# A Quadratic Elastic Theory for Helical Nematic Phases

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#### **Summary**

Twist-Bend Nematic Phases Symmetries Helical Nematic Phases Merging Opposite Helicities Closing Questions

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- P. J. Barnes, A. G. Douglass, S. K. Heeks & G. R. Luckhurst (1993)
- M. SEPELJ, A. LESAC, U. BAUMEISTER, S. DIELE, H. L. NGUYEN & D. W. BRUCE (2007)
- C. T. IMRIE & P. A. HENDERSON (2007)
- V. P. PANOV, M. NAGARAJ, J. K. VIJ, Y. P. PANARIN, A. KOHLMEIER, M. G. TAMBA, R. A. LEWIS & G. H. MEHL (2010)

# molecular flexibility

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Simple *bent-core* molecules do not exhibit two nematic phases, they instead go from nematics into *smectics* directly.

# first characterization

Perhaps, the first complete experimental characterization of this new phase was achieved by

• M. CESTARI, S. DIEZ-BERART, D. A. DUNMUR, A. FER-RARINI, M. R. DE LA FUENTE, D. J. B. JACKSON, D. O. LOPEZ, G. R. LUCKHURST, M. A. PEREZ-JUBINDO, R. M. RICHARDSON, J. SALUD, B. A. TIMIMI & H. ZIMMERMANN (2011)

who employed a number of different methods.

#### See also

- P. A. HENDERSON & C. T. IMRIE (2011)
- M. Cestari, E. Frezza, A. Ferrarini & G. R. Luckhurst (2011)
- V. P. PANOV, R. BALACHANDRAN, M. NAGARAJ, J. K. VIJ, M. G. TAMBA, A. KOHLMEIER & G. H. MEHL (2011)
- V. P. Panov, R. Balachandran, J. K. Vij, M. G. Tamba, A. Kohlmeier & G. H. Mehl (2012)
- L. BEGUIN, J. W. EMSLEY, M. LELLI, A. LESAGE, G. R. LUCKHURST, B. A. TIMIMI & H. ZIMMERMANN (2012)

for further, independent experimental confirmations.

#### material: CB7CB

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A CB7CB molecule can be viewed as having three parts, each  $\approx 1 \text{ nm}$  in length: two rigid end groups connected by a flexible spacer.



First transition, on cooling, at  $T_{\rm NI} = 116 \pm 1 \,^{\circ}{\rm C}$ , with *transitional* entropy  $\Delta S_{\rm NI}/R = 0.34$ , where  $R \approx 8.31 \, {\rm J} ({\rm mol \, K})^{-1}$  is the gas constant.

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The X phase supercools extensively. On *heating*, the crystal form of CB7CB *melts* at  $T = 102 \,^{\circ}\text{C}$ 

# **Theoretical Predictions**

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- I. DOZOV (2001) arrived independently to the same picture starting from purely static (and steric) considerations.



## computer simulation

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Bent-core Gay-Berne molecules with *no polar* interactions.

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LUCKHURST ET AL (2011) suggested to call this phase

twist-bend nematic (TBN)

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Letting  $\mathbf{t} = \mathbf{e}_z$  in a Cartesian frame  $(\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z)$ ,

$$\begin{aligned} \boldsymbol{n}_{0}^{\pm} &= \sin\vartheta\cos(\pm qz)\,\boldsymbol{e}_{x} + \sin\vartheta\sin(\pm qz)\,\boldsymbol{e}_{y} + \cos\vartheta\,\boldsymbol{e}_{z}, \\ & \operatorname{curl}\boldsymbol{n}_{0}^{\pm}\cdot\boldsymbol{n}_{0}^{\pm} = \mp q\sin^{2}\vartheta \\ & q > 0 \quad \text{twist parameter} \\ & p := \frac{2\pi}{q} \quad \text{pitch} \qquad \vartheta \quad \text{cone angle} \end{aligned}$$

# Recent (impressive) Experimental Evidence

A *visual* direct evidence for the TBN phase in *CB7CB* (and allied mixtures) has been provided very recently with accurate measurements of both p and  $\vartheta$ .

