Formulazione duale per un modello di transizione di fase: buona positura e comportamento per tempi lunghi

E. Rocca

Università degli Studi di Milano

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joint work with E. Bonetti (Pavia) and M. Frémond (Paris)

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Main Hypothesis The case of a general α :

Meaningful lpha 's

The case α Lipschitz continuous

The case $\alpha = \exp \left[- \frac{1}{2} + \frac{1}$

We discuss here a new approach to phase transitions with thermal memory based on a new formulation of the internal energy balance by means of the entropy leading to a nonlinear and possibly singular PDE system. We proceed as follows:

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 we explain how this formulation turns out to be convenient in order to prove thermodynamical consistency of the model

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- we explain how this formulation turns out to be convenient in order to prove thermodynamical consistency of the model
- we point out the existence (of solutions) result for the general PDE system

E. Bonetti, M. Frémond, E.R., work in progress

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we state the long-time behaviour results holding true for particular choices of the nonlinearities involved

E. Bonetti, E.R., Commun. Pure Appl. Anal., to appear

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The internal energy: a dual formulation The state variables: $(\vartheta, \chi, \nabla \chi) \Longrightarrow (s, \chi, \nabla \chi)$

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where

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where

- σ and λ are smooth functions accounting for the non-convex part of the internal energy and the latent heat, respectively
- ► $\widehat{\beta} : \mathbb{R} \to [0, \infty]$ is a general proper, convex, and lower-semicontinuous function
- $\widehat{\alpha} : \mathbb{R} \to \mathbb{R}$ is a convex, increasing, l.s.c. function

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 $\Psi(\vartheta,\chi,\nabla\chi) = -(\boldsymbol{E}^*(\vartheta,\chi,\nabla\chi))$

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 $\Psi(\vartheta, \chi, \nabla \chi) = -\sup_{s} \{ \langle \vartheta, s \rangle - E(s, \chi, \nabla \chi) \}, \ \vartheta = \frac{\partial E}{\partial s} = \widehat{\alpha}'(s - \lambda(\chi))$

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It corresponds

to the following general free energy functional:

$$\Psi(\vartheta, \chi, \nabla \chi) = -\widehat{\alpha}^*(\vartheta) - \lambda(\chi)\vartheta + \sigma(\chi) + \widehat{\beta}(\chi) + \frac{\nu}{2}|\nabla \chi|^2$$

• $\widehat{\alpha}^* : \mathbb{R} \to \mathbb{R}$ is the convex conjugate of $\widehat{\alpha}$

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to the standard one in case $\widehat{\alpha}^*(\vartheta) = c_v \vartheta(\log \vartheta - 1)$:

$$\Psi(\vartheta, \chi, \nabla \chi) = c_{\nu} \vartheta(1 - \log \vartheta) - \lambda(\chi) \vartheta + \sigma(\chi) + \widehat{\beta}(\chi) + \frac{\nu}{2} |\nabla \chi|^2$$

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Take the caloric part of the entropy
$$u = s - \lambda(\chi)$$
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Take the caloric part of the entropy $u = s - \lambda(\chi)$.

• If we consider the standard caloric part of the Free Energy

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 $\Longrightarrow \widehat{\alpha}(u) = c \exp(u)$

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Since, c_ν in the applications may also not be constant, we can allow every form for c_ν = c_ν(ϑ) such that â(ϑ) is convex - e.g., if c_ν(ϑ) = ϑ^γ, for ϑ ∈ (0, ϑ) with γ ≥ 0 - since c_ν(ϑ) = -ϑ (∂²Ψ/∂ϑ²), then we have â^{*}(ϑ) = ϑ^{γ+1}/[γ(γ + 1)]

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Open problems

 $\widehat{\alpha}(u) = u^{\frac{\gamma+1}{\gamma}}/(\gamma+1)$

We follow the approach of [Moreau, 1971].

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 $\label{eq:main-Hypothesis} \begin{array}{l} \mbox{Main-Hypothesis} \\ \mbox{The case of a general } \alpha \end{tabular} \end{array}$

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$$\boldsymbol{p}(\boldsymbol{\chi}_t, -\mathbf{Q}) = \frac{1}{2}|\boldsymbol{\chi}_t|^2 + \frac{1}{2}\alpha'(\boldsymbol{u})| - \mathbf{Q}|^2.$$

where $u = s - \lambda(\chi)$, $\alpha = \hat{\alpha}'$, $\Phi = p^*$, and

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$$\boldsymbol{\rho}(\boldsymbol{\chi}_t, -\mathbf{Q}) = \frac{1}{2} |\boldsymbol{\chi}_t|^2 + \frac{1}{2} \alpha'(\boldsymbol{u})| - \mathbf{Q}|^2.$$

where
$$u = s - \lambda(\chi)$$
, $\alpha = \hat{\alpha}'$, $\Phi = p^*$, and
• $-\mathbf{Q} = \frac{\partial \Phi}{\partial(\nabla \vartheta)}$ the dual conjugate variable of $\nabla \vartheta$,
i.e. the entropy flux and

▶ since $\hat{\alpha}$ is convex, *p* is convex with respect to $-\mathbf{Q}$.

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• $-\mathbf{Q} = \frac{\partial \Psi}{\partial (\nabla \vartheta)}$ the dual conjugate variable of $\nabla \vartheta$, i.e. the entropy flux and

► since $\widehat{\alpha}$ is convex, *p* is convex with respect to $-\mathbf{Q}$.

Indeed, we can compute the conjugate function

 $| \boldsymbol{\rho}^*(\boldsymbol{\chi}_t, \nabla \vartheta) = \sup_{-\mathbf{Q}} \{ -\nabla \vartheta \cdot \mathbf{Q} - \boldsymbol{\rho}(\boldsymbol{\chi}_t, -\mathbf{Q}) \}, | \text{from which} |$

it follows $\nabla \vartheta = -\alpha'(u)\mathbf{Q}$ and $-\mathbf{Q} = \nabla u$ because $\vartheta = \alpha(u)$.

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▶ since $\hat{\alpha}$ is convex, *p* is convex with respect to $-\mathbf{Q}$.

