# Existence and long-time dynamics of a nonlocal Cahn-Hilliard-Navier-Stokes system with nonconstant mobility

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  - ▶ the movement of the interfaces ⇒ Lagrangian description
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- The **phase-field methods** overcome this problem by postulating the existence of a "diffuse" interface spread over a possibly narrow region covering the "real" sharp interface boundary:
  - an order parameter φ (concentration difference of the two components) is introduced to demarcate the two species and to indicate the location of the interface
  - mixing energy F is defined in terms of  $\varphi$  and its spatial gradient

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  - > an order parameter  $\varphi$  (concentration difference of the two components) is introduced to demarcate the two species and to indicate the location of the interface
  - mixing energy F is defined in terms of  $\varphi$  and its spatial gradient
- The time evolution of  $\varphi$  is described by means of a convection-diffusion equation: typically, different variants of **Cahn-Hilliard** or Allen-Cahn or other types of dynamics are used (see [Anderson et al., '98], [Feng, '06])
- This parameter influences the (average) fluid velocity **u** through a capillarity force (called Korteweg force) proportional to  $\mu \nabla \varphi$ , where  $\mu$  is the chemical potential (cf. [Jasnow, Viñals, '96])

## The local model H

The state variables are

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- the velocity field  $\boldsymbol{u}$

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and the corresponding initial-boundary value problem (in  $\Omega \times (0, T)$ ) is

$$\mathbf{u}_t - \nu \Delta \mathbf{u} + (\mathbf{u} \cdot \nabla) \mathbf{u} + \nabla \pi = \mu \nabla \varphi + \mathbf{h}, \quad \operatorname{div}(\mathbf{u}) = 0$$
  
$$\varphi_t + \mathbf{u} \cdot \nabla \varphi = \operatorname{div}(m(\varphi) \nabla \mu), \quad \mu = -\sigma \Delta \varphi + \frac{1}{\sigma} F'(\varphi)$$

where

- *m* denotes the non-constant mobility
- $\mu$  the chemical potential
- F the (density of) potential energy (logarithmic or double-well potential)
- $\mu \nabla \varphi$  is the so-called Korteweg force
- $\nu$  the viscosity and  $\pi$  the pressure
- $\sigma > 0$  is related to the (diffuse) interface thickness

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• (in the local case, cf. [Elliott, Garcke '96], [Boyer, '99], [Abels, '09], ... )

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• (in the nonlocal case, cf. [Gajewski, Zacharias, '03], ..., [Colli, Frigeri, Grasselli, '12])

$$E(\varphi) = \frac{1}{4} \int_{\Omega} \int_{\Omega} J(x - y) \left(\varphi(x) - \varphi(y)\right)^2 dx dy + \int_{\Omega} \eta F(\varphi(x)) dx$$

- ▶  $J : \mathbb{R}^d \to \mathbb{R}$  is a smooth even function, e.g.  $J(x) = j_3 |x|^{-1}$  in 3D and  $J(x) = -j_2 \log |x|$  in 2D
- it is justified as a macroscopic limit of microscopic phase segregation models with particle conserving dynamics (cf. [Giacomin Lebowitz, '97&'98])

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$$\int_{\Omega} n^{d+2} J(|n(x-y)|^2) |\varphi(x) - \varphi(y)|^2 \, dy = \int_{\Omega_n(x)} J(|z|^2) \left| \frac{\varphi\left(x + \frac{z}{n}\right) - \varphi(x)}{\frac{1}{n}} \right|^2 \, dz$$
$$\stackrel{n \to \infty}{\longrightarrow} \int_{\mathbb{R}^d} J(|z|^2) \left\langle \nabla \varphi(x), z \right\rangle^2 \, dz = \frac{\sigma}{2} |\nabla \varphi(x)|^2$$

where we denote

- $\sigma = 2/d \int_{\mathbb{R}^d} J(|z|^2) |z|^2 dz$  and  $\Omega_n(x) = n(\Omega x)$  and we have used the identity
- $\int_{\mathbb{R}^d} J(|z|^2) \langle e, z \rangle^2 \ dz = 1/d \ \int_{\mathbb{R}^d} J(|z|^2) |z|^2 \ dz$  for every unit vector  $e \in \mathbb{R}^d$

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#### Big changes in the model and in the analysis:

- the fourth order equation becames a second order equation ⇒ more chance to get separation property and uniqueness
- $\bullet$  the analysis is more challenging due to the less regularity of  $\varphi$  and so of the Korteweg force  $\mu\nabla\varphi$

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- $\int_{\mathbb{R}^d} J(|z|^2) \langle e,z \rangle^2 \ dz = 1/d \ \int_{\mathbb{R}^d} J(|z|^2) |z|^2 \ dz$  for every unit vector  $e \in \mathbb{R}^d$

#### Big changes in the model and in the analysis:

- $\bullet$  the fourth order equation becames a second order equation  $\Longrightarrow$  more chance to get separation property and uniqueness
- $\bullet$  the analysis is more challenging due to the less regularity of  $\varphi$  and so of the Korteweg force  $\mu\nabla\varphi$

#### A philosophical question: is diffusion local or nonlocal?

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## **Understand Diffusion by Nonlocality**

By Louis Caffarelli, at the "Colloquium Magenes", Pavia, March 20, 2013:

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$$u_t - \Delta u = 0$$

does not seem to say much about diffusion, unless we realize that the "Laplacian" is in fact the limit of an averaging process.

### **Understand Diffusion by Nonlocality**

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does not seem to say much about diffusion, unless we realize that the "Laplacian" is in fact the limit of an averaging process.

If we consider

$$\Delta u = \lim_{\epsilon \to 0} \frac{c_{\epsilon}}{|B_{\epsilon}(x)|} \int_{B_{\epsilon}(x)} (u(y) - u(x)) \, dy \, ,$$

the density at the point  $\times$  compares itself with its values in a tiny surrounding ball. The difference between the surrounding average and the value at x, properly scaled is the "Laplacian".

