy to see that (D1)–(D4) are satisfied in the physically relevant case where the mobility and the double-well potential are given by

$$m(s) = k_1(1 - s^2), \quad F(s) = -\frac{\theta_c}{2}s^2 + \frac{\theta}{2}((1 + s) \log(1 + s) + (1 - s) \log(1 - s))$$

where  $0 < \theta < \theta_c$ . Indeed, setting  $F_1(s) := (\theta/2)((1 + s) \log(1 + s) + (1 - s) \log(1 - s))$  and  $F_2(s) = -(\theta_c/2)s^2$ , then we have

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Another example is given by

$$m(s) = k(s)(1 - s^2)^m, \quad F(s) = -k_2s^2 + F_1(s)$$

where  $k \in C^1([-1, 1])$  such that  $0 < k_3 \leq k(s) \leq k_4$  for all  $s \in [-1, 1]$ , and  $F_1$  is a  $C^2(-1, 1)$  convex function such that

$$F_1''(s) = \ell(s)(1 - s^2)^{-m}, \quad \forall s \in (-1, 1)$$

where  $m \geq 1$  and  $\ell \in C^1([-1, 1])$



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Let  $u_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  with  $F(\varphi_0) \in L^1(\Omega)$  and  $0 < T < +\infty$  be given.

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Let  $u_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  with  $F(\varphi_0) \in L^1(\Omega)$  and  $0 < T < +\infty$  be given. A couple  $[u, \varphi]$  is a *weak solution* on  $[0, T]$  corresponding to  $[u_0, \varphi_0]$  if

- $\mathbf{u}, \varphi$  satisfy

$$\mathbf{u} \in L^\infty(0, T; L^2(\Omega)_{div}) \cap L^2(0, T; H^1(\Omega)_{div})$$

$$\mathbf{u}_t \in L^{4/3}(0, T; H^1(\Omega)_{div}^*) \text{ (if } d = 3), \mathbf{u}_t \in L^2(0, T; H^1(\Omega)_{div}^*) \text{ (if } d = 2)$$

$$\varphi \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^1(\Omega)), \varphi_t \in L^2(0, T; H^1(\Omega)^*)$$

$$\varphi \in L^\infty(Q_T), \quad |\varphi(x, t)| \leq 1 \quad \text{a.e. } (x, t) \in Q_T := \Omega \times (0, T)$$

- for every  $\psi \in H^1(\Omega)$ , every  $\mathbf{v} \in H^1(\Omega)_{div}$  and for almost any  $t \in (0, T)$  we have

$$\begin{aligned} \langle \varphi_t, \psi \rangle + \int_{\Omega} m(\varphi) F''(\varphi) \nabla \varphi \cdot \nabla \psi + \int_{\Omega} m(\varphi) a \nabla \varphi \cdot \nabla \psi \\ + \int_{\Omega} m(\varphi) (\varphi \nabla a - \nabla J * \varphi) \cdot \nabla \psi = (\mathbf{u} \varphi, \nabla \psi) \end{aligned}$$

$$\langle \mathbf{u}_t, \mathbf{v} \rangle + \nu (\nabla \mathbf{u}, \nabla \mathbf{v}) + b(\mathbf{u}, \mathbf{u}, \mathbf{v}) = ((a\varphi - J * \varphi) \nabla \varphi, \mathbf{v}) + \langle \mathbf{h}, \mathbf{v} \rangle$$

$$\mathbf{u}(0) = \mathbf{u}_0, \varphi(0) = \varphi_0$$

## Theorem 2: the degenerate mobility – existence of solutions

Introduce the function  $M \in C^2(-1, 1)$  defined by  $m(s)M''(s) = 1$ ,  $M(0) = M'(0) = 0$

Assume (D1)–(D4), (H2). Let  $\mathbf{h} \in L^2(0, T; H^1(\Omega)_{div}^*)$ ,  $\mathbf{u}_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^\infty(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$