Hence, we recover the following form for the pseudopotential of dissipation

$$\Phi(\chi_t, \nabla \vartheta) = \boldsymbol{\rho}^*(\chi_t, \nabla \vartheta) = \frac{1}{2} |\chi_t|^2 + \frac{1}{2\alpha'(\alpha^{-1}(\vartheta))} |\nabla \vartheta|^2$$

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From which, if $\alpha'(\alpha^{-1}(\vartheta)) = \vartheta$, like, e.g., in case $\alpha(u) = \exp(u)$, we recover the standard form of Φ : $\Phi(\chi_t, \nabla \vartheta) = \frac{1}{2} |\chi_t|^2 + \frac{|\nabla \vartheta|^2}{2\vartheta}$. Formulazione duale di modelli di phase-field

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We deduce the equation of microscopic motion for χ from the generalized principle of virtual power (cf. [M. Frémond, 2002])

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THE PRINCIPLE OF VIRTUAL POWER for microscopic motion - for any subdomain $D \subset \Omega$ and any virtual microscopic velocity v - reads

 $P_{\rm int}(D, v) + P_{\rm ext}(D, v) = \mathbf{0},$

where (B and H are new interior forces)

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$$egin{aligned} & \mathcal{P}_{ ext{int}}(D, v) := -\int_D (B\,v + \mathbf{H} \cdot
abla v), \ & \mathcal{P}_{ ext{ext}}(D, v) := \int_D A\,v + \int_{\partial D} a\,v = 0. \end{aligned}$$

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We deduce the equation of microscopic motion for χ from the generalized principle of virtual power (cf. [M. Frémond, 2002])

THE PRINCIPLE OF VIRTUAL POWER for microscopic motion - for any subdomain $D \subset \Omega$ and any virtual microscopic velocity v - reads

 $P_{\rm int}(D, v) + P_{\rm ext}(D, v) = \mathbf{0},$

where (B and H are new interior forces)

$$egin{aligned} & P_{ ext{int}}(D,v) := -\int_D (B\,v+\mathbf{H}\cdot
abla v), \ & P_{ ext{ext}}(D,v) := \int_D A\,v + \int_{\partial D} a\,v = 0. \end{aligned}$$

In absence of external actions we get

 $|B - \operatorname{div} \mathbf{H} = \mathbf{0}$ in Ω with $\mathbf{H} \cdot \mathbf{n} = \mathbf{0}$ on $\partial \Omega$.

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The equilibrium equation

 $B - \operatorname{div} \mathbf{H} = 0 \text{ in } \Omega + \mathbf{H} \cdot \mathbf{n} = 0 \text{ on } \partial \Omega,$

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The phase inclusion

The equilibrium equation

$$B - \operatorname{div} \mathbf{H} = \mathbf{0} \text{ in } \Omega + \mathbf{H} \cdot \mathbf{n} = \mathbf{0} \text{ on } \partial\Omega,$$

where
$$B = \frac{\partial E}{\partial \chi} + \frac{\partial p}{\partial \chi_t}, \quad \mathbf{H} = \frac{\partial E}{\partial (\nabla \chi)},$$

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and
$$E(s, \chi, \nabla \chi) = \widehat{\alpha}(s - \lambda(\chi)) + \sigma(\chi) + \widehat{\beta}(\chi) + \frac{\nu}{2} |\nabla \chi|^2$$
$$\rho = (\chi_t, -\mathbf{Q}) = \frac{1}{2} |\chi_t|^2 + \frac{1}{2} \alpha'(s - \lambda(\chi))| - \mathbf{Q}|^2$$
$$\downarrow$$

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and
$$E(s, \chi, \nabla \chi) = \widehat{\alpha}(s - \lambda(\chi)) + \sigma(\chi) + \widehat{\beta}(\chi) + \frac{\nu}{2} |\nabla \chi|^2,$$

$$p = (\chi_t, -\mathbf{Q}) = \frac{1}{2} |\chi_t|^2 + \frac{1}{2} \alpha'(s - \lambda(\chi))| - \mathbf{Q}|^2$$
$$\downarrow$$

$$\chi_t - \nu \Delta \chi + \beta(\chi) + \sigma'(\chi) - \alpha(s - \lambda(\chi))\lambda'(\chi) \ge 0 \quad \text{in } \Omega$$

and
$$\partial_{\mathbf{n}} \chi = \mathbf{0}$$
 on $\partial \Omega$

where $\alpha = \widehat{\alpha}'$ and $\beta = \partial \widehat{\beta}$.

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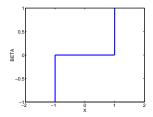
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The case $\alpha = \exp \alpha$

Possible choices of the potentials $\widehat{\beta}$

Subdifferential case: $\beta := \partial \widehat{\beta} = \partial I_{[-1,1]}$:



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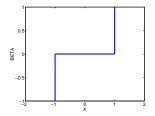
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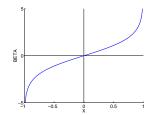
The case $\alpha = \exp \alpha$

Possible choices of the potentials $\widehat{\beta}$

Subdifferential case: $\beta := \partial \widehat{\beta} = \partial I_{[-1,1]}$:



Logarithmic case: $\beta := \partial \hat{\beta} = \log(1 + \chi) - \log(1 - \chi)$:



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The first Principle

For any subdomain $D \subset \Omega$ and in absence of external actions, it reads

$$\frac{d}{dt}\int_{D} E \, d\Omega = -P_{\rm int}(D, \chi_t).$$

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The first Principle

For any subdomain $D \subset \Omega$ and in absence of external actions, it reads

$$\frac{d}{dt}\int_{D} \boldsymbol{E} \, \boldsymbol{d}\Omega = -\boldsymbol{P}_{\rm int}(\boldsymbol{D},\boldsymbol{\chi}_t).$$