If the set to which u compares itself is not shrunk to zero, the process is an integral diffusion. More generally, for a positive symmetric kernel, it can be

$$Lu(x) = \int J(x,y)(u(y) - u(x)) \, dy \, ."$$

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**Our main aim:** deal with the case of Cahn-Hilliard equation with **non constant mobility** *m* and **nonlocal** phase dynamics (cf. [Giacomin Lebowitz, '97&'98])

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**Our main aim:** deal with the case of Cahn-Hilliard equation with **non constant mobility** *m* and **nonlocal** phase dynamics (cf. [Giacomin Lebowitz, '97&'98])

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and the corresponding initial-boundary value problem (in  $\Omega \times (0, T)$ ) is

$$\begin{split} \varphi_t + \mathbf{u} \cdot \nabla \varphi &= \operatorname{div}(m(\varphi) \nabla \mu) \\ \mu &= \mathbf{a} \varphi - \mathbf{J} * \varphi + \mathbf{F}'(\varphi) \\ \mathbf{u}_t - \nu \Delta \mathbf{u} + (\mathbf{u} \cdot \nabla) \mathbf{u} + \nabla \pi &= \mu \nabla \varphi + \mathbf{h}, \quad \operatorname{div}(\mathbf{u}) = 0 \\ \frac{\partial \mu}{\partial n} &= 0, \quad \mathbf{u} = \mathbf{0} \quad \text{on } \partial \Omega \times (0, T) \\ \mathbf{u}(0) &= \mathbf{u}_0, \quad \varphi(0) = \varphi_0 \quad \text{in } \Omega \end{split}$$

where

- m denotes the non-constant mobility
- $\mu$  the chemical potential
- $(J * \varphi)(x) := \int_{\Omega} J(x y)\varphi(y) \, dy$ ,  $a(x) := \int_{\Omega} J(x y) \, dy$ ,  $x \in \Omega$  (nonlocal operator)
- F the (density of) potential energy (logarithmic or double-well potential)
- $\nu$  the viscosity and  $\pi$  the pressure

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• The non degenerate mobility:

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- The non degenerate mobility:
  - assumptions on m, J and F
  - weak solution notion
  - existence of weak solution and energy inequality (3D)/identity (2D)

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## The non degenerate mobility: assumptions

(H1)  $m \in C^{0,1}_{loc}(\mathbb{R})$  and there exist  $m_1, m_2 > 0$  such that  $m_1 \leq m(s) \leq m_2$  for all  $s \in \mathbb{R}$ ;

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$$a^* := \sup_{x\in\Omega} \int_{\Omega} |J(x-y)| dy < \infty, \ b := \sup_{x\in\Omega} \int_{\Omega} |\nabla J(x-y)| dy < \infty;$$

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(H3) (quadratic perturbation of a strictly convex function)  $F \in C^{2,1}_{loc}(\mathbb{R})$  and there exists  $c_0 > 0$  such that

$$F''(s) + a(x) \ge c_0, \qquad \forall s \in \mathbb{R}, \quad \text{a.e. } x \in \Omega;$$

(H4) There exist  $c_1 > (a^* - a_*)/2$   $(a_* := \inf_{x \in \Omega} \int_{\Omega} J(x - y) dy)$  and  $c_2 \in \mathbb{R}$  such that

$$F(s) \geq c_1 s^2 - c_2, \qquad orall s \in \mathbb{R};$$

(H5) (fulfilled by arbitrary polynomially growing potentials) There exist  $c_3 > 0$ ,  $c_4 \ge 0$ and  $r \in (1, 2]$  such that

$$|F'(s)|^r \leq c_3|F(s)| + c_4, \qquad orall s \in \mathbb{R}$$

Definition 1: the non degenerate mobility - notion of weak solutions

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# Definition 1: the non degenerate mobility - notion of weak solutions

Let  $u_0 \in (L^2(\Omega))_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$ ,  $\mathbf{h} \in L^2(0, T; H^1(\Omega)^*_{div})$ , and  $0 < T < \infty$  be given.

### Definition 1: the non degenerate mobility – notion of weak solutions

Let  $u_0 \in (L^2(\Omega))_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$ ,  $\mathbf{h} \in L^2(0, T; H^1(\Omega)^*_{div})$ , and  $0 < T < \infty$  be given.

Then, a couple  $[\mathbf{u}, \varphi]$  is a *weak solution* to the PDE system on [0, T] if

 $\begin{aligned} \mathbf{u} &\in L^{\infty}(0, T; L^{2}(\Omega)_{div}) \cap L^{2}(0, T; H^{1}(\Omega)_{div}), \ \varphi \in L^{\infty}(0, T; L^{2}(\Omega)) \cap L^{2}(0, T; H^{1}(\Omega)) \\ \mathbf{u}_{t} &\in L^{4/3}(0, T; H^{1}(\Omega)_{div}^{*}), \qquad \varphi_{t} \in L^{4/3}(0, T; H^{1}(\Omega)^{*}), \quad \text{if } d = 3, \\ \mathbf{u}_{t} &\in L^{2-\gamma}(0, T; H^{1}(\Omega)_{div}^{*}), \qquad \varphi_{t} \in L^{2-\delta}(0, T; H^{1}(\Omega)^{*}) \ (\gamma, \delta \in (0, 1)), \quad \text{if } d = 2 \\ \mu &:= a\varphi - J * \varphi + F'(\varphi) \in L^{2}(0, T; H^{1}(\Omega)) \end{aligned}$ 

and the following variational formulation is satisfied for a.a.  $t \in (0, T)$ 

$$\begin{split} \langle \varphi_t, \psi \rangle + (m(\varphi) \nabla \mu, \nabla \psi) &= (\mathbf{u}\varphi, \nabla \psi), \qquad \forall \psi \in H^1(\Omega) \\ \langle \mathbf{u}_t, \mathbf{v} \rangle + \nu(\nabla \mathbf{u}, \nabla \mathbf{v}) + b(\mathbf{u}, \mathbf{u}, \mathbf{v}) &= -(\varphi \nabla \mu, \mathbf{v}) + \langle \mathbf{h}, \mathbf{v} \rangle, \qquad \forall \mathbf{v} \in H^1(\Omega)_{div} \end{split}$$

together with the initial conditions  $\mathbf{u}(0) = \mathbf{u}_0, \, \varphi(0) = \varphi_0$  in  $\Omega$  and where

$$b(\mathbf{u},\mathbf{v},\mathbf{w}) := \int_{\Omega} (\mathbf{u} \cdot 
abla) \mathbf{v} \cdot \mathbf{w}, \qquad orall \mathbf{u},\mathbf{v},\mathbf{w} \in H^1(\Omega)_{div}$$