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- V. BORSHCH, Y.-K. KIM, J. XIANG, M. GAO, A. JAKLI, V. P. PANOV, J. K. VIJ, C. T. IMRIE, M. G. TAMBA, G. H. MEHL, & O. D. LAVRENTOVICH (2013)

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measured pitch and cone angle

 $p \approx 10 \,\mathrm{nm}$   $\vartheta \approx 20^{\circ}$ 

10

## Freeze-Fracture Transmission Electron Microscopy (FFTEM)



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# Comparisons

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 $TBN vs SmC^*$ 



#### atomistic MD simulations



in periodic box of a nominally  $5.6\times5.6\times8.0\,\mathrm{nm}$ 

# Symmetries

The molecular effective curvature, while inducing **no** microscopic **twist**, allegedly favors a **chiral** collective arrangement in which **bow-shaped** molecules uniformly precess along an ideal cylindrical **helix**.



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#### no polarity

While the nematic director  $\boldsymbol{n}$  is defined as the ensemble average

$$m{n}:=\langle m{m}
angle$$

no polar order survives in a helical phase, as

$$\langle p 
angle = \mathbf{0}$$


# Chiral Variants

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There is experimental evidence that a TBN-phase hosts **both** chiral variants.

Our strategy will be to treat first *each* variant separately and then to attempt at *merging* them together in a TBN-phase.

## **Helical Nematic Phases**

Here we take both the natural pitch  $p = 2\pi/q$  and the cone angle  $\vartheta$  as *prescribed* parameters, *constitutive* of a certain *helical phase*.

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### **Helical Nematic Phases**

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## (Positive) Natural State

$$\boldsymbol{n}_{0}^{+} = \sin \vartheta \cos qz \, \boldsymbol{e}_{x} + \sin \vartheta \sin qz \, \boldsymbol{e}_{y} + \cos \vartheta \, \boldsymbol{e}_{z} \qquad q > 0$$

$$\Downarrow$$

$$\nabla \boldsymbol{n}_{0}^{+} = q \left( \boldsymbol{e}_{z} \times \boldsymbol{n}_{0}^{+} \right) \otimes \boldsymbol{e}_{z} \qquad \operatorname{curl} \boldsymbol{n}_{0}^{+} \cdot \boldsymbol{n}_{0}^{+} = -q \sin^{2} \vartheta < 0$$

#### twist tensor

More generally, for n prescribed at a point in space, the tensor

$$\mathbf{T^+} := q(\boldsymbol{t} imes \boldsymbol{n}) \otimes \boldsymbol{t}$$

expresses the *natural distortion* associated there with the preferred twisted configuration that agrees with the prescribed nematic director n and has t as twist axis.

## natural distortions

We imagine that in the absence of any frustrating cause, given n at a point, the director field would attain in its vicinity a spatial arrangement such that

$$abla n = \mathbf{T}^+(t)$$

with *any t* such that

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## energy reference

For a generic configuration, the elastic energy that measures locally the *distortional cost* should be accounted for *relative* to the whole class of *natural distortions*, vanishing whenever any of the latter is attained.

We write the elastic energy  $f_e^+$  per unit volume as

$$f_e^+(t, n, 
abla n) = rac{1}{2} [
abla n - \mathbf{T}^+(t)] \cdot \mathbb{K}(n) [
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## metric interpretation

If for given  $\boldsymbol{n}$  and  $\nabla \boldsymbol{n}$ ,  $\boldsymbol{t}$  can be chosen so that  $\nabla \boldsymbol{n} = \mathbf{T}^+(\boldsymbol{t}), f_e^+$  vanishes, attaining its absolute minimum.

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## metric interpretation

If for given n and  $\nabla n$ , t can be chosen so that  $\nabla n = \mathbf{T}^+(t)$ ,  $f_e^+$  vanishes, attaining its absolute minimum.