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Then, for every  $T > 0$  there exists a *weak solution*  $z := [\mathbf{u}, \varphi]$  on  $[0, T]$  such that  $\overline{\varphi}(t) = \overline{\varphi_0}$  for all  $t \in [0, T]$  and  $\varphi \in L^\infty(0, T; L^p(\Omega))$ , where  $p \leq 6$  for  $d = 3$  and  $2 \leq p < \infty$  for  $d = 2$

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$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} (\|\mathbf{u}\|^2 + \|\varphi\|^2) + \int_{\Omega} m(\varphi) F''(\varphi) |\nabla \varphi|^2 + \int_{\Omega} a m(\varphi) |\nabla \varphi|^2 + \nu \|\nabla \mathbf{u}\|^2 \\ &= \int_{\Omega} m(\varphi) (\nabla J * \varphi - \varphi \nabla a) \cdot \nabla \varphi + \int_{\Omega} (a \varphi - J * \varphi) \mathbf{u} \cdot \nabla \varphi + \langle \mathbf{h}, \mathbf{u} \rangle \end{aligned}$$

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If  $d = 3$  and if (H7) is satisfied with  $p \geq 3$ ,  $z$  satisfies the following *energetic inequality*

$$\begin{aligned} & \frac{1}{2} (\|\mathbf{u}(t)\|^2 + \|\varphi(t)\|^2) + \int_0^t \int_{\Omega} m(\varphi) F''(\varphi) |\nabla \varphi|^2 + \int_0^t \int_{\Omega} am(\varphi) |\nabla \varphi|^2 \\ &+ \nu \int_0^t \|\nabla \mathbf{u}\|^2 \leq \frac{1}{2} (\|\mathbf{u}_0\|^2 + \|\varphi_0\|^2) + \int_0^t \int_{\Omega} m(\varphi) (\nabla J * \varphi - \varphi \nabla a) \cdot \nabla \varphi \\ &+ \int_0^t \int_{\Omega} (a\varphi - J * \varphi) \mathbf{u} \cdot \nabla \varphi + \int_0^t \langle \mathbf{h}, \mathbf{u} \rangle d\tau \quad \forall t > 0 \end{aligned}$$



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- We pass to the limit as  $\varepsilon \searrow 0$  obtaining the **weak formulation** stated in Theorem 2

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$$\mathcal{E}(z(t)) + \int_0^t \left( \nu \|\nabla \mathbf{u}\|^2 + \left\| \frac{\mathcal{J}}{\sqrt{m(\varphi)}} \right\|^2 \right) d\tau \leq \mathcal{E}(z_0) + \int_0^t \langle \mathbf{h}, \mathbf{u} \rangle d\tau$$

for all  $t > 0$ , where the **mass flux**  $\mathcal{J}$  is such that

$$\mathcal{J} \in L^2(Q_T), \quad \frac{\mathcal{J}}{\sqrt{m(\varphi)}} \in L^2(Q_T)$$

and is given by

$$\mathcal{J} = -m(\varphi)\nabla(a\varphi - J * \varphi) - m(\varphi)F''(\varphi)\nabla\varphi$$



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for all  $t > 0$ , where the **mass flux**  $\mathcal{J}$  is such that

$$\mathcal{J} \in L^2(Q_T), \quad \frac{\mathcal{J}}{\sqrt{m(\varphi)}} \in L^2(Q_T)$$

and is given by

$$\mathcal{J} = -m(\varphi) \nabla(a\varphi - J * \varphi) - m(\varphi) F''(\varphi) \nabla \varphi$$

Note that in this case it can be proved that **the sets**  $\{x \in \Omega : \varphi(x, t) = 1\}$  **and**  $\{x \in \Omega : \varphi(x, t) = -1\}$  **have both measure zero** for a.a.  $t > 0$

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- The assumptions on  $\varphi_0$  imply only the **less strict condition**  $|\bar{\varphi}_0| \leq 1$
- This is due due to the **different weak solution formulation** with respect to the case of constant mobility
- Therefore, if  $F$  is bounded (e.g.  $F$  is the logarithmic potential) and at  $t = 0$  the fluid is in a pure phase, i.e.  $\varphi_0 = 1$  a.e. in  $\Omega$ , and furthermore  $\mathbf{u}_0 = \mathbf{u}(0)$  is given in  $L^2(\Omega)_{div}$ , then the couple

$$\mathbf{u} = \mathbf{u}(x, t), \quad \varphi = \varphi(x, t) = 1, \quad \text{a.e. in } \Omega, \quad \text{a.a. } t,$$

where  $\mathbf{u}$  is solution of the Navier-Stokes equations with non-slip boundary condition **explicitly satisfies the weak formulation**