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Theorem 1: the non degenerate mobility - existence of solutions in 3D

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**Theorem 1: the non degenerate mobility** – existence of solutions in 3D Let  $u_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$ ,  $\mathbf{h} \in L^2(0, T; H^1(\Omega)^*_{div})$ , and suppose that (H1)-(H5) are satisfied.

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$$\mathcal{E}(\mathbf{u}(t), arphi(t)) + \int_{0}^{t} \left( 
u \| 
abla \mathbf{u} \|^{2} + \| \sqrt{m(arphi)} 
abla \mu \|^{2} 
ight) d au \leq \mathcal{E}(\mathbf{u}_{0}, arphi_{0}) + \int_{0}^{t} \langle \mathbf{h}( au), \mathbf{u} 
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for every t > 0, where we have set

$$\mathcal{E}(\mathbf{u}(t),\varphi(t)) = \frac{1}{2} \|\mathbf{u}(t)\|^2 + \frac{1}{4} \int_{\Omega} \int_{\Omega} J(x-y) (\varphi(x,t) - \varphi(y,t))^2 dx dy + \int_{\Omega} F(\varphi(t)) dx dy$$

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Furthermore, assume that  $[(H4): F(s) \ge c_1 s^2 - c_2]$  is replaced by

(H7) (fulfilled by the classical double well)  $F \in C^{2,1}_{loc}(\mathbb{R})$  and there exist  $c_5 > 0$ ,  $c_6 > 0$  and p > 2 such that

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**Theorem 1: the non degenerate mobility** – existence of solutions in 3D Let  $u_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$ ,  $\mathbf{h} \in L^2(0, T; H^1(\Omega)^*_{div})$ , and suppose that (H1)-(H5) are satisfied. Then, for every given T > 0, there exists a weak solution  $[u, \varphi]$  satisfying the energy inequality

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for every t > 0, where we have set

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Then, for every T > 0 there exists a weak solution  $[u, \varphi]$  satisfying

$$\begin{split} \varphi &\in L^{\infty}(0, T; L^{p}(\Omega)), \\ \varphi_{t} &\in L^{2}(0, T; \mathcal{H}^{1}(\Omega)^{*}), \quad \text{if} \quad d = 2 \quad \text{or} \quad (d = 3 \text{ and } p \geq 3), \\ \mathbf{u}_{t} &\in L^{2}(0, T; \mathcal{H}^{1}(\Omega)^{*}_{\textit{div}}), \quad \text{if} \quad d = 2 \end{split}$$

The proof follows the line of [Colli, Frigeri, Grasselli, '12]

Theorem 1: The non degenerate mobility - existence of solutions in 2D

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**Theorem 1: The non degenerate mobility** – existence of solutions in 2D Assume that d = 2 and  $[(H4): F(s) \ge c_1 s^2 - c_2]$  is replaced by (H7)  $F \in C_{loc}^{2,1}(\mathbb{R})$  and there exist  $c_5 > 0$ ,  $c_6 > 0$  and p > 2 such that

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$$F''(s) + a(x) \ge c_5 |s|^{p-2} - c_6, \qquad orall s \in \mathbb{R}, \quad ext{a.e. } x \in \Omega$$

Then,

any weak solution satisfies the energy identity

$$\frac{d}{dt}\mathcal{E}(\mathbf{u},\varphi) + \nu \|\nabla \mathbf{u}\|^2 + \|\sqrt{m(\varphi)}\nabla \mu\|^2 = \langle \mathbf{h}(t), \mathbf{u} \rangle, \qquad t > 0$$

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In particular we have

$$\mathbf{u}\in C([0,\infty);L^2(\Omega)_{div}),\quad \varphi\in C([0,\infty);L^2(\Omega)),\quad \int_\Omega F(\varphi)\in C([0,\infty))$$

• If in addition  $\mathbf{h} \in L^2_{tb}(0,\infty; H^1(\Omega)^*_{div})$ , then any weak solution satisfies also the dissipative estimate

$$\mathcal{E}(\mathbf{u}(t), \varphi(t)) \leq \mathcal{E}(\mathbf{u}_0, \varphi_0) e^{-kt} + F(m_0) |\Omega| + K, \qquad \forall t \geq 0,$$

where  $m_0 = (\varphi_0, 1)$  and k, K are two positive constants which are independent of the initial data, with K depending on  $\Omega$ ,  $\nu$ , J, F and  $\|\mathbf{h}\|_{L^2_{th}(0,\infty;H^1(\Omega)^*_{div})}$ 

The proof follows the line of [Colli, Frigeri, Grasselli, '12]

E. Rocca (Università di Milano)

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We shall now suppose that the mobility *m* is degenerate and that the double-well potential *F* is singular in (-1, 1) with 1 and -1 as singular points.

We shall now suppose that the mobility *m* is degenerate and that the double-well potential *F* is singular in (-1, 1) with 1 and -1 as singular points.

More precisely, we assume that (cf. [Elliott, Garcke, '96], [Gajewski, Zacharias, '03], [Giacomin, Lebowitz, '97&'98]) :

(D1)  $m \in C^1([-1,1])$ ,  $m \ge 0$  and that m(s) = 0 if and only if s = -1 or s = 1,  $F \in C^2(-1,1)$  and

 $mF'' \in C([-1,1])$ 

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We shall now suppose that the mobility *m* is degenerate and that the double-well potential *F* is singular in (-1, 1) with 1 and -1 as singular points.