Then, if we take - as before - the following form for the power of internal actions:

$$\boldsymbol{P}_{\text{int}}(\boldsymbol{D},\boldsymbol{\chi}_t) = -\int_{\boldsymbol{D}} \left(\boldsymbol{B}\boldsymbol{\chi}_t + \boldsymbol{\mathsf{H}}\cdot\nabla\boldsymbol{\chi}_t\right)\,\boldsymbol{d}\boldsymbol{\Omega},$$

with

$$\boldsymbol{B} = \frac{\partial \boldsymbol{E}}{\partial \boldsymbol{\chi}} + \frac{\partial \boldsymbol{p}}{\partial \boldsymbol{\chi}_t}, \quad \boldsymbol{\mathsf{H}} = \frac{\partial \boldsymbol{E}}{\partial (\nabla \boldsymbol{\chi})},$$

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The first Principle

For any subdomain $D \subset \Omega$ and in absence of external actions, it reads

$$\frac{d}{dt}\int_{D} E \, d\Omega = - P_{\rm int}(D, \chi_t).$$

Then, if we take - as before - the following form for the power of internal actions:

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$$\boldsymbol{B} = \frac{\partial \boldsymbol{E}}{\partial \boldsymbol{\chi}} + \frac{\partial \boldsymbol{p}}{\partial \boldsymbol{\chi}_t}, \quad \boldsymbol{H} = \frac{\partial \boldsymbol{E}}{\partial (\nabla \boldsymbol{\chi})},$$

we get exactly that there exists q such that

$$\boldsymbol{E}_t + \operatorname{div} \mathbf{q} = \frac{\partial \boldsymbol{E}}{\partial \boldsymbol{\chi}} \boldsymbol{\chi}_t + \frac{\partial \boldsymbol{p}}{\partial \boldsymbol{\chi}_t} \boldsymbol{\chi}_t + \frac{\partial \boldsymbol{E}}{\partial (\nabla \boldsymbol{\chi})} \nabla \boldsymbol{\chi}_t \quad \text{in } \boldsymbol{\Omega}.$$

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The energy balance

Hence, the first principle of thermodynamics reads

$$\boldsymbol{E}_t + \operatorname{div} \mathbf{q} = \frac{\partial \boldsymbol{E}}{\partial \boldsymbol{\chi}} \boldsymbol{\chi}_t + \frac{\partial \boldsymbol{p}}{\partial \boldsymbol{\chi}_t} \boldsymbol{\chi}_t + \frac{\partial \boldsymbol{E}}{\partial (\nabla \boldsymbol{\chi})} \nabla \boldsymbol{\chi}_t \quad \text{in } \boldsymbol{\Omega}.$$

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The energy balance

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With

$$E(\boldsymbol{s},\boldsymbol{\chi},\nabla\boldsymbol{\chi}) = \widehat{\alpha}(\boldsymbol{s}-\boldsymbol{\lambda}(\boldsymbol{\chi})) + \sigma(\boldsymbol{\chi}) + \widehat{\beta}(\boldsymbol{\chi}) + \frac{\nu}{2}|\nabla\boldsymbol{\chi}|^{2},$$
$$\boldsymbol{\rho} = (\boldsymbol{\chi}_{t},-\mathbf{Q}) = \frac{1}{2}|\boldsymbol{\chi}_{t}|^{2} + \frac{1}{2}\alpha'(\boldsymbol{s}-\boldsymbol{\lambda}(\boldsymbol{\chi}))| - \mathbf{Q}|^{2},$$

and, denoting by $u = s - \lambda(\chi)$, it gives:

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The energy balance

Hence, the first principle of thermodynamics reads

$$\boldsymbol{E}_t + \operatorname{div} \mathbf{q} = \frac{\partial \boldsymbol{E}}{\partial \boldsymbol{\chi}} \boldsymbol{\chi}_t + \frac{\partial \boldsymbol{p}}{\partial \boldsymbol{\chi}_t} \boldsymbol{\chi}_t + \frac{\partial \boldsymbol{E}}{\partial (\nabla \boldsymbol{\chi})} \nabla \boldsymbol{\chi}_t \quad \text{in } \boldsymbol{\Omega}.$$

With

$$E(s, \chi, \nabla \chi) = \widehat{\alpha}(s - \lambda(\chi)) + \sigma(\chi) + \widehat{\beta}(\chi) + \frac{\nu}{2} |\nabla \chi|^2,$$

$$\rho = (\chi_t, -\mathbf{Q}) = \frac{1}{2} |\chi_t|^2 + \frac{1}{2} \alpha'(s - \lambda(\chi))| - \mathbf{Q}|^2,$$

and, denoting by $u = s - \lambda(\chi)$, it gives:

$$\alpha(u) (s_t + \operatorname{div} \mathbf{Q}) = \alpha'(u) |\nabla u|^2 + \chi_t^2 \text{ in } \Omega$$

where

- we recall that $\alpha(s \lambda(\chi)) = \widehat{\alpha}'(s \lambda(\chi)) = \frac{\partial E}{\partial s}$,
- and we have chosen **q** such that $\mathbf{q}/\alpha(u) = \mathbf{Q} = -\nabla u$.

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Moreover, in

$$\alpha(\boldsymbol{u}) \left(\boldsymbol{s}_t + \operatorname{div} \mathbf{Q}\right) = \alpha'(\boldsymbol{u}) |\nabla \boldsymbol{u}|^2 + \chi_t^2$$

we have

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Moreover, in

$$\alpha(\boldsymbol{u}) \left(\boldsymbol{s}_t + \operatorname{div} \mathbf{Q}\right) = \alpha'(\boldsymbol{u}) |\nabla \boldsymbol{u}|^2 + \chi_t^2$$

we have

•
$$\alpha(u) = \alpha(s - \lambda(\chi)) = \widehat{\alpha}'(s - \lambda(\chi)) = \frac{\partial E}{\partial s}(=\vartheta) > 0$$

(ϑ is the absolute temperature) – because we have

assumed $\hat{\alpha}$ to be increasing,

• $\alpha' > 0$ – because we have assumed $\widehat{\alpha}$ to be convex.

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Moreover, in

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(ϑ is the absolute temperature) – because we have

assumed $\hat{\alpha}$ to be increasing,

• $\alpha' > 0$ – because we have assumed $\hat{\alpha}$ to be convex.

Divide by $\alpha(u) > 0$ the internal energy balance, getting

 $s_t + \operatorname{div} \mathbf{Q} \ge 0$,

that is just the pointwise Clausius-Duhem inequality .