- **This possibility is excluded in the model with constant mobility** since in such model the chemical potential  $\mu$  (and hence  $F'(\varphi)$ ) appears explicitly

## The degenerate vs. the strongly degenerate mobility case

- If  $m(\pm 1) = 0$  with order  $\in [1, 2)$ , then both  $F$  and  $M$  (s.t.  $m(s)M''(s) = 1$ ,  $M(0) = M'(0) = 0$ ) are bounded in  $[-1, 1] \implies$  the conditions  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$  of Theorem 2 are satisfied by every initial datum  $\varphi_0$  such that  $|\varphi_0| \leq 1$  in  $\Omega \implies$  the **existence of pure phases is allowed**

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- If  $m(\pm 1) = 0$  with order  $\geq 2$  (in this case we say that  $m$  is **strongly degenerate**), then it can be proved that the conditions  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$  imply that the sets  $\{x \in \Omega : \varphi_0(x) = 1\}$  and  $\{x \in \Omega : \varphi_0(x) = -1\}$  have both measure zero  $\implies |\bar{\varphi}_0| < 1$  and furthermore it can be seen that also the sets  $\{x \in \Omega : \varphi(x, t) = 1\}$  and  $\{x \in \Omega : \varphi(x, t) = -1\}$  have both measure zero for a.a.  $t > 0 \implies$  **pure phases are not allowed** (even on subsets of  $\Omega$  of positive measure)

## Theorem 4: The case of more regular chemical potential

(Cf. [Gajewski, Zacharias, '03])

Take the assumptions of Theorem 2 with  $J$  such that

$$N_d := \left( \sup_{x \in \Omega} \int_{\Omega} |\nabla J(x - y)|^{\kappa} dy \right)^{1/\kappa} < \infty,$$

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$$m(s)F_1''(s) \geq \alpha_0 > 0, \quad |m^2(s)F_1'''(s)| \leq \beta_0, \quad \forall s \in [-1, 1]$$

$$F_1'(s)F_1'''(s) \geq 0 \quad \forall s \in (-1, 1)$$

$$\rho F_1''(s) + F_2''(s) + a(x) \geq 0 \quad \forall s \in (-1, 1), \quad \text{for a.e. } x \in \Omega$$

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As a consequence,  $z = [u, \varphi]$  now also satisfies the **Definition 1 of weak solutions**, the energy inequality and, for  $d = 2$ , the energy identity

# An idea of the proof

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Write the weak formulation of approximated the Cahn-Hilliard equation as

$$\begin{aligned} \langle \varphi', \psi \rangle + (m_\varepsilon(\varphi) \nabla(F'_{1\varepsilon}(\varphi)), \nabla \psi) - (m_\varepsilon(\varphi) \nabla(J * \varphi), \nabla \psi) \\ + (m_\varepsilon(\varphi) \nabla(a\varphi + F'_{2\varepsilon}(\varphi)), \nabla \psi) = (\mathbf{u}\varphi, \nabla \psi), \quad \forall \psi \in H^1(\Omega) \end{aligned} \quad (\text{w-CH})$$

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$$\int_{\Omega} (\mathbf{u} \cdot \nabla \varphi) F'_{1\varepsilon}(\varphi) F''_{1\varepsilon}(\varphi) = \int_{\Omega} \mathbf{u} \cdot \nabla \left( \frac{F_{1\varepsilon}^2(\varphi)}{2} \right) = 0$$

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By means of *some technical arguments* and using the assumptions on  $F$  and, in particular, the condition  $F'(\varphi_0) \in L^2(\Omega)$ , we get

$$F'(\varphi) \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^1(\Omega)) \implies \mu \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^1(\Omega))$$