More precisely, we assume that (cf. [Elliott, Garcke, '96], [Gajewski, Zacharias, '03], [Giacomin, Lebowitz, '97&'98]) :

(D1)  $m \in C^1([-1,1])$ ,  $m \ge 0$  and that m(s) = 0 if and only if s = -1 or s = 1,  $F \in C^2(-1,1)$  and

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(D2)  $F = F_1 + F_2$ ,  $F_2 \in C^2([-1,1])$  and there exists  $a_2 > 4(a^* - a_* - b_2)$ , where  $b_2 = \min F_2''$  and  $\varepsilon_0 > 0$  such that

$${\it F}_1^{''}({\it s})\geq {\it a}_2, \qquad orall {\it s}\in (-1,-1+arepsilon_0]\cup [1-arepsilon_0,1)$$

(D3) There exists  $\varepsilon_0 > 0$  such that  $F_1^{''}$  is non-decreasing in  $[1 - \varepsilon_0, 1)$  and non-increasing in  $(-1, -1 + \varepsilon_0]$ 

(D4) There exists  $c_0 > 0$  such that

 $F^{''}(s) + a(x) \geq c_0, \qquad orall s \in (-1,1), \qquad ext{a.e. } x \in \Omega$ 

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and so (D1) is fulfilled, while (D4) holds if and only if  $\inf_{\Omega} a > \theta_c - \theta$ .

Another example is given by

$$m(s) = k(s)(1-s^2)^m$$
,  $F(s) = -k_2s^2 + F_1(s)$ 

where  $k \in C^1([-1,1])$  such that  $0 < k_3 \le k(s) \le k_4$  for all  $s \in [-1,1]$ , and  $F_1$  is a  $C^2(-1,1)$  convex function such that

$$F_1''(s) = \ell(s)(1-s^2)^{-m}, \qquad \forall s \in (-1,1)$$

where  $m \geq 1$  and  $\ell \in C^1([-1,1])$ 

Definition 2: The degenerate mobility - notion of weak solutions

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In the case the mobility degenerates we are not able to control the gradient of the chemical potential  $\mu$  in some  $L^{\rho}$  space  $\Longrightarrow$  we shall have to suitably reformulate a new definition of *weak solution* in such a way that  $\mu$  does not appear any more

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Let  $u_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  with  $F(\varphi_0) \in L^1(\Omega)$  and  $0 < T < +\infty$  be given.

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Let  $u_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^2(\Omega)$  with  $F(\varphi_0) \in L^1(\Omega)$  and  $0 < T < +\infty$  be given. A couple  $[u, \varphi]$  is a *weak solution* on [0, T] corresponding to  $[u_0, \varphi_0]$  if

• **u**,  $\varphi$  satisfy

$$\begin{aligned} \mathbf{u} &\in L^{\infty}(0, T; L^{2}(\Omega)_{div}) \cap L^{2}(0, T; H^{1}(\Omega)_{div}) \\ \mathbf{u}_{t} &\in L^{4/3}(0, T; H^{1}(\Omega)_{div}^{*}) \text{ (if } d = 3), \mathbf{u}_{t} \in L^{2}(0, T; H^{1}(\Omega)_{div}^{*}) \text{ (if } d = 2) \\ \varphi &\in L^{\infty}(0, T; L^{2}(\Omega)) \cap L^{2}(0, T; H^{1}(\Omega)), \ \varphi_{t} \in L^{2}(0, T; H^{1}(\Omega)^{*}) \\ \varphi &\in L^{\infty}(Q_{T}), \qquad |\varphi(x, t)| \leq 1 \quad \text{a.e.} \ (x, t) \in Q_{T} := \Omega \times (0, T) \end{aligned}$$

• for every  $\psi \in H^1(\Omega)$ , every  $\mathbf{v} \in H^1(\Omega)_{\mathit{div}}$  and for almost any  $t \in (0, T)$  we have

$$\begin{aligned} \langle \varphi_t, \psi \rangle + \int_{\Omega} m(\varphi) F''(\varphi) \nabla \varphi \cdot \nabla \psi + \int_{\Omega} m(\varphi) a \nabla \varphi \cdot \nabla \psi \\ &+ \int_{\Omega} m(\varphi) (\varphi \nabla a - \nabla J * \varphi) \cdot \nabla \psi = (\mathbf{u}\varphi, \nabla \psi) \\ \langle \mathbf{u}_t, \mathbf{v} \rangle + \nu (\nabla \mathbf{u}, \nabla \mathbf{v}) + b(\mathbf{u}, \mathbf{u}, \mathbf{v}) = ((a\varphi - J * \varphi) \nabla \varphi, \mathbf{v}) + \langle \mathbf{h}, \mathbf{v} \rangle \\ \mathbf{u}(0) = \mathbf{u}_0, \ \varphi(0) = \varphi_0 \end{aligned}$$

### Theorem 2: the degenerate mobility - existence of solutions

Introduce the function  $M \in C^2(-1, 1)$  defined by m(s)M''(s) = 1, M(0) = M'(0) = 0Assume (D1)–(D4), (H2). Let  $\mathbf{h} \in L^2(0, T; H^1(\Omega)^*_{div})$ ,  $\mathbf{u}_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^{\infty}(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$ 

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Then, for every T > 0 there exists a *weak solution*  $z := [\mathbf{u}, \varphi]$  on [0, T] such that  $\overline{\varphi}(t) = \overline{\varphi_0}$  for all  $t \in [0, T]$  and  $\varphi \in L^{\infty}(0, T; L^p(\Omega))$ , where  $p \le 6$  for d = 3 and  $2 \le p < \infty$  for d = 2