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The case $\alpha = \exp \left[- \frac{1}{2} \exp \left(-$

From the following energy conservation principle

$$\alpha(\boldsymbol{u}) \left(\boldsymbol{s}_t + \operatorname{div} \mathbf{Q}\right) = \alpha'(\boldsymbol{u}) |\nabla \boldsymbol{u}|^2 + \chi_t^2$$

where $u = s - \lambda(\chi)$,

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where $u = s - \lambda(\chi)$, dividing by $\alpha(u)$,

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From the following energy conservation principle

$$\alpha(\boldsymbol{u})(\boldsymbol{s}_t + \operatorname{div} \mathbf{Q}) = \alpha'(\boldsymbol{u})|\nabla \boldsymbol{u}|^2 + \chi_t^2$$

where $u = s - \lambda(\chi)$, dividing by $\alpha(u)$, and using the small perturbations assumption (cf. [Germain]) - which allow us to neglect the higher order dissipative contributions on the right hand side -

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The case $\alpha = \exp \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{$

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 $\alpha(\boldsymbol{u}) \left(\boldsymbol{s}_t + \operatorname{div} \mathbf{Q} \right) = \alpha'(\boldsymbol{u}) |\nabla \boldsymbol{u}|^2 + \chi_t^2$

where $u = s - \lambda(\chi)$, dividing by $\alpha(u)$, and using the small perturbations assumption (cf. [Germain]) - which allow us to neglect the higher order dissipative contributions on the right hand side - we obtain the following equation for u

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From the following energy conservation principle

$$\alpha(\boldsymbol{u}) \left(\boldsymbol{s}_t + \operatorname{div} \mathbf{Q} \right) = \alpha'(\boldsymbol{u}) |\nabla \boldsymbol{u}|^2 + \chi_t^2$$

where $u = s - \lambda(\chi)$, dividing by $\alpha(u)$, and using the small perturbations assumption (cf. [Germain]) - which allow us to neglect the higher order dissipative contributions on the right hand side - we obtain the following equation for u

$$(u+\lambda(\chi))_t-\Delta u=0,$$

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continuous

The case $\alpha = \exp \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{$

From the following energy conservation principle

 $\alpha(\boldsymbol{u}) \left(\boldsymbol{s}_t + \operatorname{div} \mathbf{Q}\right) = \alpha'(\boldsymbol{u}) |\nabla \boldsymbol{u}|^2 + \chi_t^2$

where $u = s - \lambda(\chi)$, dividing by $\alpha(u)$, and using the small perturbations assumption (cf. [Germain]) - which allow us to neglect the higher order dissipative contributions on the right hand side - we obtain the following equation for u

$$(u + \lambda(\chi))_t - \Delta u = 0, \tag{EB}$$

where we have taken - as before - $\mathbf{Q} = -\nabla u$.

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The case $\alpha = \exp \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{$

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$$(u + \lambda(\chi))_t - \Delta u = 0,$$
 (EB)

where we have taken - as before - $\mathbf{Q} = -\nabla u$. We generalize now the system in this direction:

► we let $\alpha = \partial \widehat{\alpha}$ be a general MAXIMAL MONOTONE GRAPH (maybe also multivalued),

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$$(u+\lambda(\chi))_t-\Delta u=0,$$

where we have taken - as before - $\mathbf{Q} = -\nabla u$. We generalize now the system in this direction:

- ► we let $\alpha = \partial \widehat{\alpha}$ be a general MAXIMAL MONOTONE GRAPH (maybe also multivalued),
- ▶ we include in the internal energy balance memory effects, i.e. the term $-\operatorname{div} \int_{-\infty}^{t} k(t-\tau) \nabla \alpha(u(\tau)) d\tau$ on the left hand side of (EB).

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The case $\alpha = \exp \left(-\frac{1}{2} - \exp \left(-\frac{1}{2} + \exp \left(-\frac{1}{2} +$

Take the auxiliary variable $u = s - \lambda(\chi)$

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Take the auxiliary variable $u = s - \lambda(\chi)$ and suppose to know the past history of $\alpha(u) = \vartheta$ up to time t = 0,

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Take the auxiliary variable $u = s - \lambda(\chi)$ and suppose to know the past history of $\alpha(u) = \vartheta$ up to time t = 0, i.e. suppose the history term:

 $\operatorname{div} \int_{-\infty}^{0} k(t-\tau) \nabla \alpha(u(\tau)) \, d\tau$ to be known.

Put it on the right hand side.

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Take the auxiliary variable $u = s - \lambda(\chi)$ and suppose to know the past history of $\alpha(u) = \vartheta$ up to time t = 0, i.e. suppose the history term:

div $\int_{-\infty}^{0} k(t-\tau) \nabla \alpha(u(\tau)) d\tau$ to be known.

Put it on the right hand side. Then, we aim to find suitably regular (u, χ) solving in a proper sense:

$$(u + \lambda(\chi))_t - \Delta(u + k * \alpha(u)) \ni r \text{ in } \Omega$$

$$\partial_{\mathbf{n}}(u + k * \alpha(u)) \ni h \text{ on } \partial \Omega$$

$$\chi_t - \nu \Delta \chi + \beta(\chi) + \sigma'(\chi) - \lambda'(\chi)\alpha(u) \ni 0 \text{ in } \Omega$$

$$\partial_{\mathbf{n}}\chi = 0 \text{ on } \partial \Omega$$

$$u(0) = u_0, \quad \chi(0) = \chi_0 \text{ in } \Omega.$$

We must suppose from now on λ' constant (= 1 for simplicity).