## Second part: The global attractor in 2D for the degenerate case

Let  $\mathbf{d} = 2$  and suppose that the external force is time-independent, i.e.  $\mathbf{h} \in H^1(\Omega)_{div}^*$

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Introduce the set  $\mathcal{G}_{m_0}$  of all *weak solutions* (in the sense of **Definition 2**) corresponding to all initial data  $\mathbf{z}_0 = [\mathbf{u}_0, \varphi_0] \in \mathcal{X}_{m_0}$ , where the phase space  $\mathcal{X}_{m_0}$  is the metric space defined by

$$\mathcal{X}_{m_0} := L^2(\Omega)_{div} \times \mathcal{Y}_{m_0}$$

with  $\mathcal{Y}_{m_0}$  given by

$$\mathcal{Y}_{m_0} := \{\varphi \in L^\infty(\Omega) : |\varphi| \leq 1 \text{ a.e. in } \Omega, F(\varphi) \in L^1(\Omega), |\overline{\varphi}| \leq m_0\},$$

and  $m_0 \in [0, 1]$  is fixed. The metric on  $\mathcal{X}_{m_0}$  is

$$d(\mathbf{z}_2, \mathbf{z}_1) := \|\mathbf{u}_2 - \mathbf{u}_1\| + \|\varphi_2 - \varphi_1\|,$$

for every  $\mathbf{z}_1 := [\mathbf{u}_1, \varphi_1]$  and  $\mathbf{z}_2 := [\mathbf{u}_2, \varphi_2]$  in  $\mathcal{X}_{m_0}$ .

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for every  $z_1 := [\mathbf{u}_1, \varphi_1]$  and  $z_2 := [\mathbf{u}_2, \varphi_2]$  in  $\mathcal{X}_{m_0}$ . Assume moreover that

**(D5)**  $m, F$  satisfy (A1) and there exists  $\alpha_0 > 0$  and  $\rho \in [0, 1]$  such that

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- ▶ existence ( $\forall z \in \mathcal{X}_{m_0}$  there exists **at least** one  $g \in \mathcal{G}_{m_0}$ :  $g(0) = z$ )
- ▶ translated of solutions are solutions
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- $\mathcal{G}_{m_0}$  is **point dissipative** (there is a bdd set  $B_0$  such that for any  $g \in \mathcal{G}_{m_0}$   $g(t) \in B_0$  for  $t$  sufficiently large),



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  - ▶ existence ( $\forall z \in \mathcal{X}_{m_0}$  there exists **at least** one  $g \in \mathcal{G}_{m_0}$ :  $g(0) = z$ )
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- $\mathcal{G}_{m_0}$  is **point dissipative** (there is a bdd set  $B_0$  such that for any  $g \in \mathcal{G}_{m_0}$   $g(t) \in B_0$  for  $t$  sufficiently large), **eventually bounded** (given any bdd  $B \subset \mathcal{X}_{m_0}$  there exists  $\tau \geq 0$  with  $g^\tau(B)$  bdd, with  $g^\tau(t) := g(t + \tau)$ ), and **compact**
- As a consequence of [Ball, '97&'98], we have:  $\mathcal{G}_{m_0}$  **possesses a global attractor** (compact, invariant set that *attracts* all bounded sets)

## Existence of the global attractor in 2D

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We point out that the existence of the global attractor is established **without the restriction  $|\bar{\varphi}| < 1$  on the generalized semiflow**. In particular, this result does not require the separation property

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**2) Dissipativity and eventual boundedness:** From the energy identity and by means of Poincaré inequality we get

$$\frac{d}{dt} \left( \|\mathbf{u}\|^2 + \|\varphi - \bar{\varphi}_0\|^2 \right) + (1 - \rho)\alpha_0 C_P \|\varphi - \bar{\varphi}_0\|^2 + \nu \lambda_1 \|\mathbf{u}\|^2 \leq C_2 + \frac{1}{\nu} \|\mathbf{h}\|_{H^1(\Omega)_{div}'}^2$$