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In addition, if d = 2, the weak solution  $z := [\mathbf{u}, \varphi]$  satisfies the *the energetic equality* 

$$\frac{1}{2}\frac{d}{dt}(\|\mathbf{u}\|^{2}+\|\varphi\|^{2})+\int_{\Omega}m(\varphi)F''(\varphi)|\nabla\varphi|^{2}+\int_{\Omega}am(\varphi)|\nabla\varphi|^{2}+\nu\|\nabla\mathbf{u}\|^{2}$$
$$=\int_{\Omega}m(\varphi)(\nabla J\ast\varphi-\varphi\nabla a)\cdot\nabla\varphi+\int_{\Omega}(a\varphi-J\ast\varphi)\mathbf{u}\cdot\nabla\varphi+\langle\mathbf{h},\mathbf{u}\rangle$$

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#### Theorem 2: the degenerate mobility – existence of solutions

Introduce the function  $M \in C^2(-1,1)$  defined by m(s)M''(s) = 1, M(0) = M'(0) = 0

Assume (D1)–(D4), (H2). Let  $\mathbf{h} \in L^2(0, T; H^1(\Omega)^*_{div})$ ,  $\mathbf{u}_0 \in L^2(\Omega)_{div}$ ,  $\varphi_0 \in L^{\infty}(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$ 

Then, for every T > 0 there exists a *weak solution*  $z := [\mathbf{u}, \varphi]$  on [0, T] such that  $\overline{\varphi}(t) = \overline{\varphi_0}$  for all  $t \in [0, T]$  and  $\varphi \in L^{\infty}(0, T; L^p(\Omega))$ , where  $p \le 6$  for d = 3 and  $2 \le p < \infty$  for d = 2

In addition, if d = 2, the weak solution  $z := [\mathbf{u}, \varphi]$  satisfies the *the energetic equality* 

$$\frac{1}{2}\frac{d}{dt}(\|\mathbf{u}\|^{2}+\|\varphi\|^{2})+\int_{\Omega}m(\varphi)F''(\varphi)|\nabla\varphi|^{2}+\int_{\Omega}am(\varphi)|\nabla\varphi|^{2}+\nu\|\nabla\mathbf{u}\|^{2}$$
$$=\int_{\Omega}m(\varphi)(\nabla J\ast\varphi-\varphi\nabla a)\cdot\nabla\varphi+\int_{\Omega}(a\varphi-J\ast\varphi)\mathbf{u}\cdot\nabla\varphi+\langle\mathbf{h},\mathbf{u}\rangle$$

If d = 3 and if (H7) is satisfied with  $p \ge 3$ , z satisfies the following *energetic inequality* 

$$\frac{1}{2}(\|\mathbf{u}(t)\|^{2} + \|\varphi(t)\|^{2}) + \int_{0}^{t} \int_{\Omega} m(\varphi)F''(\varphi)|\nabla\varphi|^{2} + \int_{0}^{t} \int_{\Omega} am(\varphi)|\nabla\varphi|^{2} + \nu \int_{0}^{t} \|\nabla\mathbf{u}\|^{2} \leq \frac{1}{2}(\|\mathbf{u}_{0}\|^{2} + \|\varphi_{0}\|^{2}) + \int_{0}^{t} \int_{\Omega} m(\varphi)(\nabla J * \varphi - \varphi \nabla a) \cdot \nabla\varphi + \int_{0}^{t} \int_{\Omega} (a\varphi - J * \varphi)\mathbf{u} \cdot \nabla\varphi + \int_{0}^{t} \langle \mathbf{h}, \mathbf{u} \rangle d\tau \qquad \forall t > 0$$

E. Rocca (Università di Milano)

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• Approximate with a regular potential  $F_{\varepsilon}$  and a non degenerate mobility  $m_{\varepsilon}$ 

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- Due to **Theorem 1** we have an energy estimate:

$$\begin{split} \mathbf{u} &\in L^{\infty}(0, T; L^{2}(\Omega)_{div}) \cap L^{2}(0, T; H^{1}(\Omega)_{div}) \\ \varphi &\in L^{\infty}(0, T; L^{2}(\Omega)) \\ \sqrt{m} \nabla \mu &\in L^{2}(0, T; L^{2}(\Omega)) \end{split}$$

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• Take  $\psi = M'(\varphi)$ , where m(s)M''(s) = 1, M(0) = M'(0) = 0, in the approximated Cahn-Hilliard equation

$$\langle \varphi_t, \psi \rangle + (\mathbf{m}(\varphi) \nabla \mu, \nabla \psi) = (\mathbf{u}\varphi, \nabla \psi)$$

getting from  $\mu = a arphi - J * arphi + {\it F}'(arphi)$  the term

$$\int_{\Omega} \left( m(\varphi) M''(\varphi) \nabla \mu \nabla \varphi = \int_{\Omega} \left( a + F''(\varphi) \right) \left| \nabla \varphi \right|^2 + \varphi \nabla a \nabla \varphi - \nabla J * \varphi \nabla \varphi \right) = 0$$

on the left hand side. Using the assumption:  $a + F'' \ge c_0$ , we get

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• We pass to the limit as  $\varepsilon \searrow 0$  obtaining the weak formulation stated in Theorem 2

# Theorem 3: The case of strongly degenerate mobility

Assume, in addition to the previous hypotheses, that m'(1) = m'(-1) = 0

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Then, the weak solution  $z = [\mathbf{u}, \varphi]$  fulfills also the following integral inequality

$$\mathcal{E}(z(t)) + \int_{0}^{t} \left( 
u \| 
abla \mathbf{u} \|^{2} + \left\| rac{\mathcal{J}}{\sqrt{m(arphi)}} 
ight\|^{2} 
ight) d au \leq \mathcal{E}(z_{0}) + \int_{0}^{t} \langle \mathbf{h}, \mathbf{u} 
angle d au$$

for all t > 0, where the mass flux  $\mathcal{J}$  is such that

$$\mathcal{J} \in L^2(Q_T), \qquad rac{\mathcal{J}}{\sqrt{m(\varphi)}} \in L^2(Q_T)$$

and is given by

$$\mathcal{J} = -m(\varphi)\nabla(a\varphi - J * \varphi) - m(\varphi)F''(\varphi)\nabla\varphi$$