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The case $\alpha = \exp \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{$

Our main results

An existence (of weak solutions) result under general assumptions on the nonlinearity α for a graph β with domain the whole R and with at most a polynomial growth at ∞

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The case $\alpha = \exp i \phi$

Our main results

- An existence (of weak solutions) result under general assumptions on the nonlinearity α for a graph β with domain the whole R and with at most a polynomial growth at ∞
- An existence-uniqueness-long-time behaviour (of solutions) result in case α is Lipschitz-continuous and for a general β

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The case $\alpha = \exp \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{$

Our main results

- An existence (of weak solutions) result under general assumptions on the nonlinearity *α* for a graph *β* with domain the whole ℝ and with at most a polynomial growth at ∞
- An existence-uniqueness-long-time behaviour (of solutions) result in case α is Lipschitz-continuous and for a general β
- An existence-long-time behaviour (of solutions) result in case α = exp and for a general β

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Hypotheses 1

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Hypotheses 1

- $\Omega \subset \mathbb{R}^3$ bdd connected domain with sufficiently smooth boundary $\Gamma := \partial \Omega$
- ► $t \in [0,\infty], Q_t := \Omega \times (0,t), \Sigma_t := \Gamma \times (0,t),$
- $V := H^1(\Omega) \hookrightarrow H := L^2(\Omega) \equiv H' \hookrightarrow V'$ the Hilbert triplet.

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continuous

The case $\alpha = \exp \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{$

Hypotheses 1

- Ω ⊂ ℝ³ bdd connected domain with sufficiently smooth boundary Γ := ∂Ω
- ► $t \in [0,\infty], Q_t := \Omega \times (0,t), \Sigma_t := \Gamma \times (0,t),$
- $V := H^1(\Omega) \hookrightarrow H := L^2(\Omega) \equiv H' \hookrightarrow V'$ the Hilbert triplet.

Suppose moreover that

 $\beta = \partial \widehat{\beta}, \ \alpha = \partial \widehat{\alpha}, \quad \text{with } \widehat{\beta}, \ \widehat{\alpha} : \mathbb{R} \to (-\infty, +\infty] \text{ are proper},$ convex, and lower semicontinuous $\sigma \in C^2(D(\beta)), \quad \sigma'' \in L^\infty(D(\beta)), \quad \nu > 0$ $k \in W^{2,1}(0,t), \quad k(0) \ge 0, \quad k \equiv 0 \text{ if } k(0) = 0,$ $r \in L^2(Q_t) \cap L^1(0, T; L^{\infty}(\Omega)), \quad h \in L^{\infty}(\Sigma_t),$ $\langle \boldsymbol{R}(t), \boldsymbol{v} \rangle = \int_{\Omega} \boldsymbol{r}(\cdot, t) \boldsymbol{v} + \int_{\Gamma} \boldsymbol{h}(\cdot, \boldsymbol{v}) \boldsymbol{v}_{|_{\Gamma}} \quad \forall \boldsymbol{v} \in \boldsymbol{V}$ $u_0 \in H, \ \widehat{\alpha}(u_0) \in L^1(\Omega), \ \chi_0 \in H, \ \nu \chi_0 \in V, \ \widehat{\beta}(\chi_0) \in L^1(\Omega).$

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Existence result for a general α

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The case α Lipschitz continuous

The case $\alpha = \exp \left[- \frac{1}{2} \exp \left(-$

Existence result for a general α

Thm 1.Let *T* be a positive final time, HYPOTHESIS 1 be satisfied with t = T, and suppose moreover that $\nu > 0$, k(0) > 0, and there exists p < 5 such that

 $|eta(s)| \leq c_eta + c_eta' \min\{|s|^p, |\widehateta(s)|\} \quad orall s \in \mathbb{R},$ (beta)

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The case $\alpha = \exp \alpha$

Existence result for a general α

Thm 1.Let *T* be a positive final time, HYPOTHESIS 1 be satisfied with t = T, and suppose moreover that $\nu > 0$, k(0) > 0, and there exists p < 5 such that

 $|eta(s)| \leq c_eta + c_eta' \min\{|s|^p, |\widehateta(s)|\} \quad orall s \in \mathbb{R},$ (beta)

then there exists at least a couple (u, χ) with the regularity properties

 $u \in H^{1}(0, T; V') \cap L^{2}(0, T; V), \ \chi \in H^{1}(0, T; H) \cap L^{\infty}(0, T; V),$ $\alpha_{V', V}(u) \in L^{2}(0, T; V'),$ $1 * \alpha_{V', V}(u) \in L^{2}(0, T; V) \cap C^{0}(0, T; H)$

solving, a.e. in (0, T), the PDE system:

$$\partial_t(u+\chi) + Au + A(k * \alpha_{V',V}(u)) \ni R, \quad \text{in } V', \tag{1}$$

$$\partial_t \chi + \nu A \chi + \beta(\chi) + \sigma'(\chi) - \alpha_{V',V}(U) \ni 0 \quad \text{in } V', \quad (2 u(0) = u_0, \quad \chi(0) = \chi_0 \quad \text{a.e. in } \Omega.$$

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The case α Lipschitz continuous

The case $\, \alpha \, = \, \exp \,$

α(u) = exp(u)(= ϑ)
 we recover the model proposed by [Bonetti, Colli, Frémond, '03]

$$(u + \chi)_t - \Delta(u + k * \exp(u)) = r$$

$$\chi_t - \nu \Delta \chi + \beta(\chi) + \sigma'(\chi) - \exp(u) \ni 0.$$

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The case α Lipschitz continuous

The case $\alpha = \exp \left(-\frac{1}{2} - \exp \left(-\frac{1}{2} + \exp \left(-\frac{1}{2} +$

α(u) = exp(u)(= ϑ)
 we recover the model proposed by [Bonetti, Colli, Frémond, '03]

$$(u + \chi)_t - \Delta(u + k * \exp(u)) = r$$

$$\chi_t - \nu \Delta \chi + \beta(\chi) + \sigma'(\chi) - \exp(u) \ni 0.$$

Choosing a different heat flux law $\mathbf{q} = -\nabla(\alpha^2(u))$ we recover the model proposed by [Bonetti, Colli, Fabrizio, Gilardi, '06]

$$(u + \chi)_t - \Delta(\exp(u) + k * \exp(u)) = r$$

$$\chi_t - \nu \Delta \chi + \beta(\chi) + \sigma'(\chi) - \exp(u) \ni 0$$

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Meaningful α 's

The case α Lipschitz continuous The case $\alpha = \exp (-1)^{1/2}$

α(u) = exp(u)(= ϑ): we recover the model proposed by [Bonetti, Colli, Frémond, '03]

$$(u + \chi)_t - \Delta(u + k * \exp(u)) = r$$

$$\chi_t - \nu \Delta \chi + \beta(\chi) + \sigma'(\chi) - \exp(u) \ni 0.$$

Choosing a different heat flux law $\mathbf{q} = -\nabla(\alpha^2(u))$ we recover the model proposed by [Bonetti, Colli, Fabrizio, Gilardi, '06]

$$(u + \chi)_t - \Delta(\exp(u) + k * \exp(u)) = r$$

$$\chi_t - \nu \Delta \chi + \beta(\chi) + \sigma'(\chi) - \exp(u) \ni 0$$

• α(u) = −1/u: we recover, e.g., the Penrose-Fife system

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The case α Lipschitz continuous

The case $\alpha = \exp \left[- \frac{1}{2} \exp \left(-$

Open problems

The case α Lipschitz continuous

Thm 2. Let *T* be a positive final time and HYPOTHESIS 1, with t = T, hold and assume that α is a Lipschitz continuous function.