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This estimate easily yields

$$d^2(z(t), 0) \leq d^2(z_0, 0) e^{-\eta t} + \frac{2C_3}{\eta} + |\bar{\varphi}_0|^2 |\Omega|, \quad \forall t \geq 0$$

where  $d(z_2, z_1) := \|\mathbf{u}_2 - \mathbf{u}_1\| + \|\varphi_2 - \varphi_1\|$

## Third part: The convective nonlocal Cahn-Hilliard equation with degenerate mobility

Assume that (D1)–(D4) are satisfied. Let  $\mathbf{u} \in L^2_{loc}([0, \infty); H^1(\Omega)_{div} \cap L^\infty(\Omega)^d)$  be given and let  $\mathbf{h} \in H^1(\Omega)_{div}^*$ ,  $\varphi_0 \in L^\infty(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$

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Furthermore,  $\varphi \in L^\infty(0, T; L^p(\Omega))$ , where  $p \leq 6$  for  $d = 3$  and  $2 \leq p < \infty$  for  $d = 2$ . In addition, the following energy identity holds

$$\frac{1}{2} \frac{d}{dt} \|\varphi\|^2 + \int_{\Omega} m(\varphi) F''(\varphi) |\nabla \varphi|^2 + \int_{\Omega} \mathbf{a} m(\varphi) |\nabla \varphi|^2 + \int_{\Omega} m(\varphi) (\varphi \nabla \mathbf{a} - \nabla J * \varphi) \cdot \nabla \varphi = 0$$

for a.a.  $t > 0$  and in  $\mathcal{D}'(0, \infty)$



## The convective nonlocal Cahn-Hilliard equation: uniqueness and attractor

Let the hypotheses of the previous Theorem be satisfied and the following conditions (cf. **(D5)**) are fulfilled for some  $\alpha_0 > 0$  and  $\rho \in [0, 1)$

$$\begin{aligned} m(s)F_1''(s) &\geq \alpha_0 > 0 \quad \forall s \in [-1, 1] \\ \rho F_1''(s) + F_2''(s) + a(x) &\geq 0 \quad \forall s \in (-1, 1), \quad \text{for a.e. } x \in \Omega \end{aligned}$$

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It is then immediate to check that the arguments used in the proofs of the previous results can be adapted to the present situation. Hence we have that: given  $\mathbf{u} \in L^\infty(\Omega)^d$  independent of time, then, **the dynamical system  $(\mathcal{Y}_{m_0}, S(t))$  possesses a connected global attractor**

Note that: up to our knowledge **uniqueness of solutions is an open issue** for the local case.

# Conclusions

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We have proved in [Frigeri, Grasselli, E.R., preprint arXiv:1303.6446, 2013]

- Existence of solutions for the nonlocal 3D Navier-Stokes Cahn-Hilliard model with nondegenerate and with degenerate mobility
- Existence of the attractor in the 2D case
- Well-posedness and existence of the attractor for the 3D nonlocal convective Cahn-Hilliard equation

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There are still a lot of open problems like

- The uniqueness result for the 2D Navier-Stokes Cahn-Hilliard system (cf. [Gal, Grasselli, Frigeri, work in progress])
- The case of non-smooth potentials like  $F(\varphi) = I_{[-1,1]}(\varphi)$
- The case of unmatched densities (cf. [Abels, Depner, Garcke, 2013] for the local case) or of compressible fluids (cf. [Abels, Feireisl, 2008] for the local case)
- The control problem associated to the convective Cahn-Hilliard with degenerate mobility (cf. [E.R., Sprekels, work in progress])
- The non isothermal case (cf. [Eleuteri, E.R., Schimperna, work in progress] for the local case)
- ...