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$$\mathcal{J} = -m(\varphi)\nabla(a\varphi - J * \varphi) - m(\varphi)F''(\varphi)\nabla\varphi$$

Note that in this case it can be proved that the sets  $\{x \in \Omega : \varphi(x, t) = 1\}$  and  $\{x \in \Omega : \varphi(x, t) = -1\}$  have both measure zero for a.a. t > 0

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- The assumptions on  $arphi_0$  imply only the less strict condition  $|\overline{arphi}_0| \leq 1$
- This is due due to the different weak solution formulation with respect to the case of constant mobility
- Therefore, if *F* is bounded (e.g. *F* is the logarithmic potential) and at t = 0 the fluid is in a pure phase, i.e.  $\varphi_0 = 1$  a.e. in  $\Omega$ , and furthermore  $\mathbf{u}_0 = \mathbf{u}(0)$  is given in  $L^2(\Omega)_{div}$ , then the couple

 $\mathbf{u} = \mathbf{u}(x, t), \qquad \varphi = \varphi(x, t) = 1, \qquad \text{a.e. in } \Omega, \quad \text{a.a. } t,$ 

where  $\mathbf{u}$  is solution of the Navier-Stokes equations with non-slip boundary condition explicitly satisfies the weak formulation

 This possibility is excluded in the model with constant mobility since in such model the chemical potential μ (and hence F'(φ)) appears explicitly

The degenerate vs. the strongly degenerate mobility case

• If  $m'(1) \neq 0$  and  $m'(-1) \neq 0$ , then both F and M (s.t. m(s)M''(s) = 1, M(0) = M'(0) = 0) are bounded in  $[-1, 1] \implies$  the conditions  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$  of Theorem 2 are satisfied by every initial datum  $\varphi_0$  such that  $|\varphi_0| \leq 1$  in  $\Omega \implies$  the existence of pure phases is allowed

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The degenerate vs. the strongly degenerate mobility case

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- If m'(1) = m'(-1) = 0 (in this case we say that m is strongly degenerate), then it can be proved that the conditions  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$  imply that the sets  $\{x \in \Omega : \varphi_0(x) = 1\}$  and  $\{x \in \Omega : \varphi_0(x) = -1\}$  have both measure zero  $\Longrightarrow$  $|\overline{\varphi}_0| < 1$  and furthermore it can be seen that also the sets  $\{x \in \Omega : \varphi(x, t) = 1\}$  and  $\{x \in \Omega : \varphi(x, t) = -1\}$  have both measure zero for a.a.  $t > 0 \Longrightarrow$  pure phases are not allowed (even on subsets of  $\Omega$  of positive measure)

(Cf. [Gajewski, Zacharias, '03]) Take the assumptions of Theorem 2 with J such that

$$N_d := \Big(\sup_{x\in\Omega}\int_{\Omega}|
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$$\begin{split} m(s)F_1''(s) &\geq \alpha_0 > 0, \qquad |m^2(s)F_1'''(s)| \leq \beta_0, \qquad \forall s \in [-1,1] \\ F_1'(s)F_1'''(s) &\geq 0 \qquad \forall s \in (-1,1) \\ \rho F_1''(s) + F_2''(s) + a(x) \geq 0 \quad \forall s \in (-1,1), \quad \text{for a.e. } x \in \Omega \end{split}$$

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As a consequence,  $z = [u, \varphi]$  now also satisfies the **Definition 1 of weak solutions**, the energy inequality and, for d = 2, the energy identity

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Write the weak formulation of approximated the Cahn-Hilliard equation as

$$\begin{aligned} \langle \varphi', \psi \rangle + \left( m_{\varepsilon}(\varphi) \nabla (F_{1\varepsilon}'(\varphi)), \nabla \psi \right) - \left( m_{\varepsilon}(\varphi) \nabla (J * \varphi), \nabla \psi \right) & (\text{w-CH}) \\ + \left( m_{\varepsilon}(\varphi) \nabla (a\varphi + F_{2\varepsilon}'(\varphi)), \nabla \psi \right) = (\mathbf{u}\varphi, \nabla \psi), \quad \forall \psi \in H^{1}(\Omega) \end{aligned}$$

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$$\int_{\Omega} (\mathbf{u} \cdot \nabla \varphi) F_{1\varepsilon}'(\varphi) F_{1\varepsilon}''(\varphi) = \int_{\Omega} \mathbf{u} \cdot \nabla \Big( \frac{F_{1\varepsilon}'^2(\varphi)}{2} \Big) = 0$$

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By means of *some technical arguments* and using the assumptions on F and, in particular, the condition  $F'(\varphi_0) \in L^2(\Omega)$ , we get

 $F'(\varphi) \in L^{\infty}(0, T; L^{2}(\Omega)) \cap L^{2}(0, T; H^{1}(\Omega)) \Longrightarrow \mu \in L^{\infty}(0, T; L^{2}(\Omega)) \cap L^{2}(0, T; H^{1}(\Omega))$ 

# Second part: The global attractor in 2D for the degenerate case

Let  $\mathbf{d} = \mathbf{2}$  and suppose that the external force is time-independent, i.e.  $\mathbf{h} \in H^1(\Omega)^*_{div}$ 

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#### Second part: The global attractor in 2D for the degenerate case

Let d = 2 and suppose that the external force is time-independent, i.e.  $\mathbf{h} \in H^1(\Omega)^*_{div}$ 

Introduce the set  $\mathcal{G}_{m_0}$  of all *weak solutions* (in the sense of **Definition 2**) corresponding to all initial data  $z_0 = [\mathbf{u}_0, \varphi_0] \in \mathcal{X}_{m_0}$ , where the phase space  $\mathcal{X}_{m_0}$  is the metric space defined by

$$\mathcal{X}_{m_0}:=L^2(\Omega)_{\mathit{div}} imes\mathcal{Y}_{m_0}$$

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$$d(z_2, z_1) := \|\mathbf{u}_2 - \mathbf{u}_1\| + \|\varphi_2 - \varphi_1\|,$$

for every  $z_1 := [\mathbf{u}_1, \varphi_1]$  and  $z_2 := [\mathbf{u}_2, \varphi_2]$  in  $\mathcal{X}_{m_0}$ .