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Meaningful α 's

The case α Lipschitz continuous

The case $\alpha = \exp \left(-\frac{1}{2} \right)$

Thm 2. Let *T* be a positive final time and HYPOTHESIS 1, with t = T, hold and assume that α is a Lipschitz continuous function. Then, there exists (u, χ, ξ) (with $\xi \in \beta(\chi)$ a.e.) solving (1–2) (a.e. in Q_T) + initial conditions and satisfying

$$\begin{split} & u \in C^0([0,T];H) \cap L^2(0,T;V), \quad \xi \in L^2(Q_T), \\ & \chi \in H^1(0,T;H), \quad \nu \chi \in L^\infty(0,T;V) \cap L^2(0,T;H^2(\Omega)). \end{split}$$

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Meaningful α 's

The case α Lipschitz continuous

The case $\alpha = \exp \alpha$

Thm 2. Let *T* be a positive final time and HYPOTHESIS 1, with t = T, hold and assume that α is a Lipschitz continuous function. Then, there exists (u, χ, ξ) (with $\xi \in \beta(\chi)$ a.e.) solving (1–2) (a.e. in Q_T) + initial conditions and satisfying

$$\begin{split} & u \in C^0([0,T];H) \cap L^2(0,T;V), \quad \xi \in L^2(Q_T), \\ & \chi \in H^1(0,T;H), \quad \nu \chi \in L^\infty(0,T;V) \cap L^2(0,T;H^2(\Omega)). \end{split}$$

The components u and χ of such a solution are uniquely determined.

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The case α Lipschitz continuous

The case $\alpha = \exp \left[-\frac{1}{2} + \exp \left[-\frac{1}{2} +$

Thm 2. Let *T* be a positive final time and HYPOTHESIS 1, with t = T, hold and assume that α is a Lipschitz continuous function. Then, there exists (u, χ, ξ) (with $\xi \in \beta(\chi)$ a.e.) solving (1–2) (a.e. in Q_T) + initial conditions and satisfying

$$\begin{split} & u \in C^0([0,T];H) \cap L^2(0,T;V), \quad \xi \in L^2(Q_T), \\ & \chi \in H^1(0,T;H), \quad \nu \chi \in L^\infty(0,T;V) \cap L^2(0,T;H^2(\Omega)). \end{split}$$

The components u and χ of such a solution are uniquely determined.

Note that in this case $\alpha_{V',V}$ in (2) can be identified with the standard $\partial \hat{\alpha}$ (defined a.e. in Q_T) in the sense of Convex Analysis.

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The case α Lipschitz continuous

The case $\alpha = \exp \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{$

Thm 2. Let *T* be a positive final time and HYPOTHESIS 1, with t = T, hold and assume that α is a Lipschitz continuous function. Then, there exists (u, χ, ξ) (with $\xi \in \beta(\chi)$ a.e.) solving (1–2) (a.e. in Q_T) + initial conditions and satisfying

$$\begin{split} & u \in C^0([0,T];H) \cap L^2(0,T;V), \quad \xi \in L^2(Q_T), \\ & \chi \in H^1(0,T;H), \quad \nu \chi \in L^\infty(0,T;V) \cap L^2(0,T;H^2(\Omega)). \end{split}$$

The components u and χ of such a solution are uniquely determined.

Note that in this case $\alpha_{V',V}$ in (2) can be identified with the standard $\partial \hat{\alpha}$ (defined a.e. in Q_T) in the sense of Convex Analysis.

The proof is a suitable adaptation of the one of [Bonetti, Colli, Frémond, 2003] holding true in case $\beta = \partial I_{[0,1]}, \sigma' = \vartheta_c$

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The case α Lipschitz continuous

The case $\alpha = \exp \left(-\frac{1}{2} - \exp \left(-\frac{1}{2} + \exp \left(-\frac{1}{2} +$

The long-time behaviour of solutions Thm 3. Let HYPOTHESIS 1 hold and suppose that (i) $k \in W^{1,1}(0,\infty)$ is of strongly positive type, i.e. $\exists \eta > 0$ such that

 $\widetilde{k}(t) := k(t) - \eta \exp(-t)$ is of positive type;

(ii) r, h sufficiently regular.

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The case $\alpha = \exp \left(-\frac{1}{2} + \frac{1}{2} + \frac{1}{$

The long-time behaviour of solutions Thm 3. Let HYPOTHESIS 1 hold and suppose that (i) $k \in W^{1,1}(0,\infty)$ is of strongly positive type, i.e. $\exists \eta > 0$ such that

 $\widetilde{k}(t) := k(t) - \eta \exp(-t)$ is of positive type;

(ii) *r*, *h* sufficiently regular.

Then, the ω -limit:

$$\omega(u_0, \chi_0, \nu) := \{ (u_\infty, \chi_\infty) \in H \times H, \nu \chi_\infty \in V : \exists t_n \to +\infty, \\ (u(t_n), \chi(t_n)) \to (u_\infty, \chi_\infty) \text{ in } V' \times (V' \cap \nu H) \}$$

is a compact, connected subset ($\neq \emptyset$) of $V' \times (V' \cap \nu H)$

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The case $\alpha = \exp \left[-\frac{1}{2} + \exp \left[-\frac{1}{2} +$

The long-time behaviour of solutions Thm 3. Let HYPOTHESIS 1 hold and suppose that (i) $k \in W^{1,1}(0,\infty)$ is of strongly positive type, i.e. $\exists \eta > 0$ such that

 $\widetilde{k}(t) := k(t) - \eta \exp(-t)$ is of positive type;

(ii) *r*, *h* sufficiently regular.