**Thanks for your attention!**

cf. <http://www.mat.unimi.it/users/rocca/>



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$$\langle \varphi_t, \psi \rangle + (\nabla \Lambda(\cdot, \varphi), \nabla \psi) - (\Gamma(\varphi) \nabla a, \nabla \psi) + (m(\varphi)(\varphi \nabla a - \nabla J * \varphi), \nabla \psi) = (\mathbf{u} \varphi, \nabla \psi),$$

for all  $\psi \in H^1(\Omega)$ , where  $\Lambda(x, s) := \Lambda_1(s) + \Lambda_2(s) + a(x)\Gamma(s)$  and

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for all  $s \in [-1, 1]$ . Take the difference between the two identities, set  $\varphi := \varphi_1 - \varphi_2$  and  $\psi = \mathcal{N}\varphi$  (notice that  $\bar{\varphi} = 0$ ):

$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} \|\mathcal{N}^{1/2} \varphi\|^2 + (\Lambda(\varphi_2) - \Lambda(\varphi_1), \varphi) - ((\Gamma(\varphi_2) - \Gamma(\varphi_1)) \nabla a, \nabla \mathcal{N}\varphi) \\ & + ((m(\varphi_2) - m(\varphi_1))(\varphi_2 \nabla a - \nabla J * \varphi_2) + m(\varphi_1)(\varphi \nabla a - \nabla J * \varphi), \nabla \mathcal{N}\varphi) \\ & = (\mathbf{u} \varphi, \nabla \mathcal{N}\varphi) \end{aligned}$$

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$$\Lambda_1(s) := \int_0^s m(\sigma) F_1''(\sigma) d\sigma, \quad \Lambda_2(s) := \int_0^s m(\sigma) F_2''(\sigma) d\sigma, \quad \Gamma(s) := \int_0^s m(\sigma) d\sigma,$$

for all  $s \in [-1, 1]$ . Take the difference between the two identities, set  $\varphi := \varphi_1 - \varphi_2$  and  $\psi = \mathcal{N}\varphi$  (notice that  $\bar{\varphi} = 0$ ):

$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} \|\mathcal{N}^{1/2} \varphi\|^2 + (\Lambda(\varphi_2) - \Lambda(\varphi_1), \varphi) - ((\Gamma(\varphi_2) - \Gamma(\varphi_1)) \nabla a, \nabla \mathcal{N}\varphi) \\ & + ((m(\varphi_2) - m(\varphi_1))(\varphi_2 \nabla a - \nabla J * \varphi_2) + m(\varphi_1)(\varphi \nabla a - \nabla J * \varphi), \nabla \mathcal{N}\varphi) \\ & = (\mathbf{u} \varphi, \nabla \mathcal{N}\varphi) \end{aligned}$$

On account of  $m(s)F_1''(s) \geq \alpha_0 > 0$ ,  $\rho F_1''(s) + F_2''(s) + a(x) \geq 0$ , we find

$$\begin{aligned} (\Lambda(\cdot, \varphi_2) - \Lambda(\cdot, \varphi_1), \varphi) & \geq (1 - \rho) \int_{\Omega} m(\theta \varphi_2 + (1 - \theta) \varphi_1) F_1''(\theta \varphi_2 + (1 - \theta) \varphi_1) \varphi^2 \\ & \geq (1 - \rho) \alpha_0 \|\varphi\|^2 \end{aligned}$$

and the other terms can be estimated in order to apply Gronwall.

## Some comparisons with other results: local vs nonlocal

Results	Local CH	Nonlocal CH	Local CHNS	Nonlocal CHNS
Uniqueness	<p>3D: True for non-degenerate mobility (e.g. [Elliott, '89, Novick Cohen, '9, [Elliott, Luckhaus, '91])</p> <p>Open for degenerate mobility and singular potential</p>	<p>3D: True for constant mobility (e.g. [Colli, Krejčí, E.R., Sprekels, '04])</p> <p>3D: True for degenerate mobility and singular potential [Gajewski, Zacharias, '03, [Grasselli, Frigeri, E.R., '13]</p>	<p>2D: True for nondegenerate mobility [Abels, '09, Boyer, '99]</p> <p>Open for degenerate mobility and singular potential</p>	<p>Open even in 2D</p> <p>Open even in 2D</p>
Separation	<p>2D: True with logarithmic potential and constant mobility [Miranville, Zelik, '04] , 3D: Open for the logarithmic potential</p>	<p>3D: true for degenerate mobility and singular potential [Londen, Petzeltová, '11]</p>	<p>Open</p>	<p>3D: true for degenerate mobility and singular potential</p>