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for every  $z_1 := [\mathbf{u}_1, \varphi_1]$  and  $z_2 := [\mathbf{u}_2, \varphi_2]$  in  $\mathcal{X}_{m_0}$ . Assume moreover that (D5) m, F satisfy (A1) and there exists  $\alpha_0 > 0$  and  $\rho \in [0, 1)$  such that

$$\begin{split} m(s)F_1''(s) &\geq \alpha_0, \qquad \forall s \in [-1,1] \\ \rho F_1''(s) + F_2''(s) + a(x) &\geq 0, \qquad \forall s \in (-1,1) \quad \text{a.e. in } \Omega \end{split}$$

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- $\mathcal{G}_{m_0} = \{g : [0, \infty) \to \mathcal{X}_{m_0}\}$  is a generalized semiflow on  $\mathcal{X}_{m_0}$ , i.e. a "solution in the sense of Ball" satisfying:
  - existence ( $\forall z \in \mathcal{X}_{m_0}$  there exists at least one  $g \in \mathcal{G}_{m_0}$ : g(0) = z)
  - translated of solutions are solutions
  - ▶ concatenation: if  $\phi$ ,  $\psi \in \mathcal{G}_{m_0}$ ,  $t \ge 0$ , with  $\psi(0) = \phi(t)$  then  $\theta \in \mathcal{G}_{m_0}$  where

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- G<sub>m0</sub> is point dissipative (there is a bdd set B<sub>0</sub> such that for any g ∈ G<sub>m0</sub> g(t) ∈ B<sub>0</sub> for t sufficiently large),

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- $\mathcal{G}_{m_0}$  is point dissipative (there is a bdd set  $B_0$  such that for any  $g \in \mathcal{G}_{m_0}$   $g(t) \in B_0$ for t sufficiently large), eventually bounded (given any bdd  $B \subset \mathcal{X}_{m_0}$  there exists  $\tau \ge 0$  with  $g^{\tau}(B)$  bdd, with  $g^{\tau}(t) := g(t + \tau)$ ),

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- $\mathcal{G}_{m_0}$  is point dissipative (there is a bdd set  $B_0$  such that for any  $g \in \mathcal{G}_{m_0}$   $g(t) \in B_0$ for t sufficiently large), eventually bounded (given any bdd  $B \subset \mathcal{X}_{m_0}$  there exists  $\tau \ge 0$  with  $g^{\tau}(B)$  bdd, with  $g^{\tau}(t) := g(t + \tau)$ ), and compact

Let d = 2,  $\mathbf{h} \in H^1(\Omega)^*_{div}$ , and suppose that (D2)–(D5) hold. Then

- $\mathcal{G}_{m_0} = \{g : [0, \infty) \to \mathcal{X}_{m_0}\}$  is a generalized semiflow on  $\mathcal{X}_{m_0}$ , i.e. a "solution in the sense of Ball" satisfying:
  - existence ( $\forall z \in \mathcal{X}_{m_0}$  there exists at least one  $g \in \mathcal{G}_{m_0}$ : g(0) = z)
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We point out that the existence of the global attractor is established without the restriction  $|\overline{\varphi}| < 1$  on the generalized semiflow. In particular, this result does not require the separation property

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# An idea of the proof 1) Upper semicontinuity with respect to initial data:

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2) Dissipativity and eventual boundedness: From the energy identity and by means of Poincaré inequality we get

$$\frac{d}{dt} \left( \|\mathbf{u}\|^2 + \|\varphi - \overline{\varphi}_0\|^2 \right) + (1 - \rho) \alpha_0 C_{\mathcal{P}} \|\varphi - \overline{\varphi}_0\|^2 + \nu \lambda_1 \|\mathbf{u}\|^2 \leq C_2 + \frac{1}{\nu} \|\mathbf{h}\|_{\mathcal{H}^1(\Omega)'_{div}}^2$$

## An idea of the proof

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This estimate easily yields

$$d^2(z(t),0)\leq d^2(z_0,0)e^{-\eta t}+rac{2\mathcal{C}_3}{\eta}+|\overline{arphi}_0|^2|\Omega|,\qquad orall t\geq 0$$

where  $d(z_2, z_1) := \|\mathbf{u}_2 - \mathbf{u}_1\| + \|arphi_2 - arphi_1\|$ 

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# Third part: The convective nonlocal Cahn-Hilliard equation with degenerate mobility

Assume that (D1)–(D4) are satisfied. Let  $\mathbf{u} \in L^2_{loc}([0,\infty); H^1(\Omega)_{div} \cap L^{\infty}(\Omega)^d)$  be given and let  $\mathbf{h} \in H^1(\Omega)^*_{div}$ ,  $\varphi_0 \in L^{\infty}(\Omega)$  such that  $F(\varphi_0) \in L^1(\Omega)$  and  $M(\varphi_0) \in L^1(\Omega)$ 

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Then, for every T > 0 there exists a weak solution  $\varphi$  to

$$\begin{split} \langle \varphi_t, \psi \rangle + \int_{\Omega} m(\varphi) F''(\varphi) \nabla \varphi \cdot \nabla \psi + \int_{\Omega} m(\varphi) a \nabla \varphi \cdot \nabla \psi \\ + \int_{\Omega} m(\varphi) (\varphi \nabla a - \nabla J * \varphi) \cdot \nabla \psi = (\mathbf{u}\varphi, \nabla \psi) \end{split}$$

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and such that  $\overline{arphi}(t)=\overline{arphi_0}$  for all  $t\in [0,T]$ 