Then, the ω -limit:

$$\begin{split} \omega(\boldsymbol{u}_0,\boldsymbol{\chi}_0,\boldsymbol{\nu}) &:= \{ (\boldsymbol{u}_\infty,\boldsymbol{\chi}_\infty) \in \boldsymbol{H} \times \boldsymbol{H}, \boldsymbol{\nu}\boldsymbol{\chi}_\infty \in \boldsymbol{V} : \; \exists \; \boldsymbol{t}_n \to +\infty, \\ (\boldsymbol{u}(\boldsymbol{t}_n),\boldsymbol{\chi}(\boldsymbol{t}_n)) \to (\boldsymbol{u}_\infty,\boldsymbol{\chi}_\infty) \; \text{in} \; \boldsymbol{V}' \times (\boldsymbol{V}' \cap \boldsymbol{\nu}\boldsymbol{H}) \} \end{split}$$

is a compact, connected subset $(\neq \emptyset)$ of $V' \times (V' \cap \nu H)$ and $\forall (u_{\infty}, \chi_{\infty}) \in \omega(u_0, \chi_0, \nu), \exists \xi_{\infty} \in \beta(\chi_{\infty})$ such that:

$$\begin{split} u_{\infty} &= \frac{1}{|\Omega|} \left(-\int_{\Omega} \chi_{\infty} + c_0 + m \right), \\ \nu A \chi_{\infty} + \xi_{\infty} + \sigma'(\chi_{\infty}) &= \alpha \left(\frac{1}{|\Omega|} \left(-\int_{\Omega} \chi_{\infty} + c_0 + m \right) \right), \\ \text{where } c_0 &= \int_{\Omega} u_0 + \int_{\Omega} \chi_0, \ m = \int_0^{\infty} \left(\int_{\Omega} r(s) + \int_{\Gamma} h(s) \right) ds. \end{split}$$

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The existence result

THM 4. Fix T > 0 and assume that HYPOTHESIS 1 hold with t = T. Suppose moreover that

(i) $\nu \ge 0$ if $D(\beta)$ is bounded and $\nu > 0$ if $D(\beta)$ is unbounded.

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The existence result

THM 4. Fix T > 0 and assume that HYPOTHESIS 1 hold with t = T. Suppose moreover that

(i) $\nu \ge 0$ if $D(\beta)$ is bounded and $\nu > 0$ if $D(\beta)$ is unbounded.

Then, there exists at least a quadruple $(u, \vartheta, \chi, \xi)$ such that $\vartheta = \alpha(u) = \exp(u), \xi \in \beta(\chi)$ a.e.,

$$\begin{split} & u \in H^{1}(0, T; V') \cap L^{2}(0, T; V), \quad \vartheta \in L^{5/3}(Q_{T}), \\ & \chi \in H^{1}(0, T; H), \quad \nu \chi \in L^{\infty}(0, T; V) \cap L^{5/3}(0, T; W^{2, 5/3}(\Omega)), \\ & \xi \in L^{5/3}(Q_{T}), \quad k(0)(1 * \vartheta) \in L^{\infty}(0, T; V), \end{split}$$

satisfying system (1–2) a.e. in Q_T and the same initial conditions as before.

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Thm 5. Under the assumptions of existence and (i) $k \in W^{1,1}(0,\infty)$ is of strongly positive type; (ii) r, h sufficiently regular, $\nu > 0$;

(i) $\lim_{|r|\to+\infty} |r|^{-2}\widehat{\beta}(r) = +\infty.$

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Thm 5. Under the assumptions of existence and (i) $k \in W^{1,1}(0,\infty)$ is of strongly positive type; (ii) r, h sufficiently regular, $\nu > 0$; (i) $\lim_{|r|\to+\infty} |r|^{-2}\widehat{\beta}(r) = +\infty$. Let $(u, \chi) : (0, \infty) \to H \times V$ be a solution on $(0, +\infty)$ associated to (u_0, χ_0) .

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- Thm 5. Under the assumptions of existence and
 - (i) $k \in W^{1,1}(0,\infty)$ is of strongly positive type;
 - (ii) r, h sufficiently regular, $\nu > 0$;
 - (i) $\lim_{|r|\to+\infty} |r|^{-2}\widehat{\beta}(r) = +\infty.$

Let (u, χ) : $(0, \infty) \rightarrow H \times V$ be a solution on $(0, +\infty)$ associated to (u_0, χ_0) . Then, the ω -limit set of a single trajectory (u, χ) defined in $(0, +\infty)$:

$$\omega(\boldsymbol{u},\boldsymbol{\chi}) := \{ (\boldsymbol{u}_{\infty},\boldsymbol{\chi}_{\infty}) \in \boldsymbol{H} \times \boldsymbol{V} : \exists t_n \to +\infty, \\ (\boldsymbol{u}(t_n),\boldsymbol{\chi}(t_n)) \to (\boldsymbol{u}_{\infty},\boldsymbol{\chi}_{\infty}) \text{ in } \boldsymbol{V}' \times \boldsymbol{H} \}$$

is a nonempty, compact, and connected subset of $V' \times H$.

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- Thm 5. Under the assumptions of existence and
 - (i) $k \in W^{1,1}(0,\infty)$ is of strongly positive type;
 - (ii) *r*, *h* sufficiently regular, $\nu > 0$;
 - (i) $\lim_{|r|\to+\infty} |r|^{-2}\widehat{\beta}(r) = +\infty$.