If there is no such  $\boldsymbol{t}$ , then minimizing  $f_e^+$  in  $\boldsymbol{t}$  would identify the natural state closest to the nematic distortion represented by  $\nabla \boldsymbol{n}$  in the metric induced by  $\mathbb{K}(\boldsymbol{n})$ .

## two-director theory

Here both n and t are to be considered as unknown fields, though **constrained**: at equilibrium, the free-energy functional that we shall construct is to be **minimized** in **both** these fields.

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#### identities

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reduced  $\mathbb{K}(n)$ 

 $\mathbb{K}_{ijhk} = k_1 \delta_{ih} \delta_{jk} + k_2 \delta_{ij} \delta_{hk} + k_3 \delta_{ih} n_j n_k + k_4 \delta_{ik} \delta_{jh}$  $k_i \quad \text{elastic moduli}$ 

# representation formula

$$\begin{split} f_e^+(\boldsymbol{t},\boldsymbol{n},\nabla\boldsymbol{n}) &= \frac{1}{2} \Big\{ K_{11} (\operatorname{div} \boldsymbol{n})^2 + K_{22} (\boldsymbol{n} \cdot \operatorname{curl} \boldsymbol{n} + q | \boldsymbol{t} \times \boldsymbol{n} |^2)^2 \\ &+ K_{33} | \boldsymbol{n} \times \operatorname{curl} \boldsymbol{n} + q (\boldsymbol{t} \cdot \boldsymbol{n}) \, \boldsymbol{t} \times \boldsymbol{n} |^2 \\ &+ K_{24} [\operatorname{tr} (\nabla \boldsymbol{n})^2 - (\operatorname{div} \boldsymbol{n})^2] \Big\} - K_{24} q \, \boldsymbol{t} \times \boldsymbol{n} \cdot (\nabla \boldsymbol{n})^\mathsf{T} \boldsymbol{t} \end{split}$$

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## Ericksen's inequalities

 $2K_{11} \ge K_{24}$   $2K_{22} \ge K_{24}$   $K_{33} \ge 0$   $K_{24} \ge 0$ 

In the absence of other distorting causes, the free-energy functional to be minimized is

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and to *appropriate* boundary conditions for both n and t on  $\partial \mathscr{B}$ .

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#### Remarks

- This theory features two constrained fields,  $\boldsymbol{n}$  and  $\boldsymbol{t}$ .
- Physically, t represents the *optic axis* of the medium, likely to be the only optic observable when the pitch p ranges in the nanometric domain.

• DOZOV (2001) proposed a *quartic* elastic theory, featuring only n, but allowing for terms in both  $(\nabla n)^4$  and  $(\nabla^2 n)^2$ , to counteract a *negative* bend constant  $K_{33}$  required to ignite the *twist-bend instability*.

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# Merging Opposite Helicities

The natural state of the helical nematic phase with opposite chirality -q is characterized by the *twist tensor* 

$$\mathbf{T}^{-} := -\mathbf{T}^{+} = -q(\mathbf{t} \times \mathbf{n}) \otimes \mathbf{t} \qquad q > 0$$

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Assuming that the elastic response is the same, but about a natural state with opposite helicity, the free energy density  $f_e^-$  is obtained from  $f_e^+$  by the formal change  $q \mapsto -q$ :

$$\begin{aligned} \boldsymbol{f_e^-}(\boldsymbol{t}, \boldsymbol{n}, \nabla \boldsymbol{n}) &= \frac{1}{2} \Big\{ K_{11} (\operatorname{div} \boldsymbol{n})^2 + K_{22} (\boldsymbol{n} \cdot \operatorname{curl} \boldsymbol{n} - q | \boldsymbol{t} \times \boldsymbol{n} |^2)^2 \\ &+ K_{33} | \boldsymbol{n} \times \operatorname{curl} \boldsymbol{n} - q (\boldsymbol{t} \cdot \boldsymbol{n}) \, \boldsymbol{t} \times \boldsymbol{n} |^2 \\ &+ K_{24} [\operatorname{tr}(\nabla \boldsymbol{n})^2 - (\operatorname{div} \boldsymbol{n})^2] \Big\} + K_{24} q \, \boldsymbol{t} \times \boldsymbol{n} \cdot (\nabla \boldsymbol{n})^\mathsf{T} \boldsymbol{t} \end{aligned}$$