Furthermore,  $\varphi \in L^{\infty}(0, T; L^{p}(\Omega))$ , where  $p \leq 6$  for d = 3 and  $2 \leq p < \infty$  for d = 2. In addition, the following energy identity holds

$$\frac{1}{2}\frac{d}{dt}\|\varphi\|^2 + \int_{\Omega} m(\varphi)F''(\varphi)|\nabla\varphi|^2 + \int_{\Omega} am(\varphi)|\nabla\varphi|^2 + \int_{\Omega} m(\varphi)(\varphi\nabla a - \nabla J * \varphi) \cdot \nabla\varphi = 0$$
for a set  $t > 0$  and in  $\mathcal{D}'(0, \infty)$ 

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Let the hypotheses of the previous Theorem be satisfied and the following conditions (cf. (D5)) are fulfilled for some  $\alpha_0 > 0$  and  $\rho \in [0, 1)$ 

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Hence, we can define a semiflow S(t) on  $\mathcal{Y}_{m_0}$ ,  $m_0 \in [0, 1]$ , endowed with the metric induced by the  $L^2$ -norm.

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Hence, we can define a semiflow S(t) on  $\mathcal{Y}_{m_0}$ ,  $m_0 \in [0, 1]$ , endowed with the metric induced by the  $L^2$ -norm.

It is then immediate to check that the arguments used in the proofs of the previous results can be adapted to the present situation. Hence we have that: given  $\mathbf{u} \in L^{\infty}(\Omega)^d$  independent of time, then, the dynamical system  $(\mathcal{Y}_{m_0}, S(t))$  possesses a connected global attractor

Note that: up to our knowledge uniqueness of solutions is an open issue for the local case as well as for the complete nonlocal system including Navier-Stokes even in dimension two.

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Rewrite the Cahn-Hilliard equation as

 $\langle \varphi_t, \psi \rangle + (\nabla \Lambda(\cdot, \varphi), \nabla \psi) - (\Gamma(\varphi) \nabla a, \nabla \psi) + (m(\varphi)(\varphi \nabla a - \nabla J * \varphi), \nabla \psi) = (\mathbf{u}\varphi, \nabla \psi),$ for all  $\psi \in H^1(\Omega)$ , where  $\Lambda(x, s) := \Lambda_1(s) + \Lambda_2(s) + a(x)\Gamma(s)$  and  $\Lambda_1(s) := \int_0^s m(\sigma) F_1''(\sigma) d\sigma, \qquad \Lambda_2(s) := \int_0^s m(\sigma) F_2''(\sigma) d\sigma, \qquad \Gamma(s) := \int_0^s m(\sigma) d\sigma,$ 

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for all  $s \in [-1, 1]$ . Take the difference between the two identities, set  $\varphi := \varphi_1 - \varphi_2$  and  $\psi = \mathcal{N}\varphi$  (notice that  $\overline{\varphi} = 0$ ):

$$\frac{1}{2}\frac{d}{dt}\|\mathcal{N}^{1/2}\varphi\|^{2} + (\Lambda(\varphi_{2}) - \Lambda(\varphi_{1}),\varphi) - ((\Gamma(\varphi_{2}) - \Gamma(\varphi_{1}))\nabla a, \nabla\mathcal{N}\varphi) + ((m(\varphi_{2}) - m(\varphi_{1}))(\varphi_{2}\nabla a - \nabla J * \varphi_{2}) + m(\varphi_{1})(\varphi\nabla a - \nabla J * \varphi), \nabla\mathcal{N}\varphi) = (\mathbf{u}\varphi, \nabla\mathcal{N}\varphi)$$

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+ ((m(\varphi_{2}) - m(\varphi_{1}))(\varphi_{2}\nabla a - \nabla J * \varphi_{2}) + m(\varphi_{1})(\varphi\nabla a - \nabla J * \varphi), \nabla\mathcal{N}\varphi) \\
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On account of  $m(s)F_1''(s) \ge \alpha_0 > 0$ ,  $\rho F_1''(s) + F_2''(s) + a(x) \ge 0$ , we find

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ho) lpha_0 \|arphi\|^2 \end{aligned}$$

## Conclusions

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## Conclusions

## We have proved in [Frigeri, Grasselli, E.R., preprint arXiv:1303.6446, 2013]

- Existence of solutions for the nonlocal 3D Navier-Stokes Cahn-Hilliard model with nondegenerate and with degenerate mobility
- Existence of the attractor in the 2D case
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#### There are still a lot of open problems like

- The case of non-smooth potentials like  $F(\varphi) = I_{[-1,1]}(\varphi)$
- The case of unmatched densities (cf. [Abels, Depner, Garcke, 2013] for the local case) or of compressible fluids (cf. [Abels, Feireisl, 2008] for the local case)
- The non isothermal case (cf. [Eleuteri, E.R., Schimperna, work in progress] for the local case)

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## Thanks for your attention!

cf. http://www.mat.unimi.it/users/rocca/

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## Some comparisons with other results: local vs nonlocal

Results	Local CH	Nonlocal CH	Local CHNS	Nonlocal CHNS
Uniqueness	3D: True for non- degenerate mobility (e.g. [Elliott, '89, Novick Cohen, '9, [Elliott, Luckhaus, '91])	3D: True for constant mobility (e.g. [Colli, Krejčí, E.R., Sprekels, '04])	2D: True for nondegenerate mobility [Abels, '09, Boyer, '99]	Open even in 2D
	Open for degener- ate mobility and singular potential	3D: True for de- generate mobility and singular po- tential [Gajewski, Zacharias, '03, [Grasselli, Frigeri, E.R., '13]	Open for degen- erate mobility and singular potential	Open even in 2D
Separation	2D: True with log- aritmich potential and constant mo- bility [Miranville, Zelik, '04] , 3D: Open for the loga- rithmic potential	3D: true for de- generate mobility and singular po- tential [Londen, Petzeltovà, '11]	Open	3D: true for de- generate mobility and singular po- tential

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