Let $(u, \tilde{\chi})$: $(0, \infty) \rightarrow H \times V$ be a solution on $(0, +\infty)$ associated to (u_0, χ_0) . Then, the ω -limit set of a single trajectory (u, χ) defined in $(0, +\infty)$:

$$\omega(u, \chi) := \{ (u_{\infty}, \chi_{\infty}) \in H \times V : \exists t_n \to +\infty, \\ (u(t_n), \chi(t_n)) \to (u_{\infty}, \chi_{\infty}) \text{ in } V' \times H \}.$$

is a nonempty, compact, and connected subset of $V' \times H$. Moreover, for any $(u_{\infty}, \chi_{\infty}) \in \omega(u, \chi)$ there exists $\xi_{\infty} \in L^{5/3}(\Omega), \xi_{\infty} \in \beta(\chi_{\infty})$ such that $(u_{\infty}, \chi_{\infty}, \xi_{\infty})$ solves the corresponding stationary problem (a.e. in Ω).

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- Thm 5. Under the assumptions of existence and
 - (i) $k \in W^{1,1}(0,\infty)$ is of strongly positive type;
 - (ii) r, h sufficiently regular, $\nu > 0$;
 - (i) $\lim_{|r|\to+\infty} |r|^{-2}\widehat{\beta}(r) = +\infty$.

Let $(u, \tilde{\chi})$: $(0, \infty) \rightarrow H \times V$ be a solution on $(0, +\infty)$ associated to (u_0, χ_0) . Then, the ω -limit set of a single trajectory (u, χ) defined in $(0, +\infty)$:

$$\omega(\boldsymbol{u},\boldsymbol{\chi}) := \{ (\boldsymbol{u}_{\infty},\boldsymbol{\chi}_{\infty}) \in \boldsymbol{H} \times \boldsymbol{V} : \exists t_n \to +\infty, \\ (\boldsymbol{u}(t_n),\boldsymbol{\chi}(t_n)) \to (\boldsymbol{u}_{\infty},\boldsymbol{\chi}_{\infty}) \text{ in } \boldsymbol{V}' \times \boldsymbol{H} \}.$$

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THE CASE ν , k = 0 has been studied in [Bonetti, in "Dissipative phase transitions" (ed. P. Colli, N. Kenmochi, J. Sprekels) (2006)]

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In general, we cannot conclude that the whole trajectory $\{(u(t), \chi(t)) \ t \ge 0\}$ tends to $(u_{\infty}, \chi_{\infty})$ weakly in $H \times V$ and strongly in $V' \times H$ as $t \to +\infty$. This is mainly due to the presence of the anti-monotone term $\sigma'(\chi_{\infty})$.

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$$\beta = \partial I_{[0,1]}, \quad \sigma'(\chi) = \theta_c,$$

then we can conclude in addition that both u_{∞} and χ_{∞} are constants a.e. in Ω

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then we can conclude in addition that both u_{∞} and χ_{∞} are constants a.e. in Ω and that $(u_{\infty}, \chi_{\infty}) \in \omega(u, \chi)$ is uniquely determined by

$$\begin{split} u_{\infty} &= -\chi_{\infty} + \frac{1}{|\Omega|} (\boldsymbol{c}_{0} + \boldsymbol{m}), \\ \partial \boldsymbol{I}_{[0,1]}(\chi_{\infty}) - \exp\left(-\chi_{\infty} + \frac{1}{|\Omega|} (\boldsymbol{c}_{0} + \boldsymbol{m})\right) \ni -\theta_{\boldsymbol{c}}, \end{split}$$

being c_0 and m defined as before.

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$$\beta = \partial I_{[0,1]}, \quad \sigma'(\chi) = \theta_c,$$

then we can conclude in addition that both u_{∞} and χ_{∞} are constants a.e. in Ω and that $(u_{\infty}, \chi_{\infty}) \in \omega(u, \chi)$ is uniquely determined by

$$\begin{split} u_{\infty} &= -\chi_{\infty} + \frac{1}{|\Omega|} (c_0 + m), \\ \partial I_{[0,1]}(\chi_{\infty}) &- \exp\left(-\chi_{\infty} + \frac{1}{|\Omega|} (c_0 + m)\right) \ni -\theta_c, \end{split}$$

being c_0 and m defined as before. In particular, the whole trajectory $(u(t), \chi(t))$ tends to $(u_{\infty}, \chi_{\infty})$ weakly in $H \times V$ and strongly in $V' \times H$ as $t \to +\infty$.

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The case $\alpha = \exp \left[- \frac{1}{2} \exp \left(-$

 To study the convergence of the whole trajectories in case the anti-monotone part σ' is present in the phase equation:

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 To study the convergence of the whole trajectories in case the anti-monotone part σ' is present in the phase equation: no uniqueness of the stationary states is expected

 $-\nu\Delta\chi_{\infty} + \beta(\chi_{\infty}) + \sigma'(\chi_{\infty}) \ni \exp(u_{\infty})$

by employing the Lojasiewicz technique in case of analytical potentials β , cf., e.g., [Feireisl, Schimperna, to appear] \hookrightarrow Penrose-Fife systems.

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The case α Lipschitz continuous

The case $\alpha = \exp \left[-\frac{1}{2} + \exp \left[-\frac{1}{2} +$

 To study the convergence of the whole trajectories in case the anti-monotone part σ' is present in the phase equation: no uniqueness of the stationary states is expected

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by employing the Lojasiewicz technique in case of analytical potentials β , cf., e.g., [Feireisl, Schimperna, to appear] \hookrightarrow Penrose-Fife systems. Or use other techniques, cf. [Krejčí, Zheng, 2005] \hookrightarrow phase-relaxation systems with non-smooth potentials.

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The case α Lipschitz continuous

The case $\alpha = \exp$

• To study the convergence of the whole trajectories in case the anti-monotone part σ' is present in the phase equation: no uniqueness of the stationary states is expected

 $-\nu\Delta\chi_{\infty} + \beta(\chi_{\infty}) + \sigma'(\chi_{\infty}) \ni \exp(u_{\infty})$

- by employing the Lojasiewicz technique in case of analytical potentials β , cf., e.g., [Feireisl, Schimperna, to appear] \hookrightarrow Penrose-Fife systems. Or use other techniques, cf. [Krejčí, Zheng, 2005] \hookrightarrow phase-relaxation systems with non-smooth potentials.
- To get uniqueness in case of a general α (not Lipschitz-continuous). Problem: the doubly-nonlinear character of the system.

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The case $\alpha = \exp \left[- \frac{1}{2} \exp \left(-$