# TBN free energy density

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The elastic free energy  $f_e$  is necessarily **non-convex**.

possible candidates for  $f_e$ 

• quadratic, but non-smooth

 $f_e(\boldsymbol{t}, \boldsymbol{n}, \nabla \boldsymbol{n}) = \min\{f_e^+(\boldsymbol{t}, \boldsymbol{n}, \nabla \boldsymbol{n}), f_e^-(\boldsymbol{t}, \boldsymbol{n}, \nabla \boldsymbol{n})\}$ 

• smooth, but quartic

$$f_e(\boldsymbol{t}, \boldsymbol{n}, \nabla \boldsymbol{n}) = \frac{1}{f_0} f_e^+(\boldsymbol{t}, \boldsymbol{n}, \nabla \boldsymbol{n}) f_e^-(\boldsymbol{t}, \boldsymbol{n}, \nabla \boldsymbol{n})$$
$$f_0 = \frac{1}{2} \sin^2 \vartheta (K_{22} \sin^2 \vartheta + K_{33} \cos^2 \vartheta)$$

# Matching opposite helicities

Since  $f_e^+$  is minimized for  $\nabla n = \mathbf{T}^+$  and  $f_e^-$  is minimized for  $\nabla n = \mathbf{T}^-$ , possible minimizers for  $f_e$  are sequences of *alternating* natural states  $\mathbf{T}^+$ ,  $\mathbf{T}^-$  matched along appropriate interfaces.

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- either *parallel stacking*  $t^+ = t^- = \nu$
- or wedge laminate  $t^+ \times n = -t^- \times n$  and  $\nu = \frac{t^+ - t^-}{|t^+ - t^-|}$
#### wedge laminate



The trace n on  $\mathscr{S}$  should satisfy the compatibility condition

 $abla_{\mathrm{s}} \boldsymbol{n} = \kappa \boldsymbol{n}_{\perp} \otimes \boldsymbol{n} \qquad \boldsymbol{n}_{\perp} := \boldsymbol{\nu} \times \boldsymbol{n} \qquad \kappa := q \sin \vartheta \cos \vartheta$ 

#### wedge laminate won't work

As a consequence, the integral lines of n on  $\mathscr S$  should satisfy

 $\kappa_g = \kappa$  and  $(\nabla_{\mathrm{s}} \boldsymbol{\nu}) \boldsymbol{n} = \boldsymbol{0}$ 

 $\kappa_g$  geodesic curvature

 $\nabla_{\mathbf{s}} \boldsymbol{\nu}$  curvature tensor

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#### parallel stacking does work

For  $t^+ = t^- = \nu$  and  $n \cdot \nu \equiv \cos \vartheta$ , the integral lines of n on  $\mathscr{S}$  need satisfy

$$(
abla_{
m s}oldsymbol{
u})oldsymbol{n}=oldsymbol{0}$$

which only requires  $\mathscr{S}$  to be *developable* 

K = 0 zero Gaussian curvature

A number of questions are posed by the theory proposed here:

• *No microscopic* theory is known to predict the *transition* from the *uniformly aligned* ground state characteristic of ordinary nematics to the TBN *heliconical* ground *states*.

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- An *extra* field also requires extra *boundary conditions*. The question is how to set general boundary conditions for both *n* and *t* to grant existence of global energy minimizers.
- No hydrodynamic considerations have entered this study, but the question should already be asked as to whether the *relax-ation* in time of *t* represents a further *source of dissipation*.

Acknowledgements

Discussion

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#### Soft Matter Mathematical Modelling

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